

*The Rules and Regulations of the Board of Regents
of the Texas Tech University System*

Table of Contents

[with amendments through: 08-10-2018]

<u>Chapters</u>	<u>Chapter Titles</u>
--- Missions
00 Definitions, Style, and Citations
01 Bylaws
02 Administration
03 Personnel
04 Academic Affairs
05 Student Affairs
06 Institutional Advancement
07 Fiscal Management
08 Facilities
09 Investments and Endowments
10 Intellectual Property Rights
11 Athletics at TTU and ASU
12 Honorifics and Seals

Note: See also ... BOARD POLICY STATEMENTS for:

- Audit Committee Charter
- Investment Policy Statement – SITIF
- Investment Policy Statement – LTIF
- Seals of the Texas Tech University System

Missions.....1

Dates Approved or Amended:

- *Comprehensive review*.....12-12-2014
- *Revised TTUHSC Mission statement*.....05-19-2017

Chapter 00 -- Definitions, Style, and Citations

Dates Approved or Amended:

-- Comprehensive review/amendments10-10-2014

00.01	Definitions	1
00.01.1	Definitions relating to: Board of Regents	1
	a. "board"	1
	b. "board policy statement"	1
	c. "CAE"	1
	d. "chair"	1
	e. " <i>Regents' Rules</i> "	1
	f. "secretary"	1
	g. "vice chair"	1
00.01.2	Definitions relating to: Texas Tech University System	2
	a. "chief executive officer"	2
	b. "TTU system" or "TTUS"	2
	c. "TTU system – principal officers"	2
00.01.3	Definitions relating to: TTU system administration	2
	a. "chief financial officer"	2
	b. "general counsel"	2
	c. "Office of the Chancellor"	2
	d. "TTU system administration" or "TTUSA"	2
	e. "TTU system administration officers"	2
00.01.4	Definitions relating to: component institutions	3
	a. "ASU"	3
	b. "chief fiscal officer"	3
	c. "component institution(s)"	3
	d. "Office of the President"	3
	e. "principal officers of ASU"	3
	f. "principal officers of TTU"	3
	g. "principal officers of TTUHSC"	3
	h. "principal officers of TTUHSC El Paso"	3
	i. "TTU"	3
	j. "TTUHSC"	3
	k. "TTUHSC El Paso"	3
00.01.5	Definitions – in general	4
	a. " <i>ex officio</i> member"	4
	b. "operating manuals"	4

00.02	Style	4
00.02.1	<i>Regents' Rules</i>	4
00.02.2	Operating manuals.....	4
00.03	Citations.....	4
00.03.1	Formal citations	4
00.03.2	Informal citations	4
00.03.3	Particular provisions.....	5

Chapter 01 -- Bylaws

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Technical adjustments*.....10-10-2014 • to §01.02.1.f & §01.02.6.b(6)
- *Technical adjustment*12-12-2014 • to §01.02.6.b(5)
- *System clean-up legislation revisions*10-09-2015 • to §01.02.1.c, .e & .g
- *Added Board self-evaluation policy*.....03-02-2018 • as §01.01.4

01.01	Responsibilities of the board	1
01.01.1	Role and responsibilities assigned by law	1
01.01.2	Policy direction and goals establishment	1
01.01.3	Fiduciary responsibility.....	1
01.01.4	Board self-evaluations	1
01.02	Governance	2
01.02.1	Authority	2
01.02.2	Composition.....	3
01.02.3	Chair of the board	4
01.02.4	Vice chair of the board.....	5
01.02.5	Taking office.....	5
01.02.6	Secretary of the board	6
01.02.7	Board meetings	8
01.02.8	Board committees.....	11
	a. Appointments.....	11
	b. Authority of standing committees.....	11
	c. Times and places of committee meetings.....	11
	d. Standing committees	11
	(1) Academic, Clinical, and Student Affairs	11
	(2) Facilities	12
	(3) Finance and Administration	13
	(4) Audit.....	14
	e. Special committees.....	15
	f. Investment Advisory Committee	16
01.02.9	Procedures.....	18
01.02.10	Board and committee meetings attendance	21
01.03	Board conduct	22
01.04	New chancellor selection	22

01.05	Board members service on internal support and advisory groups...	22
01.05.1	Service in a non-voting capacity required	22
01.05.2	Exception for service on a search or search advisory committee.....	23
01.06	Board seating at commencement.....	23
01.07	<i>Regents' Rules</i> review	23
01.08	Amendments.....	24

Chapter 02 -- Administration

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *State contracting law changes*.....10-09-2015
 - added §02.01.3.n & §02.04.2.o
 - conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b
- *State contracting law changes*.....05-20-2016
 - added §02.04.2.p
 - conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b

02.01	Chancellor	1
02.01.1	Appointment.....	1
02.01.2	Tenure	1
02.01.3	Primary duties	1
02.02	TTU system administration	3
02.02.1	Activities coordination	3
02.02.2	Plans initiation	3
02.02.3	Service provision	3
02.02.4	Evaluation.....	4
02.02.5	Performance goals achievement.....	4
02.02.6	Other duties.....	4
02.03	Certain administrative appointments	4
02.03.1	Board appointments (chancellor and presidents).....	4
02.03.2	Chancellor's appointments	6
02.03.3	Presidents' appointments.....	7
02.03.4	Performance evaluations	8
02.03.5	Chancellor's salary	8
02.03.6	TTU system administration officer salaries.....	8
02.03.7	Time of salary actions	9
02.03.8	Component institution officer salaries	9
02.03.9	Certain administrator contracts	9
02.04	Component institution presidents	9
02.04.1	Chief executive officers.....	9
02.04.2	Presidents' authority	9

Chapter 03 -- Personnel

Dates Approved or Amended:

- *Comprehensive review/amendments*10-11-2013
- *Technical adjustment*10-10-2014
 - to §03.01.5.b(2)
- *Amendments as noted*10-09-2015
 - revised §03.01.2.e to conform to changes in state contracting laws
 - technical adjustment to §03.07.2.a(2)
- *Various amendments as noted*.....05-20-2016
 - various sections revised due to 2015 state contracting law changes, including §: 03.01 ... 03.01.1.d ... 03.01.1.f ... 03.01.2.a ... 03.01.2.j-k ... 03.01.5
 - various sections conformed to EEO provisions in Title IX, Title VII and U.S. Executive Order 11246, including §: 03.01.2.h; 03.01.8.a
 - clarifying change to §03.01.5.b(3)-(4) re: the approving authority for outside employment
- *Various amendments as noted*.....05-20-2016
 - updates and conforming changes due to revisions in state law relating to contracts and conflicts of interest, including to: §03.01.2.j ... §03.01.5.b(3) ... §03.03.2 ... §03.03.3.a ... §03.03.5.a

03.00	Definitions	1
03.00.1	Officers and employees	1
03.01	Ethics policy	1
03.01.1	Conduct.....	1
03.01.2	Ethical behavior	2
03.01.3	Conflict of interest – generally.....	3
03.01.4	Benefits, gifts, and honoraria	3
03.01.5	Conflict of commitment	6
03.01.6	Political activities.....	7
03.01.7	Preservation of resources	7
03.01.8	Discriminatory conduct prohibited	8
03.01.9	Familial/household relationships – faculty & students.....	9
03.01.10	Consensual relationships – faculty & students.....	10
03.01.11	Nepotism	10
03.02	TTU system community conduct	13
03.02.1	Breach of trust.....	13
03.02.2	Conviction notification	15
03.02.3	Determination of a violation.....	15

03.03	Conflict of interest and the board	15
03.03.1	Introduction	15
03.03.2	Conflict of interest.....	17
03.03.3	Presumed conflict of interest.....	17
03.03.4	Disclosure of financial interest.....	18
03.03.5	Determination of the existence of a conflict of interest....	18
03.03.6	Record of proceedings	19
03.03.7	Gifts.....	20
03.03.8	Use of office for personal gain.....	20
03.03.9	Favored treatment.....	20
03.03.10	Appropriation of TTU system opportunities.....	20
03.03.11	Confidentiality.....	21
03.03.12	Actions not void or voidable	21
03.04	Conflict of interest and executive administration	21
03.04.1	Introduction	21
03.04.2	Conflict of interest.....	23
03.04.3	Presumed conflict of interest.....	23
03.04.4	Disclosure of financial interest.....	24
03.04.5	Determination of the existence of a conflict of interest....	25
03.04.6	Gifts.....	25
03.04.7	Use of office for personal gain.....	26
03.04.8	Favored treatment.....	26
03.04.9	Appropriation of TTU system opportunities.....	26
03.04.10	Confidentiality.....	26
03.04.11	Actions not void or voidable	27
03.05	The classified compensation plan	27
03.06	Appellate procedures for grievances	27
03.06.1	Board and individual grievances.....	27
03.06.2	Grievance procedures.....	27
03.07	Service on outside boards (in general)	27
03.07.1	Service on an outside board by any TTUS employee	27
03.07.2	Service on an outside board by executive administrator	28
03.08	Holidays	30
03.09	Leaves	30

03.10	TTU system employee retirement.....	31
03.11	Personnel files	31
03.11.1	Maintenance.....	31
03.11.2	Confidentiality.....	31
03.11.3	Exceptions.....	31

Chapter 04 -- Academic Affairs

Dates Approved or Amended:

- *Comprehensive review/amendments*08-09-2013
- *Technical adjustment*10-10-2014 • to §04.04.1
- *Miscellaneous clean-up revisions*.....10-09-2015 • to §04.11 header & §04.11.3

04.01	Honorific titles	1
04.01.1	Horn and Murray Professorships	1
04.01.2	Emeritus appointments	2
04.02	Tenure and promotion (at all component institutions).....	3
04.03	Guidelines for comprehensive performance evaluation of tenured faculty (at all component institutions)	3
04.04	Faculty responsibility	4
04.04.1	Public purpose	4
04.04.2	Responsibilities of the TTU system.....	4
04.04.3	Teaching.....	4
04.04.4	Research.....	5
04.04.5	TTU system service	5
04.04.6	Professional service.....	5
04.04.7	Community service	5
04.04.8	Discretion.....	5
04.05	Faculty development leaves of absence	6
04.05.1	Purpose of leaves of absence	6
04.05.2	Benefits for faculty	6
04.05.3	Selection process.....	6
04.06	Academic workload -- general academic institutions	6
04.07	Faculty research	6
04.07.1	New knowledge acquisition	6
04.07.2	Faculty expectations.....	6
04.07.3	Sponsored programs.....	6
04.07.4	Salaries for faculty engaged in sponsored research	7

04.08	Nonnative English speaking teaching assistants and faculty at TTU and ASU	7
04.09	Approval of degree programs	7
04.09.1	Board approval required	7
04.09.2	Process when Coordinating Board recommends consolidation or elimination of a degree program	7
04.10	Review and approval of low-producing degree programs and small classes at TTU and ASU.....	8
04.11	Establishment and naming of schools, colleges, departments, centers, institutes, and other academic entities.....	9
04.11.1	Establishment/restructuring of schools, colleges, or departments	9
04.11.2	Establishment/restructuring of centers, institutes, or other academic unit or program	9
04.11.3	Naming of a school, college, department, center, institute, or other academic unit or program.....	9
04.12	Filings with the State of Texas	10

Chapter 05 -- Student Affairs

Dates Approved or Amended:

-- *Comprehensive review/amendments*08-09-2013

-- *Amend §05.01.2 re: parking fees*08-10-2018 – parking fees, but not parking regulations,
are approved by the board

05.01	General	1
05.01.1	Component institutions.....	1
05.01.2	Board policies and procedures approval.....	1
05.01.3	Other policies and procedures approval.....	1
05.02	Admission	1
05.02.1	Role of the board in admissions.....	1
05.02.2	Admission policies.....	2
05.03	Degree requirements	2
05.04	Financial aid programs	2
05.05	Appeals related to student matters	2

Chapter 06 -- Institutional Advancement

Dates Approved or Amended:

-- Comprehensive review/amendments	08-09-2013	
-- Amendment	10-11-2013	• added §06.01.5
-- Technical adjustment	10-10-2014	• to §06.05.4.b
-- Amendments	03-06-2015	• to §06.03.2 and §06.03.3
-- System clean-up legislation revisions	10-09-2015	• to §06.01.2.b

06.01	Gift and grant acceptance	1
06.01.1	Procedures	1
06.01.2	Gift evaluation and acceptance	1
06.01.3	Employee involvement.....	2
06.01.4	Disciplinary action	2
06.01.5	Prohibition on gifts for salary supplements	2
06.02	Restricted use	3
06.02.1	Adherence to donor-identified purpose(s).....	3
06.02.2	Exceptions to a donor-identified purpose	3
06.03	Private sector support.....	3
06.03.1	Gifts and grants	3
06.03.2	Centralized service.....	3
06.03.3	Fundraising activities approval.....	3
06.03.4	The Office of Institutional Advancement	4
06.04	Endowment funds.....	4
06.05	Endowed academic positions.....	4
06.05.1	Endowed Chair	4
06.05.2	Endowed Professorship.....	4
06.05.3	Endowed Lectureship	5
06.05.4	Research Endowment	5
06.05.5	Chancellor's Graduate Fellowship.....	5
06.06	Endowment or other gift related naming.....	5

Chapter 07 -- Fiscal Management

Dates Approved or Amended:

-- Comprehensive review/amendments	02-28-2014	
-- Amendment	03-06-2015	• §07.03.6 re: fraud disciplinary actions
-- State contracting law changes.....	10-09-2015	• many sections amended, including §: 07.02.4.a, 07.02.6, 07.02.7.o, 07.03.2.b, and extensively throughout 07.12
-- Multiple and various amendments	05-20-2016	
• Additional state contracting law changes ... amendments to multiple sections, including: §07.02.6, 07.02.7, 07.03, and extensively throughout 07.12		
• Updates to audit provisions ... to reflect changes in the audit mission, code of ethics, and audit standards adopted by the Institute of Internal Auditors.		
• Revisions to emergency approvals of a budget adjustment or a contract ... to conform the budget policy to the contract policy and require that such proposals be submitted by the Chancellor.		
• Change in the 'early start' provision for consulting contracts of more than \$25,000 ... to require that such proposals be submitted by the Chancellor.		
-- Amendment	10-14-2016	• §07.02.5.b revised to bring the use-of-debt- proceeds provision in line with U.S. Treasury regulations
-- Amendment	08-11-2017	• amended §07.12.4.e(2) to require prior review of \$25,000-or-less consulting contracts by the System CFO, rather than by Board chair and chair of Finance & Administration Committee
-- Amendment	10-20-2017	• added a new §07.12.4.c for a delegation of contract approval authority to the health- related presidents for certain continuing contractual relationships; and deleted old §07.12.4.d due to it conflicting with §07.12.3.b.
-- Amendment	03-02-2018	• changes re: debt and equipment financing policies (§07.05.2.b and §07.05.4.a) ... student financial assistance program administration (§07.10.4) ... contracts and conflicts of interest (various in §07.12) ... name of the Office of Treasury

07.00	Definitions	1
07.00.1	"FDIC"	1
07.00.2	"HEAF"	1
07.00.3	"HUBs"	1
07.00.4	"OAS"	1
07.00.5	"RFS"	1
07.00.6	"CAE"	1
07.01	Fiduciary responsibility.....	1

07.02	Audits	1
07.02.1	Mission statement.....	1
07.02.2	Objectives	1
07.02.3	Standards of audit practice	2
07.02.4	Organizational responsibility and reporting relationships...2	
07.02.5	Independence.....	2
07.02.6	Scope of work.....	3
07.02.7	Accountability and responsibility.....	4
07.02.8	Authority	5
07.03	Fraud policy	6
07.03.1	Broadened definition	7
07.03.2	Further definition	7
07.03.3	Fraudulent act determination.....	8
07.03.4	Duties and responsibilities.....	8
07.03.5	Investigation.....	9
07.03.6	Disciplinary actions.....	9
07.03.7	Fraud reporting	10
07.04	Budget rules and procedures	11
07.04.1	Fiscal year	11
07.04.2	General.....	11
07.04.3	Budgets and other expenditure certifications	12
07.04.4	Budget adjustments.....	12
07.05	Debt management policy	15
07.05.1	General debt management policy	15
07.05.2	RFS debt management policy	16
07.05.3	HEAF debt management policy	19
07.05.4	Equipment financing (under the commercial paper program).....	20
07.05.5	Failed remarketing procedures	20
07.05.6	Post tax-exempt issuance compliance	20
07.06	Credit extension	21
07.07	State comptroller deposits	21
07.07.1	Cash receipts	21
07.07.2	Depositing rules.....	21
07.07.3	Revolving funds	21

07.08	Primary and secondary depositories for the TTU system	21
07.08.1	Primary depository	21
07.08.2	Secondary depositories.....	21
07.09	Travel authorization, reimbursement, and institutional reg's	22
07.09.1	Travel approval	22
07.09.2	Board members' travel and other expenses.....	22
07.09.3	Chancellor's travel and other expenses.....	23
07.09.4	Faculty, staff, and student travel.....	24
07.10	Approval of tuition and student fees	24
07.10.1	Assessment	24
07.10.2	Collection.....	24
07.10.3	Exemptions and waivers	24
07.10.4	Student financial assistance	24
07.11	Aircraft use and operation	25
07.11.1	Air travel requirements	25
07.11.2	Foreign air travel	25
07.11.3	Information requirement.....	26
07.11.4	Aircraft made available to the TTU system by other than commercial operations	26
07.11.5	Insurance	26
07.11.6	Administrative requirements	26
07.11.7	Waiver.....	27
07.11.8	Aircraft piloted by TTU system personnel on official business.....	27
07.12	Contracting policies and procedures	27
07.12.1	General.....	27
07.12.2	Prohibited contracts	29
07.12.3	Board approval	30
07.12.4	Board delegation of authority.....	34
07.12.5	Chancellor's approval	36
07.12.6	President's approval.....	37
07.12.7	Required approvals summary	37

07.13	HUBs.....	39
07.13.1	TTU system commitment.....	39
07.13.2	HUB Coordinators	39
07.14	Professional Medical Malpractice Self-Insurance Plan settlement authority.....	39
07.14.1	Board authority.....	39
07.14.2	Board approval	39
07.14.3	Chancellor approval.....	40
07.14.4	Vice chancellor and general counsel approval.....	40
07.14.5	Approval during period between board meetings.....	40
	Appendix A – Summary of required employment agreement approvals.....	42

Chapter 08 -- Facilities

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Amendments as noted*10-10-2014:
 - §08.01.9 – revised FP&C fees ... • §08.02.4.e – re: honorary statutes ... • §08.07.5 – re: alcohol policy
- *Amendments as noted*10-20-2017:
 - §08.01.2 – revised to a general statement defining major and minor projects ... • §08.01.3 – overhaul of approval processes for major projects ... • §08.01.7 – emergency authorization for use of contingencies
- *Amendments*05-18-2018:
 - §08.01.3.a – added feasibility study option
- *Amendment added §08.12*.....08-10-2018:
 - (1) allows institutional presidents, rather than the board, to adopt policies that regulate traffic and parking on their respective campuses; and (2) continues to require board approval of parking fee rates

08.00	Definitions	1
08.00.1	“Facility” or “facilities”	1
08.00.2	“FP&C Office” and “FP&C”	1
08.00.3	“FP&C vice chancellor”	1
08.00.4	“University Public Art Committee”	1
08.00.5	“Public Art Collection”	1
08.01	Construction program	2
08.01.1	Construction procurement methods	2
08.01.2	Authorization.....	2
08.01.3	Major construction projects.....	2
08.01.4	Minor construction projects.....	7
08.01.5	Procurement procedures.....	8
08.01.6	Contract execution	8
08.01.7	Emergency actions	8
08.01.8	Wage rate schedule	9
08.01.9	Project management fee	9
08.02	Campus planning and enhancements	10
08.02.1	Campus master plans and accompanying architectural and site design guidelines.....	10
08.02.2	Additional provision regarding architectural and site design guidelines.....	10
08.02.3	Public Art Program	11
08.02.4	University Public Art Committee	11
08.02.5	Landscape Enhancement Program	14
08.02.6	Combining programs.....	14
08.02.7	Private development.....	14

08.03	Preservation of campus buildings and landmarks	14
08.04	TTU system building cornerstones and plaques	14
08.04.1	Cornerstones	14
08.04.2	Building plaques	14
08.04.3	Recognition plaques	15
08.04.4	Building demolition	15
08.05	TTU system buildings and facilities naming	15
08.05.1	General	15
08.05.2	Naming restrictions	17
08.05.3	Name changes	17
08.05.4	Removal of a naming	18
08.05.5	Board advance notification	18
08.05.6	Board approval	18
08.05.7	Exceptions	18
08.06	Construction code and standard requirements	18
08.06.1	Adopted codes and standards	18
08.06.2	Other applicable codes	20
08.07	TTU system space and facilities use	20
08.07.1	Prioritization	20
08.07.2	Community cultural facilities	21
08.07.3	Non-profit organizations	22
08.07.4	Department of Athletics facilities – TTU and ASU	23
08.07.5	Alcoholic beverages	24
08.08	On-campus speakers	25
08.08.1	Operating policies	25
08.08.2	Freedoms	25
08.09	Handbills, leaflets and advertising material distribution	26
08.09.1	Implementation policies	26
08.09.2	General	26
08.09.3	Advertising	26
08.09.4	United States mail	26
08.09.5	On-campus distribution	26
08.09.6	Automobiles	26

08.10	Solicitations	27
08.10.1	Policy administration.....	27
08.10.2	Definition.....	27
08.10.3	Approved organizations	27
08.10.4	Solicitation exception.....	27
08.10.5	Individual buildings	27
08.10.6	Residence halls.....	27
08.10.7	On-campus solicitations by organizations not associated with the TTU system.....	28
08.10.8	Further guidelines	28
08.11	Solicitations and sale of publications	28
08.11.1	TTU system benefit	28
08.11.2	Money raised.....	29
08.11.3	Solicitations other than to raise money	29
08.12	Regulations for the operation and parking of vehicles	29

Chapter 09 -- Investments and Endowments

Dates Approved or Amended:

- *Comprehensive review/amendments*08-08-2014
- *Miscellaneous clean-up revisions*.....10-09-2015 • to §09.07.3
- *Amendment re: SITIF management*.....08-10-2018
 - to §09.02 to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF

09.00	Chapter definitions	1
09.00.1	"IAC"	1
09.00.2	"LTIF"	1
09.00.3	"SITIF"	1
09.00.4	"CIO"	1
09.00.5	"Treasurer"	1
09.01	Roles and responsibilities	1
09.01.1	Investment Advisory Committee.....	1
09.01.2	Investment consultant	2
09.01.3	Chief investment officer.....	2
09.01.4	Treasurer.....	2
09.01.5	Investment managers.....	2
09.01.6	Bank custodian(s)	3
09.02	Short/Intermediate Term Investment Fund	5
09.03	Long Term Investment Fund (Endowment)	5
09.04	Proxy voting	5
09.05	Directed commissions	5
09.06	Commingled funds	5
09.07	Mineral leases	6
09.07.1	Lands under exclusive control of the board and owned by the state of Texas.....	6
09.07.2	Mineral/royalty interests derived from trusts and gifts	7
09.07.3	Approval.....	7

Chapter 10 -- Intellectual Property Rights

Dates Approved or Amended:

-- Comprehensive review/amendments10-09-2015

10.01	Statement of Basic Philosophy and Objectives.....	1
10.02	Definitions	2
10.02.1	Commercialization	2
10.02.2	Copyrightable Work	2
10.02.3	Covered Person.....	2
10.02.4	Creator	3
10.02.5	Distance Learning.....	3
10.02.6	Intellectual Property	3
10.02.7	Invention.....	3
10.02.8	Office of Research Commercialization or ORC.....	3
10.02.9	ORC Director.....	4
10.02.10	Policy	4
10.02.11	Software	4
10.02.12	Sponsored Research Agreement	4
10.02.13	Tangible Research Property	4
10.02.14	Trademark (including Service Mark)	4
10.02.15	Trade Secrets	4
10.02.16	TTUS Resources.....	4
10.02.17	Work for Hire.....	5
10.03	Ownership.....	5
10.03.1	Ownership of intellectual property by TTUS.....	5
10.03.2	Consulting Activities	5
10.03.3	Ownership of Copyrightable Works	6
10.04	Research Involving Third Party	8
10.05	Disclosure of Intellectual Property.....	9
10.06	Offers to Assign External Intellectual Property to TTUS.....	9
10.07	Acquisitions of Intellectual Property in Connection with New Employee.....	10

10.08	Assessments by the ORC.....	10
10.09	Reasonable Cooperation.....	11
10.10	Implementation of Intellectual Property Agreements.....	11
10.11	No Restriction on Future Research.....	14
10.12	Distribution of Revenue from Intellectual Property Agreements.....	14
10.13	Distribution of Revenue from Commercialization of Copyrightable Works	16
10.14	Trademarks.....	17
10.15	Complaint Procedure.....	17
10.16	Reports to the Board	19
10.16.1	Reporting to board of intellectual property disclosures and license/assignment agreements	19
10.16.2	Notification to and approval by board of employee participation in business entities.....	19
10.16.3	Reports to the State of Texas.....	19

Chapter 11 -- Athletics at TTU and ASU

Dates Approved or Amended:

-- Comprehensive review/amendments10-11-2013

11.01	Intercollegiate athletics governance and control	1
11.01.1	Compliance.....	1
11.01.2	Annual report.....	1
11.01.3	Institutional control.....	1
11.01.4	Faculty athletics representative.....	1
11.02	Athletic Councils.....	2
11.02.1	Authorization to establish an Athletic Council.....	2
11.02.2	Role.....	2
11.02.3	TTU Athletics Council – membership; rules, regulations and bylaws	2
11.02.4	ASU Athletics Council – membership; responsibility and charge	3
11.03	Post-season athletic competition.....	4
11.03.1	Qualifying and participation standards.....	4
11.03.2	Notification.....	4
11.04	Intercollegiate athletics media rights.....	5
11.04.1	Ownership.....	5

Chapter 12 -- Honorifics and Seals

Dates Approved or Amended:

- *Comprehensive review/amendments*08-09-2013
- *Amendments*12-12-2014 • added new §12.06 re: honorary statues and re-numbered subsequent sections
- *Amendments*05-19-2017 • added new §12.09 re: a seal monument for TTU-Costa Rica

12.01	Retiring regents, chancellors and presidents recognition.....	1
12.02	Awards and Recognitions.....	1
12.02.1	Infinito Horizonte Award	1
12.02.2	Distinguished Service Award.....	2
12.03	Plaques commemorating former chancellors and presidents	2
12.03.1	Style	2
12.03.2	Historical perspective	2
12.03.3	Board approval	2
12.04	Honorary degrees	2
12.04.1	Guidelines.....	2
12.04.2	Eligibility	4
12.04.3	Nominations.....	4
12.04.4	Nomination evaluation.....	4
12.04.5	Recommendations and authorization	4
12.04.6	Chancellor's duty.....	4
12.04.7	Confidentiality of the process	4
12.04.8	Notification to the recipient.....	5
12.04.9	Award.....	5
12.04.10	Rescission of an honorary degree	5
12.05	Other honorific naming not a facility or gift related naming	5
12.06	Honorary statue, sculpture, or other artistic representation	5
12.07	TTU system seals [see also – Board Policy Statement on Seals]	6
12.07.1	Authorized seals.....	6
12.07.2	Seals custodian and authorized use.....	7
12.08	The TTU School of Law seal.....	7
12.09	The TTU–Costa Rica seal monument	7