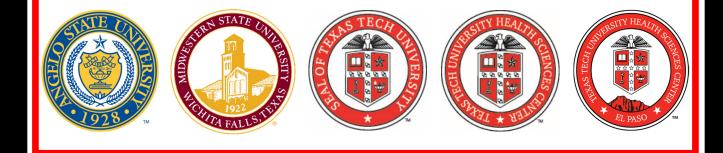


TEXAS TECH UNIVERSITY SYSTEM

BOARD OF REGENTS

AGENDA BOOK

November 14-15, 2024



BOARD OF REGENTS

TEXAS TECH UNIVERSITY SYSTEM

AGENDA

November 14-15, 2024

BOARD OF REGENTS

Mr. Mark Griffin, Chairman Mrs. Ginger Kerrick Davis, Vice Chairwoman Ms. Arcilia C. Acosta Mr. Cody C. Campbell Mr. Clay Cash Mr. Clay Cash Mr. Tim Culp Mr. Pat Gordon Dr. Shelley Sweatt Mr. Dusty Womble Mr. Jad Zeitouni, Student-Regent

Standing Committee Chairs and Vice Chairs:

Academic, Clinical and Student Affairs: Ginger Kerrick Davis (Chair); Shelley Sweatt (Vice Chair); and Jad Zeitouni (Student Regent)

> Audit: Arcilia Acosta (Chair) and Pat Gordon (Vice Chair)

Facilities: Dusty Womble (Chair) and Arcilia Acosta (Vice Chair)

Finance and Investments: Cody Campbell (Chair) and Pat Gordon (Vice Chair)

[NOTE: All nine board members serve as voting members of each committee.]

AGENDA

Lubbock, Texas

November 14-15, 2024

Abbreviated Agenda with Approximate Times*

Thursday, November 14, 2024

Meeting of the Board

9:00 am	 Call to Order; convene as Meeting of the Board and Committee of the Whole Board TTU Fundraising Campaign Report Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
9:15 am	Recess

CONTINUED ON NEXT PAGE

*For general information. All open session meetings of the Board of Regents will take place in the Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, Any executive session meetings that should occur throughout the day will take place in the Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas. The times listed are estimates, with periodic recesses. On Thursday, November 14, 2024, the Meeting of the Board will convene at 9:00 am to accept a report after which the Meeting of the Board will recess. Committee meetings will commence sequentially upon the recess of the Meeting of the Board at approximately 9:15 am. The Board will reconvene the Meeting of the Board to convene into Executive Session upon adjournment of the last committee meeting or whenever deemed necessary. The Meeting of the Board is expected to recess for the day on Thursday, November 14, 2024, on or before 4:15 pm; however, if needed, the meeting may continue beyond 4:15 pm until completed. On Friday, November 15, 2024, the Meeting of the Board will reconvene at 8:30 am to conduct its regular business. If necessary, the Meeting of the Board may recess at approximately 9:00 am, to conduct any committee meetings which were not concluded on Thursday, November 14, 2024. The Meeting of the Board will reconvene upon adjournment of any committee meeting(s), if applicable, to complete the remainder of its business. The Meeting of the Board is expected to adjourn on or before 11:00 am; however, if needed, the meeting may continue beyond 11:00 am until completed. The full board agenda is detailed on pages vi through xv. The agenda for each session of the board meeting or a meeting of a committee of the board is detailed behind the appropriate divider tab.

Lubbock, Texas

November 14-15, 2024

Abbreviated Agenda with Approximate Times*

Thursday, November 14, 2024

Committee Meetings

CONTINUED FROM PREVIOUS PAGE

9:15 am (or upon recess of the Meeting of the Board)	Audit Committee Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
9:30 am (or upon adjournment of the Audit Cmte. meeting)	Facilities Committee Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
10:30 am (or upon adjournment of the Facilities Cmte. meeting)	Finance and Investments Committee Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
11:00 am (or upon adjournment of the Finance and Investments Cmte. meeting)	Academic, Clinical and Student Affairs Committee Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

CONTINUED ON NEXT PAGE

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Lubbock, Texas

November 14-15, 2024

Abbreviated Agenda with Approximate Times*

Thursday, November 14, 2024

Meeting of the Board

CONTINUED FROM PREVIOUS PAGE

11:45 am (or adjournment of the last committee meeting)	Call to Order; convene as Meeting of the Board and Committee of the Whole Board Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
11:45 am	Executive Session Location: Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
4:00 pm	 Following Executive Session, reconvene into Open Session as Committee of the Whole ES Motions, if any Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
4:15 pm	Recess

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Lubbock, Texas

November 14-15, 2024

Abbreviated Agenda with Approximate Times*

Friday, November 15, 2024

Meeting of the Board

8:30 am	 Call to Order; reconvene as Meeting of the Board and Committee of the Whole Board Introductions and Recognitions Approval of minutes Approval of Consent and Information agendas 2025 BOR meeting schedule SGA President Reports Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
9:45 am	Executive Session Location: Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
10:45 am	 Following Executive Session, reconvene into Open Session as Committee of the Whole ES Motions, if any Announcements Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
11:00 am	Adjournment

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November 14-15, 2024

Agenda

<u>Thursday, November 14, 2024</u> Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

I.	Sessi Sessio	on of t on and	the Board—Call to Order; convene into Open the Board. The Board will continue in Open meet as a Committee of the Whole and Meeting to consider and act on:	n Griffin
	A.	TTU: Repoi	Comprehensive Fundraising Campaign rtPresident Schovanec and Mr. K	(ennedy
II.	Reces	SS	Chairma	n Griffin
III.		on: Re	Standing Committees egents Conference Room (104A), First Floor, System Iministration Building, 1508 Knoxville Avenue, Lubbock	k, Texas
	A.	Audit	Committee	<u>Page</u> A
		1.	TTUS: Report on audits	3
		2.	Adjournment	
	В.	Facilit	ties	<u>Page</u> F
		1.	MSU: Approve expenditures of the Student Success and Military Education Center project for Design Professional Stage II design services	3
		2.	TTU: Approve expenditure of the National Ranching Heritage Center's Red Steagall Institute project for Design Professional Stage II design services	5

	3.	TTUHSC: Approve expenditure of the TTUHSC Lubbock – 5B West Research Lab Renovations project and accept the Construction Manager At Risk GMP for Bid Package I – Demolition and Early Equipment Package	7
	4.	TTUHSC EI Paso: Approve expenditure of the Clinical Sciences Building project for Construction Manager Agent	9
	5.	TTUHSC EI Paso: Approve expenditures of the Comprehensive Cancer Center project for Construction Manager Agent	11
	6.	TTUS: Report on Facilities Planning and Construction projects	14
	7.	TTU: Report on the Texas Tech University Campus Strategic Alignment	15
	8.	Adjournment	
C.	Finan	ce and Investments Committee	<u>Page</u> FI
	1.	TTUS: Approve tuition and fee updates	2
	2.	TTUS: Approve amendments to <i>Regents' Rules</i> , Chapter 09 and Comprehensive Cash Pool Investment Policy Statement	3
	3.	TTUS: Investment Performance Update	6
	4.	TTUS: Report on endowments	7
	5.	Adjournment	
D.	Acade	emic, Clinical and Student Affairs Committee	<u>Page</u> ACS
	1.	TTU: Approve appointments with tenure	3
	2.	TTU: Approve termed-naming of the Immigration Clinic within the School of Law	5

	3.	TTU: Approve Bachelor of Science degree in Economics and Math6	
	4.	TTU: Approve conferral of an honorary degree8	
	5.	TTUHSC: Report on pathway to National Cancer Institute designation9	
	6.	TTUHSC: Recognition of The Kenneth H. Cooper Institute at Texas Tech University Health Sciences Center	
	7.	Adjournment	
			ffin
Executive Session: The Board will convene into Executive Session, in the Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue to consider matters permissible under Chapter 551 of the <i>Texas Government</i> <i>Code</i> , including, for example:		ffin	
A.	comm	nunications, pending or contemplated litigation and	
В.			
C.	Delibe	erations regarding prospective gifts – Section 551.073	
D.	evalua	ation, reassignment, duties, discipline or dismissal of	
E.			
Reger Admir Comn	nts Cor histratic nittee o	nference Room (104A), First Floor, System on Building, 1508 Knoxville Avenue and meet as a	
	Sessi Syste matte Code A. B. C. D. E. Open Regen Admir Comm	4.5.6.7.Meeting of the session of the session of the session, in the system Administration of the second of the	 Economics and Math

A. Consideration of appropriate action, if any, on items discussed in Executive Session Vice Chairwoman Kerrick Davis

VII.	Recess	Chairman	Griffin

Friday, November 15, 2024 Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

Introductions and Recognitions	Chancellor Mitchell,
	President Hawkins,
	President Haynie,
	President Schovanec,
	President Rice-Spearman, and
	President Lange
	Introductions and Recognitions

- **IX. Recess** (if necessary, for standing committees to meet; otherwise continue in Open Session (XII.))
- X. Meeting of Standing Committees (if not concluded on Thursday)
- XI. Meeting of the Board—Call to Order; reconvene into Open Session of the Board (only if the Meeting of the Board was recessed to conduct committee meetings)
- **XII. Open Session:** The Board will continue in Open Session and meet as a Committee of the Whole and Meeting of the Board to consider and act on:
 - A. Approve minutes of the board meeting held on August 8-9, 2024..... Chairman Griffin
 - B. Committee of the Whole..... Vice Chairwoman Kerrick Davis

<u>Page</u> CW

Consent Agenda

- a. ASU: Approve and acknowledge actions relating to low-producing degree programs (ACS)
- b. ASU: Approve revisions to Operating Policy OP 06.23, Tenure and Promotion Standards and Procedures (ACS)
- c. MSU: Approve emeritus appointments (ACS)
- d. MSU: Approve faculty development leave of absence (ACS)
- e. TTU: Approve and acknowledge actions relating to low-producing degree programs (ACS)
- f. TTU: Approve exceptions to nepotism policy (ACS)
- g. ASU, MSU, TTU, TTU SVM, TTUHSC, TTUHSC EI Paso, and TTUS: Acknowledge the Campus Condition Report (F)
- h. TTUHSC: Approve text, installation and location of plaque for Dr. Tedd L. Mitchell, former president of Texas Tech University Health Sciences Center (F)
- i. TTUS: Approve amendments to *Regents' Rules*, Chapter 08 (Facilities) regarding project assessment fees (F)
- j. ASU, TTU, and TTUS: Approve purchasing contract(s) in excess of \$1,000,000 (FI)
- k. TTU and TTUHSC: Authorize president to enter negotiations and execute a contract with Atmos Energy Corporation for natural gas distribution transportation services (FI)
- I. TTU: Authorize president to enter negotiations and execute a new contract with 88 Investments Inc. for KCOS broadcast tower antenna site sublease (FI)
- m. TTUHSC EI Paso: Approve commissioning of police officers (FI)
- n. TTUS: Authorize chancellor to enter negotiations and execute a contract for treasury support services (FI)

Information Agenda

Information is provided as required by Section 01.02.7.d(4)(c), *Regents' Rules*

 ASU, MSU, TTU, TTUHSC and TTUHSC El Paso: Summary of Revenues and Expenditures by Budget Category, FY 2024 (as of August 31, 2024), per Section 01.02.8.d(3)(g), *Regents' Rules* – All actual expenditures will be reviewed by the Finance and Investments Committee annually and provided as information. Financial reports for the most recently completed quarter for each of the component institutions are available at: <u>https://www.texastech.edu/offices/cfo/board-financial-</u> reports.php

(2) TTUHSC: Contracts for ongoing and continuing health-related service relationships per Section 07.12.4.c. Regents' Rules - The following are excepted from the requirements of Section 07.12.3.a and Section 07.12.3.b, Regents' Rules, "the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks: resident or faculty support; and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract or new health related services contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000. Before such a contract may be executed, the president shall obtain the prior review of the TTU system Office of General Counsel and the TTU system vice chancellor and chief financial officer. or their designees. A list of health-related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting."

- (3) MSU, TTU, TTUHSC, and TTUHSC EI Paso: Contracts that involve a stated or implied consideration that total in excess of \$1.000.000 over the entire term of the contract but the per annum amount is less than \$1,000,000 per section 07.12.4 of the *Regents*' Rules - The following are excepted from the requirements of Section 07.12.3.a and 07.12.3 b, Regents' Rules, "the chancellor or president, or the chancellor or presidents designee, as appropriate, is delegated the authority to approve: (i) contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000; and (ii) any amendment, extension, or renewal of a contract originally approved by the chancellor or president, as appropriate, so long as the amendment, extension, or renewal does not cause the per annum amount of the contract to exceed \$1,000,000. This requirement is applicable to both cash and non-cash considerations. Information about such contracts or contract amendments, extensions, or renewals that are approved by the chancellor or a president under this delegation of authority shall be provided to the board as an information item at the next regular board meeting."
- (4) ASU, TTU, TTUHSC, and TTUHSC EI Paso: Consulting contracts with an initial consideration of \$100,000 or less per Section 07.12.4.e.(1), *Regents' Rules* – <u>"(a) Board</u> approval is not required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of \$100,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."
- (5) TTU and TTUHSC: Contracts for Sponsored Programs Projects per Section 07.12.4.b., *Regents' Rules* – <u>"The board delegates to the</u> presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of \$1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of \$1,000,000 per annum shall be

provided to the board as an information item at the next regular board meeting."

- (6) TTUHSC: Exigent circumstances approval by Section 07.12.3.i, *Regents' Rules* – <u>"Unless</u> prohibited by law, when an emergency or exigent circumstances exist and it is not feasible or practical to convene a quorum of the board within the time in which action is needed, approval may be given for a contract proposal submitted by the chancellor, or the chancellor's designee, by verbal approval of the chair or of the chair of the Finance and Investments Committee. Contracts approved in this manner shall be presented to the board as an information item at the next board meeting."
- (7) TTUS: Named Funds per Section 13.02.3.a, *Regents' Rules* – <u>"The board delegates approvals to name endowments or other gift funds established through a private gift of less than \$5 million to the president of the benefitting institution, in consultation and cooperation with the chancellor and the VCIA. Notice shall be provided to the board of re-gents as part of the information agenda at the next board meeting."</u>
- (8) ASU and MSU: Namings of a facility resulting from gifts less than \$500,000.00 per Section 13.02.2.b(4), *Regents' Rules* – <u>"Gifts of less than</u> \$500,000.00 that meet the requirements outlined in this chapter [13] for naming of a facility, as defined herein, may be approved by the component institution president, in cooperation and coordination with the VCIA. The item will be placed as an information item at the next board meeting after the public announcement."
- (9) TTU: Namings of a facility per Section 13.02.2., *Regents' Rules* – <u>"Namings of a facility</u> within the TTU system resulting from a private gift and that recognizes or otherwise honors [a] . . . corporation or other entity must be approved by the board, except as otherwise provided by Section 13.02.2.b(4), *Regents'* <u>Rules herein."</u>
- (10) TTU and TTUHSC: Report on the establishment of new centers and institutes per Section 04.11.2., *Regents' Rules* – <u>"The</u> establishment or substantive restructuring of a center, institute, or other academic unit or program not addressed in Section 04.11.1 herein shall be approved by the president, with notice provided to the board via an item in the Information Agenda for the next meeting of the board."

- (11) TTU: Correction to Feb 29, 2024, BOR Item to approve changes in academic rank per Section 04.02, *Regents' Rules* – <u>"Each component</u> institution of the TTU system shall publish and maintain as part of the institution's operating manual Tenure and Promotion Regulations and Guidelines. The institutional operating policies for tenure and promotion, and any changes thereto, must be approved by the board."
- ASU, MSU, TTU, TTUHSC and TTUHSC EI (12) Paso: Chief Executive Officer Title IX administrative reports as required by Texas Education Code, Section 51.253(c) - "Subject to Subsection (d), at least once during each fall or spring semester, the chief executive officer of a postsecondary educational institution shall submit to the institution's governing body and post on the institution's Internet website a report concerning the reports received under Section 51.252. The report: (1) may not identify any person; and (2) must include: (A) the number of reports received under Section 51.252; (B) the number of investigations conducted as a result of those reports; (C) the disposition, if any, of any disciplinary processes arising from those reports; (D) the number of those reports for which the institution determined not to initiate a disciplinary process, if any; and (E) any disciplinary actions taken under Section 51.255." Reports for the most recently completed reporting period are available to the board and are posted on each component institution website.
- (13) TTU: Office of Research Commercialization (ORC) report per Section 10.16.1, *Regents' Rules* – <u>"At least once a year, coincidental with a</u> regular board meeting, the ORC Director shall prepare for the chancellor and the board a report listing the titles and a brief description of each intellectual property disclosure received since the last report, all license and assignment agreements entered into by the ORC, and the status of existing license and assignment agreements, including the distribution of revenues earned from such agreements."

- - A. Consultation with attorney regarding privileged communications, pending or contemplated litigation and settlement negotiations Section 551.071
 - B. Deliberations regarding real property: The purchase, lease, exchange, or value of real property Section 551.072
 - C. Deliberations regarding prospective gifts Section 551.073
 - D. Personnel matters: Discuss the appointment, employment, evaluation, reassignment, duties, discipline or dismissal of employees Section 551.074
 - E. Deliberations regarding security devices—deployment of security personnel or devices Section 551.076
- **XIV. Open Session:** The Board will convene into Open Session in the Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue and meet as a Committee of the Whole and Meeting of the Board to consider and act on:
 - A. Consideration of appropriate action, if any, on items discussed in Executive Session Vice Chairwoman Kerrick Davis
 - B. Chairman's Announcements Chairman Griffin
- XV. Adjournment Chairman Griffin

AUDIT

Audit Committee

Committee Meeting November 14, 2024

- **Time:** 9:15 am (or upon recess of the Meeting of the Board)
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

Regents: Acosta (Chair) and Gordon (Vice Chair) (The Audit Committee consists of all nine board members.)

<u>Agenda</u>

- Approve minutes of committee meeting held on August 8, 2024
- III.A. Consideration of items to be recommended by the Audit Committee to the Board of Regents of the Texas Tech University System ("TTUS") for and on behalf of Angelo State University ("ASU"), Midwestern State University ("MSU"), the TTU System Administration ("TTUSA"), Texas Tech University ("TTU"), Texas Tech University Health Sciences Center ("TTUHSC"), and Texas Tech University Health Sciences Center at El Paso ("TTUHSC El Paso")

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	А

- 1. TTUS: Report on audits2
- 2. Adjournment
- NOTE: All members of the Texas Tech University System Board of Regents serve as members on the Audit Committee. Action taken by this committee is final and does not require a report to the Full Board.

1. <u>TTUS: Report on audits.</u>

Presenter: Mrs. Kim Turner Presentation Time: 7 minutes Report to Board required by: Section 07.02.7, *Regents' Rules*; and Audit Committee Charter

Mrs. Kim Turner, chief audit executive, will present a report on the System's audit projects.

FACILITIES

<u>Page</u>

Facilities Committee

Committee Meeting November 14, 2024

- **Time:** 9:30 am (or upon adjournment of the Audit Committee meeting)
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
 - Regents: Womble (Chair) and Acosta (Vice Chair) (The Facilities Committee consists of all nine board members.)

<u>Agenda</u>

- Approve minutes of committee meeting held on August 8, 2024
- **III.B.** Consideration of items to be recommended by the Facilities Committee to the Board of Regents of the Texas Tech University System ("TTUS") for and on behalf of Angelo State University ("ASU"), Midwestern State University ("MSU"), the TTU System Administration ("TTUSA"), Texas Tech University ("TTU"), Texas Tech University Health Sciences Center ("TTUHSC"), and Texas Tech University Health Sciences Center at El Paso ("TTUHSC El Paso")

		Г
1.	MSU: Approve expenditure of the Student Success and Military Education Center project for Design Professional Stage II design services	.3
2.	TTU: Approve expenditure of the National Ranching Heritage Center's Red Steagall Institute project for Design Professional Stage II design services	.5
3.	TTUHSC: Approve expenditure of the TTUHSC Lubbock – 5B West Research Lab Renovations project and accept the Construction Manager At Risk GMP for Bid Package I – Demolition and Early Equipment Package	.7
4.	TTUHSC EI Paso: Approve expenditure of the Clinical Sciences Building project for a Construction Manager Agent	.9

5.	TTUHSC El Paso: Approve expenditure of the Comprehensive Cancer Center project for a Construction Manager Agent	11
6.	TTUS: Report on Facilities Planning and Construction projects	14
7.	TTU: Report on the Texas Tech University Campus Strategic Alignment	15
8.	Adjournment	
NOTE	E: All members of the Texas Tech University System Board of Regents serve as members on the Facilities Committee. Action taken by this committee is final and does not require a report to the Full Board.	

1. <u>MSU: Approve expenditure of the Student Success and</u> <u>Military Education Center project for Design Professional</u> <u>Stage II design services.</u>

Presenter: Mr. Billy Breedlove Presentation Time: 10 minutes Board approval required by: Section 08.01., *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents authorize the chancellor or the chancellor's designee to (i) approve expenditure of \$287,348 for a total of \$387,073 for the Student Success and Military Education Center project with an anticipated project budget of up to \$4,000,000; (ii) amend the Design Professional ("DP") Agreement; and (iii) authorize Stage II design services. The total expenditure of \$387,073 which includes the previously approved \$99,725 will be funded with grants and gifts.

The president further requests authorization to negotiate and execute all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

BACKGROUND INFORMATION

This approval grants authorization to amend the Design Professional ("DP") Agreement for Stage II design services consisting of Design Development ("DD"), Construction Documents ("CD"), Construction Administration ("CA") Phases, and to provide a Statement of Probable Cost.

The Daniel Building was renovated into the new Bridwell Activities Center and made available for student use in August 2022. At that time, the remodeled first floor included Greek chapter rooms, general meeting rooms, offices, and a café. However, funding was not available for renovation of any part of the second floor until now.

The total area available for renovation is 17,345 GSF with approximately 12,720 GSF to be utilized by the student success initiative and military partnership; the remaining 4,625 GSF will be for future expansion. The programming phase defined the specific needs of each group.

 The Military Education Center will provide a reception/lobby area with student workspaces adjacent. Off the lobby will be located a lounge with a children's play area and there is also a separate waiting area adjacent to the offices. Offices will be provided for the Academic Counselors, Career Counselor, Financial Aid, Base Liaison, Director, Veterans Affairs, Military Adult Education Manager, Administrative Assistant, and two flex offices. The center also houses a breakroom and work room.

- Access to The Student Success Center lobby will be through theqw Student Success Lounge. The center will provide cubicles for Success Coaches (10), offices for Academic Counselor, Mustang Adventure, and Consultation (4).
- 3) Also, the renovated space will provide restroom facilities, a common lounge area, IT closet, janitor space, and elevator lobby.

In August 2024, the Board of Regents authorized moving forward with the Student Success and Military Education Center project, with an anticipated project budget of \$4,000,000. Approved expenditure of \$99,725 to execute a Design Professional ("DP") Agreement and authorizes Stage I design services to move forward on the project's vision through the programming and schematic design phases, provide a Statement of Probable Cost, and project schedule. The expenditure was funded with gifts.

The MSU president has verified the source of funds. The vice chancellor and chief financial officer of the TTU System also acknowledges the source of the funds for this project.

2. <u>TTU: Approve expenditure of the National Ranching</u> <u>Heritage Center's Red Steagall Institute project for Design</u> <u>Professional Stage II design services.</u>

Presenters: Mr. Billy Breedlove Presentation Time: 10 minutes & Mr. Jim Bret Campbell Board approval required by: Section 08.01., *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents authorize the chancellor or the chancellor's designee to (i) approve expenditure of \$1,548,857 for a total of \$2,063,075 for the National Ranching Heritage Center's Red Steagall Institute project, with an anticipated project budget of \$28,000,000; (ii) amend the Design Professional ("DP") Agreement; and (iii) authorize Stage II design services. The total expenditure of \$2,063,075 which includes the previously approved \$514,218 will be funded with gifts.

The president further requests authorization to negotiate and execute all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

BACKGROUND INFORMATION

This approval grants authorization to amend the Design Professional ("DP") Agreement for Stage II design services consisting of Design Development ("DD"), Construction Documents ("CD"), Construction Administration ("CA") Phases, and to provide a Statement of Probable Cost.

The Red Steagall Institute for Traditional Western Arts will honor excellence in Western writing and music, leather working, metal working, painting, and sculpture. The Institute's goal is to move these artisan forms forward into the future with the most professional, artistic, authentic, educational platforms.

The Red Steagall Institute is not a museum nor is it a hall of fame. It is a learning institute dedicated to the preservation of the arts of painting, sculpture, metalworking, leather working, and the compositions of music and poetry. The mission being the preservation of these art forms for future generations. The Red Steagall Institute will preserve, pass forward, and provide the public with rich stories told through traditional Western art. Masters-in-residence will demonstrate for the public and scholars the techniques of their trades including bit and spur making; leatherwork; painting and sculpting; song and poetry; and the written word-through full-time workshops and studio spaces. Students of traditional Western arts will learn from masters through specialized programming. A world-class facility will provide opportunities available nowhere else in the world.

Seminars held at the institute will serve as a platform from which aspiring young artists can present their works and search for entry and a 'leg up' on the ladder of success. These aspiring artists will be selected from applicants all over the country. It will also serve as a home laboratory for the students in the art department at Texas Tech University. So many times, talent is not recognized nor developed because the artist does not have an arena in which to perfect their talents. Over time, we will develop an in-depth research library for students and writers who will help us preserve our western lifestyle.

The Red Steagall Institute will become a repository for other artists and writers, as well. Most importantly, it will be a place to pass on to future generations knowledge of the heritage, traditions, and values inspired by the ranching industry.

In May 2024, the Board of Regents authorized moving forward with the National Ranching Heritage Center's Red Steagall Institute project, with an anticipated project budget of \$28,000,000. Approved expenditure of \$514,218 to execute a Design Professional ("DP") Agreement and authorizes Stage I design services to move forward on the project's vision through the programming and schematic design phases, provide a Statement of Probable Cost, and project schedule. The expenditure was funded with gifts.

The senior vice president for administration and finance and chief financial officer has verified the source of funds. The vice chancellor and chief financial officer of the TTU System also acknowledges the source of the funds for this project.

3. <u>TTUHSC: Approve expenditure of the TTUHSC Lubbock –</u> <u>5B West Research Lab Renovations project and accept the</u> <u>Construction Manager At Risk GMP for Bid Package I –</u> <u>Demolition and Early Equipment Package.</u>

Presenter: Mr. Billy Breedlove Presentation Time: 5 minutes Board approval required by: Section 08.01., *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents authorize the chancellor or the chancellor's designee to (i) approve expenditure of \$743,142 for a total of \$1,954,670 for the TTUHSC Lubbock – 5B West Research Lab Renovations project, with an anticipated project budget of \$11,000,000; (ii) accept the Guaranteed Maximum Price ("GMP") for construction of Bid Package 1 – Demolition and Early Equipment Package; and (iii) amend the Construction Manager At Risk ("CMAR") Agreement for execution of Bid Package 1 – Demolition and Early Equipment Package. The total expenditure of \$1,954,670 which includes the previously approved \$1,211,528 will be funded through the Revenue Finance System ("RFS"), repaid with Capital Construction Assistance Projects ("CCAP") Legislative Appropriations.

The Board reasonably expects to incur debt obligations for the design, planning and construction of the project, and all or a portion of the debt proceeds are reasonably expected to be used to reimburse the System for project expenditures previously expended. The maximum principal amount of debt obligations to be issued for the Project is \$11,000,000.

The president further requests authorization to negotiate and execute all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

BACKGROUND INFORMATION

This approval grants authority to construct Bid Package 1 – Demolition and Early Equipment Package for the TTUHSC Lubbock – 5B West Research Lab Renovations project and incur additional expenditure of \$743,142. Total current expenditure of \$1,954,670 will be funded through the Revenue Finance System ("RFS"), repaid with Capital Construction Assistance Projects ("CCAP") Legislative Appropriations.

Bid Package 1 – Demolition and Early Equipment Package includes the selective demolition of existing structures, which entails the removal of all walls, ceilings, finishes, and overhead systems such as mechanical and electrical installations, along with specific plumbing components. The early equipment package scope of work encompasses the procurement of mechanical equipment that will serve

the newly renovated area. This equipment is designated to be installed on the roof for exhaust purposes.

This project consists of renovating approximately 14,234 square feet of research space located in the west half of Pod B on the fifth floor of the TTUHSC building. Research labs and support spaces will be renovated into modern, innovative BSL2/BSL2+ labs. All existing furniture, finishes, and built-in equipment ("FFE") will be removed, the floor plan reconfigured, and new FFE installed. All mechanical, electrical, and plumbing systems within the area of renovation will be updated and/or reconfigured. The renovations will provide flexibility to accommodate different room configurations in order to meet the various needs of the academic and research programs.

In August 2024, the Board of Regents approved expenditures of \$86,891 for a total of \$1,211,528 to award of a Construction Manager At Risk ("CMAR") Agreement and authorized pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and development of a Guaranteed Maximum Price ("GMP").

In May 2024, the Board of Regents approved expenditures of \$880,418 for a total of \$1,124,637 and amended the Design Professional ("DP") Agreement for Stage II design services consisting of Design Development ("DD"), Construction Documents ("CD"), Construction Administration ("CA") Phases, and to provide a Statement of Probable Cost.

In August 2023, the Board of Regents approved the concept and authorized expenditures of \$244,219 to award a DP Agreement and authorized Stage I design services for the Lubbock HSC – 5B West Research Lab Renovations project, with an anticipated project budget of \$11,000,000.

In May 2022, the Board of Regents acknowledged that on April 19, 2022, the chair granted an exigent circumstances approval that authorized use of the RFS for the individual total project budgets of the CCAP for TTU System institutions.

The executive vice president of finance and operations has verified the source of funds. The vice chancellor and chief financial officer of the TTU System also acknowledges the source of the funds for this project.

4. <u>TTUHSC EI Paso: Approve expenditure of the Clinical</u> <u>Sciences Building project for a Construction Manager</u> <u>Agent.</u>

Presenter: Mr. Billy Breedlove Presentation Time: 5 minutes Board approval required by: Section 08.01., *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents authorize the chancellor or the chancellor's designee to (i) approve expenditure of \$1,722,378 for a total of \$10,311,514 for the Clinical Sciences Building project, with an anticipated project budget of \$185,820,404; and (ii) award a Construction Manager Agent ("CMA") Agreement. The total expenditure of \$10,311,514 which includes the previously approved \$8,589,136 will be funded through the Revenue Finance System ("RFS") repaid with Capital Construction Assistance Projects ("CCAP") Legislative Appropriation (\$59,897,111), gift funds, and institutional funds.

The Board reasonably expects to incur debt obligations for the design, planning and construction of the project, and all or a portion of the debt proceeds are reasonably expected to be used to reimburse the System for project expenditures previously expended. The maximum principal amount of debt obligations for the Project is \$185,820,404.

The president further requests authorization to negotiate and execute all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

BACKGROUND INFORMATION

This approval grants authority to award a Construction Manager Agent ("CMA") Agreement and authorize project management assist services for the Clinical Sciences Building project. The CMA will provide comprehensive on-site Construction Administration Phase services throughout the course of construction.

The proposed project will construct an approximate 234,000 GSF, three to four story building. The planning and construction will coincide with the planning and construction of the new Comprehensive Cancer Center located on the same property.

The new facility will house all existing clinical practices in the current facility inclusive of the Breast Care Center, Internal Medicine, Neurology, Obstetrics/Gynecology, Ophthalmology, Orthopedic Surgery and Rehabilitation, Pediatrics, and Surgery, with sub-specialty emphasis on Cardiothoracic, Urology,

ENT, and Endocrinology. A non-oncology Infusion center has been requested. The project will consist of the following components supporting these clinics:

- 1. Exam and procedure rooms supporting TTUHSC El Paso School of Medicine clinical practices.
- 2. Support areas for clinical operations (nurse stations, triage/vitals, laboratory support, medicine storage, clinical supply rooms, waiting areas, and other support spaces).
- 3. Administration, clinical providers, clinical support offices and touchdown spaces.
- 4. Resident touchdown spaces and classroom areas.
- 5. Building support rooms (IT, mechanical, electrical, receiving, etc.).
- 6. Other ancillary spaces (restrooms, break areas, student study areas, etc.).
- 7. Parking

In August 2024, the Board of Regents approved expenditure of \$6,275,030 to amend the Design Professional ("DP") Agreement for Stage II design services consisting of Design Development ("DD"), Construction Documents ("CD"), Construction Administration ("CA") Phases, and to provide a Statement of Probable Cost. The total expenditures of \$8,589,136 will be funded through the "RFS" repaid with "CCAP" Legislative appropriation (\$59,897,111), gift funds, and institutional funds.

In May 2024, the Board of Regents approved expenditures of \$306,926 for a total of \$2,314,106 for the Clinical Sciences Building project, to award a Construction Manager At Risk ("CMAR") Agreement and authorize pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and development of a Guaranteed Maximum Price ("GMP").

In November 2023, the Board of Regents approved the concept and authorized expenditures of \$2,007,180 of the Clinical Sciences Building project, with an anticipated project budget of \$120,000,000, to award a DP Agreement and authorize Stage I design services through the programming and schematic design phases, provide a Statement of Probable Cost, and project schedule.

In May 2022, the Board of Regents acknowledged that on April 19, 2022, the chair granted an exigent circumstances approval that authorized use of the RFS for the individual total project budgets of the CCAP for TTU System institutions.

The vice president and chief financial officer has verified the source of funds. The vice chancellor and chief financial officer of the TTU System also acknowledges the source of the funds for this project.

5. <u>TTUHSC El Paso: Approve expenditure of the</u> <u>Comprehensive Cancer Center project for a Construction</u> <u>Manager Agent.</u>

Presenter: Mr. Billy Breedlove Presentation Time: 5 minutes Board approval required by: Section 08.01., *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents authorize the chancellor or the chancellor's designee to (i) approve expenditure of \$1,129,242 for a total of \$8,386,270 for the Comprehensive Cancer Center project, with an anticipated project budget of \$122,152,715; and (ii) award a Construction Manager Agent ("CMA") Agreement. The total expenditures of \$8,386,270 which includes the previously approved \$7,257,028 will be funded through the Revenue Finance System ("RFS") repaid with a Legislative Appropriation from the 88th Texas Legislative Regular Session (\$65,000,000 of general revenue), gift funds, and institutional funds.

The Board reasonably expects to incur debt obligations for the design, planning and construction of the project, and all or a portion of the debt proceeds are reasonably expected to be used to reimburse the System for project expenditures previously expended. The maximum principal amount of debt obligations to be issued for the Project is \$57,152,715.

The president further requests authorization to negotiate and execute all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

BACKGROUND INFORMATION

This approval grants authority to award a Construction Manager Agent ("CMA") Agreement and authorize project management assist services for Comprehensive Cancer Center project. The CMA will provide comprehensive on-site Construction Administration Phase services throughout the course of construction.

The project will construct an approximate 122,000 GSF multi-story facility to provide cancer screenings, improve treatments, and bring hope and comfort to El Paso and the surrounding communities. State-of-the-art diagnostic equipment support will be brought to building-wide operations, and an infusion clinic, radiation, and medical oncology clinics, as well as all supporting elements, will be facilitated. This will ensure a comprehensive and patient-centered approach to cancer care.

The proposed new facility will include:

- 1) An imaging center containing approximately 49,000 GSF. The new TTUHSC EI Paso Clinical Sciences Building will share this imaging center, which will be constructed simultaneously with this facility.
 - i) The imaging center will contain approximately 31,000 GSF of imaging space, which will include various machines and diagnostic equipment, including Spect, Echo, Theranostics, CTs, X-rays, PETs, MRIs, Mammogram equipment, Radiology/Fluoroscopy equipment, Ultrasound equipment, and a host of other treatment and diagnostic equipment.
 - Approximately 18,000 GSF of the imaging center will be dedicated to clinical support space, which includes the common areas, clinical support, and spaces such as waiting rooms, nurse's stations, vital collection areas, doctor collaboration areas, and resident touchdown areas.
- 2) An Oncology Center with approximately 73,000 GSF that includes:
 - i) A Medical Oncology Clinic providing approximately 13,600 GSF will house patient exam rooms, patient consultation rooms, patient procedure rooms, and support areas for clinical operations.
 - ii) A Radiation Oncology Clinic with approximately 12,000 GSF includes patient exam rooms, dressing rooms, support areas, two linear accelerators, HDR, and a computer tomograph simulator.
 - iii) An Infusion Clinic with approximately 11,700 GSF will include 25 infusion positions (including five shells), private patient rooms, consultation rooms, and support space for clinical operations.
 - iv) The remaining 35,700 SF will include Research/Clinical Trial space; Infusion Pharmacy; Resident touchdown space; Clinical support and non-assignable square footage for the administration; and common areas and building support spaces.

In May 2024, the Board of Regents approved expenditure of \$5,531,878 to amend the Design Professional ("DP") Agreement for Stage II design services consisting of Design Development ("DD"), Construction Documents ("CD"), Construction Administration ("CA") Phases, and to provide a Statement of Probable Cost. The Board also authorized award of a Construction Manager At Risk ("CMAR") Agreement and pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and development of a Guaranteed Maximum Price ("GMP"). The total expenditures of \$7,257,028 will be funded through the "RFS" repaid with a Legislative Appropriation from the 88th Texas Legislative Regular Session (\$65,000,000 of general revenue), gift funds, and institutional funds.

In November 2023, the Board of Regents approved the concept and authorized expenditures of \$1,725,150 of the Comprehensive Cancer Center project, with an anticipated project budget of \$95,000,000, to award a DP Agreement and authorize Stage I design services through the programming and schematic design phases, provide a Statement of Probable Cost, and project schedule.

The vice president and chief financial officer has verified the source of funds. The vice chancellor and chief financial officer of the TTU System also acknowledges the source of the funds for this project.

6. <u>TTUS: Report on Facilities Planning and Construction</u> projects.

Presenter: Mr. Billy Breedlove Report requested by: Board of Regents Presentation Time: 5 minutes

Mr. Billy Breedlove, Vice Chancellor for Facilities Planning and Construction, TTUS, will present a report on Facilities Planning and Construction managed projects.

7. <u>TTU: Report on the Texas Tech University Campus</u> <u>Strategic Alignment.</u>

Presenters: Mr. Ricardo Dumont and Mr. Gregory Janks Presentation Time: 30 min Report requested by: Board of Regents

Mr. Ricardo Dumont and Mr. Gregory Janks, Co-founders for DumontJanks in Boston, will present a report on the progress of the Texas Tech University Campus Strategic Alignment.

FINANCE AND INVESTMENTS

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Finance and Investments Committee

Committee Meeting November 14, 2024

- **Time:** 10:30 am (or upon adjournment of the Facilities Committee meeting)
- Place: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas
 - Regents: Campbell (Chair) and Gordon (Vice Chair) (The Finance and Investments Committee consists of all nine board members.)

<u>Agenda</u>

- Approve minutes of committee meeting held on August 8, 2024
- **III.C.** Consideration of items to be recommended by the Finance and Investments Committee to the Board of Regents of the Texas Tech University System ("TTUS") for and on behalf of Angelo State University ("ASU"), Midwestern State University ("MSU"), the TTU System Administration ("TTUSA"), Texas Tech University ("TTU"), Texas Tech University Health Sciences Center ("TTUHSC"), and Texas Tech University Health Sciences Center at El Paso ("TTUHSC El Paso")

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1.	TTUS: Approve tuition and fee updates	2
2.	TTUS: Approve amendments to <i>Regents' Rules</i> , Chapter 09 and Comprehensive Cash Pool Investment Policy Statement	3
3.	TTUS: Investment Performance Update	6
4.	TTUS: Report on endowments	7
5.	Adjournment	

NOTE: All members of the Texas Tech University System Board of Regents serve as members on the Finance and Investments Committee. Action taken by this committee is final and does not require a report to the Full Board.

1. <u>TTUS: Approve tuition and fee updates.</u>

Presenter: Mr. James Mauldin Presentation Time: 5 minutes Board approval required by: Section 07.10, *Regents' Rules;* 19 TAC Section 229.9; *Sections 54.008, 54.017, 54.051, 54.0513, 54.216, 54.218, 54.5035, 54.504, and 55.16, Texas Education Code*

RECOMMENDATION

The chancellor concurs with the recommendation of the presidents of Angelo State University, Midwestern State University and Texas Tech University that the Board of Regents approve updates for specific tuition and fee rates beginning Fall 2025 and continuing until such time that the board approves subsequent modifications.

BACKGROUND INFORMATION

All tuition, fees, rentals, rates, and charges of Angelo State University, Midwestern State University, and Texas Tech University are charged and collected under specific authorization of the laws of the State of Texas, including, but not limited to, the authorization in *Texas Education Code*, Sections 54.008, 54.017, 54.051, 54.0513, 54.216, 54.218, 54.5035, 54.504, and 55.16 and other applicable sections.

The Board of Regents has delegated to the presidents of Angelo State University, Midwestern State University, Texas Tech University, Texas Tech University Health Sciences Center, and Texas Tech University Health Sciences Center El Paso the authority to establish waiver and exemption criteria and waiver and exemption approval procedures for the fees, rentals, rates, and charges in accordance with state laws, including but not limited to *Texas Education Code*, Sections 54.213(a), 54.261, 54.218, 54.5035, and 54.0513.

Additionally, the Board of Regents has delegated to the presidents of Angelo State University, Midwestern State University, Texas Tech University, Texas Tech University Health Sciences Center, and Texas Tech University Health Sciences Center El Paso the authority to establish and maintain necessary program fees for executive style programs and degree-based program fees.

Section 07.10, *Regents' Rules*, requires that the administration present approval of tuition and student fees.

2. <u>TTUS: Approve amendments to Regents' Rules, Chapter</u> <u>09 and Comprehensive Cash Pool Investment Policy</u> <u>Statement.</u>

Presenter: Mr. James Mauldin Presentation Time: 5 minutes Board approval required by: Sec. 01.08, *Regents' Rules*

RECOMMENDATION

The chancellor recommends that the Board of Regents approve amendments to *Regents' Rules*, Chapter 09, ("Investments and Endowments") and the Comprehensive Cash Pool Investment Policy Statement. The Regents' Rules Review Committee ("Rules Committee") has reviewed the amendments and recommends that the Finance & Investments Committee consider adoption of the various amendments.

BACKGROUND INFORMATION

The proposed amendments would:

- (1) Update naming convention in both the investment policy statement and *Regents' Rules* Chapter 09 from Comprehensive Cash Pool, to Comprehensive Cash and Investment Pool (CCIP).
- (2) Updated Roles and Responsibilities section of CCIP policy statement to include the Chief Investment Officer (CIO) role with primary responsibilities for implementing and administering the Noncurrent Segment of the CCIP.
- (3) Updated segment allocation targets, between cash, contingency, and noncurrent segments in the CCIP policy statement.
- (4) Updated asset allocation from a 60/40 approach to a 70/30 split, growth/stable value, for Noncurrent Segment.
- (5) Updated benchmarks in the CCIP policy statement.
- (6) Other minor title changes, edits, and grammatical corrections throughout.

A summary of the proposed amendments is provided herein. The detailed language of the proposed amendments is included as supplemental attachments to the agenda. The Rules Committee (composed of Regents Pat Gordon-chair, Clay Cash, Tim Culp, and Shelley Sweatt) is charged with considering and, when appropriate, submitting to the appropriate Board committee proposed amendments to the *Regents' Rules* of the Texas Tech University System. Any amendment to the *Regents' Rules* or a Board Policy Statement must be approved by the full Board in accordance with Section 01.08, *Regents' Rules*. SUMMARY OF THE PROPOSED CHANGES TO CHAPTER 09, REGENTS' RULES AND THE INVESTMENT POLICY STATEMENT FOR THE COMPREHENSIVE CASH AND INVESTMENT POOL:

After extensive review through the Pool Advisory Committee ("PAC"), the following updates are proposed to *Regents' Rules*, Chapter 09, and CCIP Investment Policy Statement:

Chapter 09 – Investment and Endowments

• Editing the name of the Comprehensive Cash Pool to the <u>Comprehensive</u> <u>Cash and Investment Pool (CCIP)</u>

Investment Policy Statement – Comprehensive Cash and Investment Pool

- Editing the name of the Comprehensive Cash Pool to the <u>Comprehensive</u> <u>Cash and Investment Pool (CCIP)</u>
- Moved the <u>Investment Structure</u> section from Section 4 to before Roles and Responsibilities, in <u>Section 2</u>
- Added language in <u>2.2 Contingency Segment</u>, to define timeframe for managing assets as, <u>weighted average duration between two and seven</u> <u>years</u> and included language to <u>define intent of segment to hold securities</u> <u>to maturity</u>, with rare liquidations.
- Editing the name of the Finance, Administration, and Investments Committee to Finance and Investment Committee (FI Committee).
- In <u>Section 3.2</u>, added authority for <u>VC/CFO to delegate investment and</u> <u>transaction responsibilities of the Noncurrent Segment to the CIO</u>.
- Updated <u>Section 3.3</u> to establish that the <u>VC/CFO will lead the PAC</u> and updated language to define <u>PAC meeting requirements as no less</u> <u>frequent than quarterly.</u>
- Moved requirements for <u>day-to-day portfolio management activities from</u> <u>Treasurer, to CIO role, including additional detailed responsibilities for CIO</u> <u>role in Section 3.5</u>.
- Extensive updates in <u>Section 4, Investment Objectives</u>, to break out and further define/update <u>Cash and Contingency Segment objectives from</u> <u>Noncurrent segment objectives</u>.
- Updated <u>Guidelines for Investment, Section 5</u>, including <u>removing</u> <u>depository bank limits under, 5.1 Cash Segment</u>, and updating guideline language in <u>5.3 Noncurrent Segment</u>, to comply with approved asset <u>allocation</u>, as specified by the PAC.
- <u>Added Section 6</u> to explicitly define <u>CCIP Segment Allocation and</u> <u>Segment Ranges.</u>
- <u>Updated Section 7</u>, asset class allocation, asset class target ranges, breaking out Cash and Contingency Segments from Noncurrent Segment.
- <u>Table 2, under Section 7.3</u> defines updated asset allocation for Noncurrent Segment from a 60/40 to a 70/30 split, growth/stable value.

- Updated requirements for rebalancing in <u>Section 8</u> to include specific review and approval requirements by VC/CFO, in consultation with PAC.
- Added <u>due diligence review by Treasurer</u> of any proposed broker/dealers prior to recommendation to VC/CFO, <u>Section 11 Selection of</u> <u>Broker/Dealers</u>.
- Updated language in <u>Section 14 Spending Policy</u>, to state that <u>Noncurrent</u> <u>Segment distributions will be determined by the VC/CFO in consultation</u> <u>with the PAC</u>. Additional language added in 14.3 to <u>authorize spending</u> <u>withdrawals outside of regular distributions</u>, as approved by VC/CFO for specific circumstances including rebalancing needs and/or unforeseen operational budgetary needs.

3. TTUS: Investment Performance Update.

Presenters: Mr. James Mauldin and Mr. Tim Barrett Presentation Time: 10 minutes Report requested by: Board of Regents

James Mauldin, Vice Chancellor and CFO, TTUS, and Mr. Tim Barrett, Chief Investment Officer, TTUS, will provide an update on the performance of TTUS investments.

4. <u>TTUS: Report on endowments.</u>

Presenter: Mr. James Mauldin Report requested by: Board of Regents Presentation Time: 10 minutes

Mr. James Mauldin, vice chancellor and CFO, TTUS will provide an update on the TTUS Total Endowment, as of August 31, 2024.

ACADEMIC, CLINICAL AND STUDENT AFFAIRS

Academic, Clinical and Student Affairs Committee

Committee Meeting November 14, 2024

- **Time:** 11:00 am (or upon adjournment of the Finance and Investments Committee meeting)
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

Regents: Kerrick Davis (Chair) and Sweatt (Vice Chair) and Jad Zeitouni (Student Regent) (The Academic, Clinical, and Student Affairs Committee consists of all nine board members and the student regent.)

<u>Agenda</u>

- Approve minutes of committee meeting held on August 8, 2024
- **III.D.** Consideration of items to be recommended by the Academic, Clinical and Student Affairs Committee to the Board of Regents of the Texas Tech University System ("TTUS") for and on behalf of Angelo State University ("ASU"), Midwestern State University ("MSU"), the TTU System Administration ("TTUSA"), Texas Tech University ("TTU"), Texas Tech University Health Sciences Center ("TTUHSC"), and Texas Tech University Health Sciences Center at El Paso ("TTUHSC El Paso")

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- 7. Adjournment
- NOTE: All members of the Texas Tech University System Board of Regents serve as members on the Academic, Clinical and Student Affairs Committee. Action taken by this committee is final and does not require a report to the Full Board.

1. <u>TTU: Approve appointments with tenure.</u>

Presenter: Dr. Ronald Hendrick Presentation Time: 2 minutes Board approval required by: Section 04.02, *Regents' Rules*; TTU Operating Policy 32.01

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents approve the granting of tenure for the following faculty of Texas Tech University concurrently with their respective appointments.

Alexandre Rocateli, Ph.D., joined the Department of Plant and Soil Science in the Gordon W. Davis College of Agricultural Sciences and Natural Resources as an associate professor on September 1, 2024. Dr. Rocateli came to Texas Tech University from Oklahoma State University, where he received tenure in 2021. Dr. Rocateli received his Bachelor of Science in Agronomy from The University of Sao Paulo State in Brazil in 2005. He earned a Masters of Agronomy and Soils from Auburn University in 2010 and his Ph.D. in Plant and Soil Science at Texas Tech University in 2014.

Kenneth Williams, J.D., joined the Texas Tech University School of Law as the Fred Gray Endowed Chair for Civil Rights and Constitutional Law at the rank of professor on September 1, 2024. Dr. Williams came to Texas Tech University from the South Texas College of Law where he was tenured from 2010 - 2024. He also held tenured positions at Southwestern Law School in Los Angeles, California, Gonzaga University in Spokane, Washington, and Texas Southern University in Houston, Texas. He received his bachelor's degree in 1983 in Government from the University of San Francisco and his Doctor of Jurisprudence from the University of Virginia in 1986.

BACKGROUND INFORMATION

The faculty members whose names appear above have been judged by the respective department faculty, appropriate committees and administrative personnel as worthy of tenure. University procedures (OP 32.01) for recommending the tenure of qualified members of the faculty have been carefully followed.

Approval of these 2 faculty members will bring the number of full-time tenured faculty at Texas Tech University to 773. There are 1,153 full-time tenured and tenure-track faculty.

After these appointments, the percentage of tenure-track faculty who have been awarded tenure will be 67.0%, and tenured faculty represent 39.9% of all faculty

(including part-time). Together, tenured and tenure-track faculty represent 59.6% of all faculty, and 69.4% of all full-time faculty.

2. <u>TTU: Approve termed-naming of the Immigration Clinic</u> within the School of Law.

Presenter: Mr. Patrick Kramer & Dr. Jack Nowlin Presentation Time: 8 minutes Board approval required by: Section 13.02.1, *Regents' Rules*

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents approve naming the newly established Immigration Law Clinic for a period of five (5) years, within the School of Law, the "Jim and Leah Finley Immigration Law Clinic," in honor and recognition of a generous donation from Jim and Leah Finley.

BACKGROUND INFORMATION

Jim and Leah Finley ("Donors") have pledged a generous donation of \$1 million (the "Gift") to the Texas Tech Law School Foundation, for the benefit of Texas Tech University's School of Law and the establishment of an Immigration Law Clinic, providing pro bono legal services to individuals in the West Texas community (the "Clinic"). The Gift will be used to support the operational activities of the Clinic, providing hands-on training and experience for law students participating in the Clinic and the hiring of a clinic director/faculty member to operate the Clinic and supervise students.

Donors' Gift, payable annually in equal installments of \$200,000 per year for five years, will provide the overwhelming majority of the annual operation costs for the Clinic for five (5) years (\$200,000 per year of the expected \$250,000 in annual operating expenses). In honor and recognition of Donors' generous Gift, the Clinic shall be named the "Jim and Leah Finley Immigration Law Clinic," for a period of five (5) years.

After the initial five (5) year period, Donors will be giving the first opportunity to renew their gift, continue their ongoing support of the Clinic and retain the philanthropic naming—subject to the approval of the Board of Regents.

This naming is proposed in accordance with the requirements outlined in *Regents' Rules* Chapter 13.02.1 governing gift-related namings of academic units.

3. <u>TTU: Approve Bachelor of Science degree in Economics</u> and Math.

Presenter: Dr. Ronald Hendrick Presentation Time: 3 minutes Board approval required by: Section 04.09.1, *Regents' Rules*; TTU Operating Policy 36.04 and Title 19, Part 1, Chapter 5, Subchapter C, Texas Administrative Code

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents approve the new degree program, Bachelor of Science ("B.S.") degree with a major in Economics and Mathematics and authorize submission by the Office of the Provost and Senior Vice President to the Texas Higher Education Coordinating Board seeking its certification of such a program and to the Southern Association of Colleges and Schools for acknowledgment of a new degree program.

BACKGROUND INFORMATION

The Bachelor of Science ("B.S.") in Economics and Mathematics degree will be offered face-to-face at the Lubbock campus with some hybrid/blended online course delivery options. The program will be housed in the Department of Economics in the College of Arts and Sciences.

The degree in Economics and Mathematics will equip students with a diverse skill set, combining advanced mathematical proficiency with economic analysis and interpretation abilities. This interdisciplinary approach will prepare graduates for a wide range of career opportunities across various industries such as economic consulting, financial analysis, data science, and more. The quantitative depth of this degree is particularly appealing to graduate studies in economic and finance program.

The Bureau of Labor Statistics show that overall employment projections in this field are expected to outpace the U.S. average by 2023 with most of the growth expected in the area of actuaries at 23%. That is followed by market research analysts at 13%, financial analysts at 8% and economists at 6%. The top five industries that could employee these graduates are, scientific research and development services, federal government, technical consulting services, local government, and state government.

There is only one similar program in the state of Texas at Rice University. Nationally there are more than 10 programs at public and four private universities offering B.S. in Economics and Math combined. Many programs in the state offer a B.S. degree in Math and a B.S. degree Economics. The enrollment projections in the table below are based on discussions with current economics and math students and advisors. Further, student demand for the degree is supported by the growth data previously presented.

	Year 1	Year 2	Year 3	Year 4	Year 5
Total New Students	20	40	45	50	55
Attrition*	2	4	5	5	6
Cumulative Headcount	20	58	99	144	184
FTSE (fall)	20	58	99	144	184
Graduates	0	0	0	10	21

* Attrition applied at the beginning of the following year

The projected five-year costs and funding are given in the table below. Because all courses required for the degree program are already taught, no additional funds are required to develop the degree content. The five-year costs estimate shown in the table reflects supplies and materials costs for office expenses and supplies and promotional materials an additional advisor will be hired to support students in this program. The five-year funding shown reflects tuition and fees and state formula funding estimates in years three through five.

Five-Year Costs		Five-Year Funding		
Personnel (faculty, administration, and clerical/staff salaries)	\$48,000	New Funds	\$0	
Facilities and Equipment	\$0	Reallocation of Existing Resources	\$0	
Supplies and Materials	\$10,000	Anticipated New Formula Funding	\$700,083	
Student Support (Scholarships)	\$	Special Item Funding	\$0	
Other	\$0	Tuition and fees	\$5,874,238	
Total Costs	\$58,000	Total Funding	\$6,574,321	

4. TTU: Approve conferral of an honorary degree.

Presenter: Dr. Ron Hendrick Presentation Time: 2 minutes Board approval required by: Section 12.04, *Regents' Rules*; TTU Operating Policy 30.08

RECOMMENDATION

The president recommends and the chancellor concurs that the Board of Regents approve the conferral of an honorary Doctorate of Humane Letters degree.

Advance notice of the proposed honorary degree was provided to the members of the Board of Regents as required by Section 12.04.06, *Regent's Rules*

[NOTE: The name of the individual proposed to receive the honorary degree is not to be announced or publicly discussed prior to a Board decision to award the honorary degree. Each member of the Board of Regents was notified of the identity and qualifications of the nominee in advance of the Board meeting. However, in accordance with the requirements of the *Regents' Rules*, the name of the nominee will not be disclosed or publicly discussed prior to or during the Board meeting. As a matter of courtesy, the recipient will be informed of the award prior to a public announcement.]

BACKGROUND INFORMATION

Pursuant to provisions of Section 12.04.4, *Regents' Rules*, and paragraph 6, Texas Tech University Operating Policy and Procedure 30.08, an advisory committee has recommended the conferral of this honorary degree. This recommendation comes after careful consideration of the proposed recipient's educational background as well as the person's exceptional service to society and reflection on Texas Tech University.

Section 12.04.05, *Regents' Rules*, dictates that the Board of Regents must approve the conferral of an honorary degree.

5. <u>TTUHSC: Report on pathway to National Cancer Institute</u> <u>designation.</u>

Presenters: Dr. Lori Rice-Spearman, Dr. Lance R. McMahon, and Dr. Thomas E. Hutson Report requested by: Board of Regents

Dr. Lori Rice-Spearman, President, TTUHSC, Dr. Lance R. McMahon, PhD, Senior Vice President for Research and Innovation, and Dr. Thomas E. Hutson, D.O., PharmD., Division Chief, Hematology and Medical Oncology will report on the pathway to the National Cancer Institute ("NCI") designation.

6. <u>TTUHSC: Recognition of The Kenneth H. Cooper Institute</u> <u>at Texas Tech University Health Sciences Center.</u>

Presenters: Mr. Patrick Kramer, Dr. Tedd Mitchell, Presentation Time: 20 minutes Dr. Lori Rice-Spearman, and Dr. Darrin D'Agostino Recognition and Update Requested by: Board of Regents

Recognition of The Kenneth H. Cooper Institute at Texas Tech University Health Sciences Center

On October 1, 2024, The Cooper Institute joined the Texas Tech University System as The Kenneth H. Cooper Institute at Texas Tech University Health Sciences Center (the "Institute"). This partnership marks a significant milestone for Texas Tech University Health Sciences Center ("TTUHSC") and creates incredible opportunities to enhance research and impact public health.

The Cooper Institute focuses on research and education to promote preventative medicine across all stages of life. Prior to joining TTUHSC, The Cooper Institute was the nonprofit partner of Cooper Aerobics and worked closely with The Cooper Clinic—with whom TTUHSC will maintain an ongoing relationship.

The Institute will bring key health and fitness projects to TTUHSC, including the renowned Cooper Center Longitudinal Study, which links cardiorespiratory fitness to a number of factors, including heart disease, dementia, and health costs, etc. Additionally, the Institute strongly emphasizes creating health leaders for tomorrow through its youth initiatives such as the NFL Play 60 FitnessGram Project and Fitness Gram.

Additionally, The Cooper Institute will provide upwards of \$22 million in philanthropic funding—through existing and new gifts—to the Texas Tech Foundation, Inc. for the direct benefit and continued operation of the Institute and its activities.

The Institute will continue to engage in operations that honor the legacy of Dr. Kenneth H. Cooper, founder of The Cooper Institute, Cooper Aerobics and The Cooper Clinic.

Kenneth. H. Cooper, M.D., MPH, founded The Cooper Institute in 1970. Known as the father of aerobics, Dr. Cooper's leadership and vision helped ignite the global fitness movements. He also played a pivotal role in reintroducing mandatory physical education in Texas public schools through Senate Bill 530 in 2007.

As part of the transition and operation of the Institute at TTUHSC, Laura DeFina, M.D., will service as the Executive Director of the Institute. Dr. DeFina brings a wealth of experience and expertise to TTUHSC, having served since 2014 as

President and CEO of The Cooper Institute and previously as its Medical Director and Chief Science Officer. Under Dr. DeFina's leadership, The Cooper Institute has been at the forefront of public health.

Dr. DeFina and twelve (12) institute members will join faculty and staff at TTUHSC and be located at TTUHSC Dallas, reporting to the Office of the Provost. Several team members will have faculty appointments in the TTUHSC Julia Jones Matthews School of Population and Public Health.

Establishment of the Institute and approval of the naming was approved at the August 8, 2024, meeting of the Board of Regents, to be announced at a later date.

MEETING OF THE BOARD/ COMMITTEE OF THE WHOLE

Meeting of the Board

Thursday, November 14, 2024

- **Time:** 9:00 am
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

<u>Agenda</u>

- III. Meeting of Standing Committees: Conducted sequentially and separately from the Meeting of the Board beginning upon recess of the Meeting of the Board at approximately 9:15 am—refer to agenda for each respective committee meeting.

Location: Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

- **Time:** 11:45 am (or upon adjournment of the Academic, Clinical and Student Affairs Committee meeting)
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

<u>Agenda</u>

- - A. Consultation with attorney regarding privileged communications, pending or contemplated litigation and settlement negotiations Section 551.071
 - B. Deliberations regarding real property: The purchase, lease, exchange, or value of real property – Section 551.072
 - C. Deliberations regarding prospective gifts Section 551.073
 - D. Personnel matters: Discuss the appointment, employment, evaluation, reassignment, duties, discipline or dismissal of employees Section 551.074
 - E. Deliberations regarding security devices—deployment of security personnel or devices Section 551.076
- VI. **Open Session:** The Board will reconvene in the Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue and meet as a Committee of the Whole and Meeting of the Board to consider and act on:
 - A. Consideration of appropriate action, if any, on items discussed in Executive Session...... Vice Chairwoman Kerrick Davis
- VII. Recess Chairman Griffin

Meeting of the Board

Friday, November 15, 2024

- Time: 8:30 am
- **Place:** Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas

<u>Agenda</u>

VIII.	Meeting of the Board—Call to Order; reconvene into Open Session of the Board. The Board will reconvene in Open Session and meet as a Committee of the Whole and Meeting of the Board to consider and act on: Chairman Griffin				
	A.	Introductions and RecognitionsChancellor Mitch President Hawk President Hay President Schova President Rice-Spearman, a President La	ins, nie, nec and		
IX.		e ss (if necessary, for standing committees to meet; otherwise nue in Open Session (XII.))Grain Gr	iffin		
Χ.	Meet	ing of Standing Committees (if not concluded on Thursday)			
XI.	Sess	ing of the Board—Call to Order; reconvene into Open ion of the Board (only if the Meeting of the Board was used to conduct committee meetings)Chairman Gr	iffin		
XII.	Open Session: The Board will continue in Open Session and meet as Committee of the Whole and Meeting of the Board to consider and act				
	A.	Approve minutes of Board meeting held on August 8-9, 2024Chairman Gr	iffin		
	В.	Committee of the Whole Vice Chairwoman Kerrick Da	avis		
		<u>Pac</u> CV			
		 ASU, MSU, TTU, TTUHSC, TTUHSC El Paso, TTUSA, and TTUS: Approve Consent Agenda; acknowledge review of Information Agenda10)		

Consent Agenda

- a. ASU: Approve and acknowledge actions relating to low-producing degree programs (ACS)
- b. ASU: Approve revisions to Operating Policy OP 06.23, Tenure and Promotion Standards and Procedures (ACS)
- c. MSU: Approve emeritus appointments (ACS)
- d. MSU: Approve faculty development leave of absence (ACS)
- e. TTU: Approve and acknowledge actions relating to low-producing degree programs (ACS)
- f. TTU: Approve exceptions to nepotism policy (ACS)
- g. ASU, MSU, TTU, TTU SVM, TTUHSC, TTUHSC EI Paso, and TTUS: Acknowledge the Campus Condition Report (F)
- h. TTUHSC: Approve text, installation and location of plaque for Dr. Tedd L. Mitchell, former president of Texas Tech University Health Sciences Center (F)
- i. TTUS: Approve amendments to *Regents' Rules*, Chapter 08 (Facilities) regarding project assessment fees (F)
- j. ASU, TTU, and TTUS: Approve purchasing contract(s) in excess of \$1,000,000 (FI)
- k. TTU and TTUHSC: Authorize president to enter negotiations and execute a contract with Atmos Energy Corporation for natural gas distribution transportation services (FI)
- I. TTU: Authorize president to enter negotiations and execute a new contract with 88 Investments Inc. for KCOS broadcast tower antenna site sublease (FI)
- m. TTUHSC EI Paso: Approve commissioning of police officers (FI)
- n. TTUS: Authorize chancellor to enter negotiations and execute a contract for treasury support services (FI)

Information Agenda

Information is provided as required by Section 01.02.7.d(4)(c), *Regents' Rules*

- (1) ASU, MSU, TTU, TTUHSC and TTUHSC El Paso: Summary of Revenues and Expenditures by Budget Category, FY 2024 (as of August 31, 2024), per Section 01.02.8.d(3)(g), *Regents' Rules* – All actual expenditures will be reviewed by the Finance and Investments Committee annually and provided as information. Financial reports for the most recently completed quarter for each of the component institutions are available at: <u>https://www.texastech.edu/offices/cfo/board-financialreports.php</u>
- (2) TTUHSC: Contracts for ongoing and continuing health-related service relationships per Section 07.12.4.c, Regents' **Rules** – The following are excepted from the requirements of Section 07.12.3.a and Section 07.12.3.b, Regents' Rules, "the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks: resident or faculty support: and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract or new health related services contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000. Before such a contract may be executed, the president shall obtain the prior review of the TTU system Office of General Counsel and the TTU system vice chancellor and chief financial officer. or their designees. A list of health-related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting."

- (3) MSU, TTU, TTUHSC, and TTUHSC EI Paso: Contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000 per section 07.12.4 of the Regents' Rules - The following are excepted from the requirements of Section 07.12.3.a and 07.12.3 b, Regents' Rules, "the chancellor or president, or the chancellor or presidents designee, as appropriate, is delegated the authority to approve: (i) contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000; and (ii) any amendment, extension, or renewal of a contract originally approved by the chancellor or president, as appropriate, so long as the amendment, extension, or renewal does not cause the per annum amount of the contract to exceed \$1,000,000. This requirement is applicable to both cash and non-cash considerations. Information about such contracts or contract amendments, extensions, or renewals that are approved by the chancellor or a president under this delegation of authority shall be provided to the board as an information item at the next regular board meeting."
- (4) ASU, TTU, TTUHSC, and TTUHSC EI Paso: Consulting contracts with an initial consideration of \$100,000 or less per Section 07.12.4.e.(1), *Regents' Rules* – <u>"(a) Board</u> <u>approval is not required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of \$100,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."</u>
- (5) TTU and TTUHSC: Contracts for Sponsored Programs Projects per Section 07.12.4.b., *Regents' Rules* – <u>"The board delegates to the</u> presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of \$1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of \$1,000,000 per annum shall be provided to the board

as an information item at the next regular board meeting."

- (6) TTUHSC: Exigent circumstances approval by Section 07.12.3.i, *Regents' Rules* – <u>"Unless</u> prohibited by law, when an emergency or exigent circumstances exist and it is not feasible or practical to convene a quorum of the board within the time in which action is needed, approval may be given for a contract proposal submitted by the chancellor, or the chancellor's designee, by verbal approval of the chair or of the chair of the Finance and Investments Committee. Contracts approved in this manner shall be presented to the board as an information item at the next board meeting."
- (7) TTUS: Named Funds per Section 13.02.3.a, *Regents' Rules* – <u>"The board delegates approvals</u> to name endowments or other gift funds established through a private gift of less than \$5 million to the president of the benefitting institution, in consultation and cooperation with the chancellor and the VCIA. Notice shall be provided to the board of re-gents as part of the information agenda at the next board meeting."
- (8) ASU and MSU: Namings of a facility resulting from gifts less than \$500,000.00 per Section 13.02.2.b(4), *Regents' Rules – "Gifts of less than \$500,000.00 that meet the requirements outlined in this chapter [13] for naming of a facility, as defined herein, may be approved by the component institution president, in cooperation and coordination with the VCIA. The item will be placed as an information item at the next board meeting after the public announcement."*
- (9) TTU: Namings of a facility per Section 13.02.2., *Regents' Rules* – <u>"Namings of a facility</u> within the TTU system resulting from a private gift and that recognizes or otherwise honors [a] . . . corporation or other entity must be approved by the board, except as otherwise provided by Section 13.02.2.b(4), <u>Regents' Rules herein."</u>
- (10) TTU and TTUHSC: Report on the establishment of new centers and institutes per Section 04.11.2., *Regents' Rules – <u>"The</u> establishment or substantive restructuring of a center, institute, or other academic unit or program not addressed in Section 04.11.1 herein shall be approved by the president, with notice provided to the board via*

an item in the Information Agenda for the next meeting of the board."

- (11) TTU: Correction to Feb 29, 2024, BOR Item to approve changes in academic rank per Section 04.02, *Regents' Rules* – <u>"Each</u> <u>component institution of the TTU system shall publish</u> <u>and maintain as part of the institution's operating</u> <u>manual Tenure and Promotion Regulations and</u> <u>Guidelines. The institutional operating policies for</u> <u>tenure and promotion, and any changes thereto, must</u> <u>be approved by the board."</u>
- (12) ASU, MSU, TTU, TTUHSC and TTUHSC EI Paso: Chief Executive Officer Title IX administrative reports as required by Texas Education Code. Section 51.253(c) - "Subject to Subsection (d), at least once during each fall or spring semester, the chief executive officer of a postsecondary educational institution shall submit to the institution's governing body and post on the institution's Internet website a report concerning the reports received under Section 51.252. The report: (1) may not identify any person; and (2) must include: (A) the number of reports received under Section 51.252; (B) the number of investigations conducted as a result of those reports; (C) the disposition, if any, of any disciplinary processes arising from those reports: (D) the number of those reports for which the institution determined not to initiate a disciplinary process, if any; and (E) any disciplinary actions taken under Section 51.255." Reports for the most recently completed reporting period are available to the board and are posted on each component institution website.
- (13) TTU: Office of Research Commercialization (ORC) report per Section 10.16.1, *Regents' Rules* – <u>"At least once a year, coincidental with a</u> regular board meeting, the ORC Director shall prepare for the chancellor and the board a report listing the titles and a brief description of each intellectual property disclosure received since the last report, all license and assignment agreements entered into by the ORC, and the status of existing license and assignment agreements, including the distribution of revenues earned from such agreements."

C. Schedule for Board meetings:

March 6-7, 2025, Lubbock May 8-9, 2025, Lubbock August 14-15, 2025, Lubbock November 13-14, 2025, LubbockKeino McWhinney

- D. Student Government Association ReportsKam Wiese, Vincent Peters, Abby Vega, Jesse Burnett, and Chase Green
- - A. Consultation with attorney regarding privileged communications, pending or contemplated litigation and settlement negotiations Section 551.071
 - B. Deliberations regarding real property: The purchase, lease, exchange, or value of real property – Section 551.072
 - C. Deliberations regarding prospective gifts Section 551.073
 - D. Personnel matters: Discuss the appointment, employment, evaluation, reassignment, duties, discipline or dismissal of employees Section 551.074
 - E. Deliberations regarding security devices—deployment of security personnel or devices Section 551.076
- **XIV. Open Session:** The Board will reconvene in the Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue and meet as a Committee of the Whole and Meeting of the Board to consider and act on:

XV.	Adj	ournment Chairman Griffin
	В.	Chairman's Announcements Chairman Griffin
	A.	Consideration of appropriate action, if any, on items discussed in Executive Session Vice Chairwoman Kerrick Davis

1. <u>ASU, MSU, TTU, TTUHSC, TTUHSC El Paso, TTUSA and</u> <u>TTUS: Approve Consent Agenda; acknowledge review of</u> <u>Information Agenda.</u>

Presenter: Vice Chairwoman Kerrick Davis Presentation Time: 2 minutes Board approval required by: Sections 01.02.7.d(4)(b) and 01.02.07.d(4)(c), *Regents' Rules*

RECOMMENDATION

The chancellor recommends that the Board of Regents (i) approves the Consent Agenda for the meeting of November 14-15, 2024; and (ii) acknowledge its review of the Information Agenda for the same meeting.

BACKGROUND INFORMATION

Pursuant to Section 01.02.6.b(2), *Regents' Rules,* the Board of Regents approves certain administrative actions.

This action is required to authorize the various officers and officials of Texas Tech to perform the tasks and duties delineated in the policies of the Board of Regents. This action also confirms the authority to prepare reports, execute contracts, documents, or instruments approved within the Consent Agenda and further confirms that such authority has been delegated to the officer or official preparing and/or executing the said item.

CONSENT/ INFORMATION AGENDA

BOARD OF REGENTS TEXAS TECH UNIVERSITY SYSTEM

CONSENT AGENDA

and

INFORMATION AGENDA

November 14-15, 2024

BOARD OF REGENTS

Mr. Mark Griffin, Chairman Mrs. Ginger Kerrick Davis, Vice Chairwoman Ms. Arcilia C. Acosta Mr. Cody C. Campbell Mr. Clay Cash Mr. Clay Cash Mr. Tim Culp Mr. Pat Gordon Dr. Shelley Sweatt Mr. Dusty Womble Mr. Jad Zeitouni, Student-Regent

Standing Committee Chairs and Vice Chairs:

Academic, Clinical and Student Affairs: Ginger Kerrick Davis (Chair); Shelley Sweatt (Vice Chair); and Jad Zeitouni (Student Regent)

> Audit: Arcilia Acosta (Chair) and Pat Gordon (Vice Chair)

Facilities: Dusty Womble (Chair) and Arcilia Acosta (Vice Chair)

Finance and Investments: Cody Campbell (Chair) and Pat Gordon (Vice Chair)

[NOTE: All nine board members serve as voting members of each committee.]

TEXAS TECH UNIVERSITY SYSTEM BOARD OF REGENTS

November 14-15, 2024

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INFORMATION AGENDA

(Titles only; full agenda is on page 36) Information is provided as required by Section 01.02.7.d(4)(c), *Regents' Rules*

NOTE: The following are reports or other documents which, according to the *Regents' Rules* or state law, must be made available to the Board of Regents. As such, the reports below are on file in the Board Office, and their listing on the Information Agenda constitutes notice that they are available to Board members upon request.

- (1) ASU, MSU, TTU, TTUHSC and TTUHSC El Paso: Summary of Revenues and Expenditures by Budget Category, FY 2024 (as of August 31, 2024), per Section 01.02.8.d(3)(g), Regents' Rules – All actual expenditures will be reviewed by the Finance and Investments Committee annually and provided as information. Financial reports for the most recently completed quarter for each of the component institutions are available at: <u>https://www.texastech.edu/offices/cfo/board-financial-reports.php</u>
- (2) TTUHSC: Contracts for ongoing and continuing health-related service relationships per Section 07.12.4.c, *Regents' Rules* –

The following are excepted from the requirements of Section 07.12.3.a and Section 07.12.3.b. Recents' Rules. "the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks; resident or faculty support; and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract or new health related services contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000. Before such a contract may be executed, the president shall obtain the prior review of the TTU system Office of General Counsel and the TTU system vice chancellor and chief financial officer, or their designees. A list of health-related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting."

(3) MSU, TTU, TTUHSC, and TTUHSC El Paso: Contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000 per section 07.12.4 of the Regents' Rules – The following are excepted from the requirements of Section 07.12.3.a and 07.12.3 b, Regents' Rules, <u>"the chancellor or president, or the chancellor or presidents designee, as appropriate, is delegated the authority to approve: (i) contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000; and (ii) any amendment, extension, or renewal of a contract originally approved by the chancellor or president, as appropriate, so long as the amendment, extension, or renewal does not cause the per annum amount of the contract to exceed \$1,000,000. This requirement is applicable to</u> both cash and non-cash considerations. Information about such contracts or contract amendments, extensions, or renewals that are approved by the chancellor or a president under this delegation of authority shall be provided to the board as an information item at the next regular board meeting."

- (4) ASU, TTU, TTUHSC, and TTUHSC El Paso: Consulting contracts with an initial consideration of \$100,000 or less per Section 07.12.4.e.(1), Regents' Rules – <u>"(a) Board approval is not</u> required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of \$100,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."
- (5) TTU and TTUHSC: Contracts for Sponsored Programs Projects per Section 07.12.4.b., *Regents' Rules* – "The board delegates to the presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of \$1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of \$1,000,000 per annum shall be provided to the board as an information item at the next regular board meeting."
- (6) TTUHSC: Exigent circumstances approval by Section 07.12.3.i, Regents' Rules – "Unless prohibited by law, when an emergency or exigent circumstances exist and it is not feasible or practical to convene a quorum of the board within the time in which action is needed, approval may be given for a contract proposal submitted by the chancellor, or the chancellor's designee, by verbal approval of the chair or of the chair of the Finance and Investments Committee. Contracts approved in this manner shall be presented to the board as an information item at the next board meeting."
- (7) TTUS: Named Funds per Section 13.02.3.a, Regents' Rules <u>"The board delegates approvals to name endowments or other gift funds</u> <u>established through a private gift of less than \$5 million to the president of the</u> <u>benefitting institution, in consultation and cooperation with the chancellor and the</u> <u>VCIA. Notice shall be provided to the board of re-gents as part of the information</u> <u>agenda at the next board meeting."</u>
- (8) ASU and MSU: Namings of a facility resulting from gifts less than \$500,000.00 per Section 13.02.2.b(4), Regents' Rules – "Gifts of less than \$500,000.00 that meet the requirements outlined in this chapter [13] for naming of a facility, as defined herein, may be approved by the component institution president, in cooperation and coordination with the VCIA. The item will be placed as an information item at the next board meeting after the public announcement."
- (9) TTU: Namings of a facility per Section 13.02.2., Regents' Rules – "Namings of a facility within the TTU system resulting from a private gift and that recognizes or otherwise honors [a] ... corporation or other entity must be approved by the board, except as otherwise provided by Section 13.02.2.b(4), Regents' Rules herein."

- (10) TTU and TTUHSC: Report on the establishment of new centers and institutes per Section 04.11.2., Regents' Rules – <u>"The</u> establishment or substantive restructuring of a center, institute, or other academic unit or program not addressed in Section 04.11.1 herein shall be approved by the president, with notice provided to the board via an item in the Information Agenda for the next meeting of the board."
- (11) TTU: Correction to Feb 29, 2024, BOR Item to approve changes in academic rank per Section 04.02, Regents' Rules – <u>"Each</u> component institution of the TTU system shall publish and maintain as part of the institution's operating manual Tenure and Promotion Regulations and Guidelines. The institutional operating policies for tenure and promotion, and any changes thereto, must be approved by the board."
- (12) ASU, MSU, TTU, TTUHSC and TTUHSC EI Paso: Chief Executive Officer Title IX administrative reports as required by Texas Education Code, Section 51.253(c) – "Subject to Subsection (d), at least once during each fall or spring semester, the chief executive officer of a postsecondary educational institution shall submit to the institution's governing body and post on the institution's Internet website a report concerning the reports received under Section 51.252. The report: (1) may not identify any person; and (2) must include: (A) the number of reports received under Section 51.252; (B) the number of investigations conducted as a result of those reports; (C) the disposition, if any, of any disciplinary processes arising from those reports; (D) the number of those reports for which the institution determined not to initiate a disciplinary process, if any; and (E) any disciplinary actions taken under Section 51.255." Reports for the most recently completed reporting period are available to the board and are posted on each component institution website.
- (13) TTU: Office of Research Commercialization (ORC) report per Section 10.16.1, *Regents' Rules* – <u>"At least once a year, coincidental</u> with a regular board meeting, the ORC Director shall prepare for the chancellor and the board a report listing the titles and a brief description of each intellectual property disclosure received since the last report, all license and assignment agreements entered into by the ORC, and the status of existing license and assignment agreements, including the distribution of revenues earned from such agreements."

a. <u>ASU: Approve and acknowledge actions relating to</u> low-producing degree programs.

Board approval required by: Section 04.09.2, *Regents' Rules*; Sec. 61.0512, Texas Education Code; and Title 19, Part 1, Chapter 4, Subchapter R, Texas Administrative Code

The request is to acknowledge the retention of an undergraduate degree program which was deemed to be "low-producing" by the Texas Higher Education Coordinating Board ("THECB"). The proposed response to the THECB recommendations is to retain the BS in Food Animal Science and Marketing, with rationale provided. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

BACKGROUND INFORMATION

Per state law and THECB rules, the THECB may recommend the consolidation or closure of degree programs it deems to be low-producing. A program is considered to be low-producing if the number of graduates over the past five years is below the following thresholds:

- for undergraduate programs, fewer than 25 degrees awarded;
- for master's programs, fewer than 15 degrees awarded; and
- for doctoral programs, fewer than 10 degrees awarded.

In response to such a THECB recommendation, the options include retaining the program with appropriate actions to remedy its low-producing status, consolidating the program with another degree program, or eliminating the program.

If a program identified by the THECB as low-producing is retained, the institution's next Legislative Appropriations Request ("LAR") must identify it as one that was recommended for consolidation or elimination.

• BS in Food Animal Science and Marketing

BS in Food Animal Science and Marketing

The BS in Food Animal Science and Marketing is a small degree pathway for students going into the food production industry with no staffing or instructional costs related strictly to the degree. Closure of this degree offering would not result in any cost savings as the courses are required or options in other majors in Agriculture. Students graduating from the program are highly employable and sought in graduate programs as well.

b. <u>ASU: Approve revisions to Operating Policy OP 06.23,</u> <u>Tenure and Promotion Standards and Procedures.</u>

Board approval required by: Section 04.02, *Regents' Rules*

The request is to approve revisions to OP 06.23, Tenure and Promotion Standards and Procedures, effective fall 2024. The request has been approved administratively by the president and chancellor and is recommended for approval by the Board of Regents.

For clarity of content and consistency with other Operating Policies, the revisions to ASU OP 06.23 include: Policy/Procedure, Section 7, Guidelines for the Construction of Tenure and Promotion Portfolios. The previous version was based on paper copies placed in binders and passed from one committee to the next. The revised version allows for digital submission and transmission of documents in a secure, password, and multifactor authentication location on the ASU network. The items required to be submitted have not been changed.

NOTE: OP 06.23, Tenure and Promotion Standards and Procedures with proposed revisions is included as a supplemental attachment to the agenda book.

BACKGROUND INFORMATION

Section 04.02, *Regents' Rules*, require regents' approval of the institutional operating policies for tenure and promotion standards and procedures, and any changes thereto. Section 7, Guidelines for the Construction of Tenure and Promotion Portfolios required a major revision to reflect current practices and procedures, moving into the digital environment that is more secure.

c. MSU: Approve emeritus appointments.

Board approval required by: Section 04.01.2, *Regents' Rules*

The request is to grant emeritus status to the individuals listed below. This request has been approved administratively by the president and the chancellor.

Dr. Betty Bowles retired from MSU on May 31, 2024, as Professor of Nursing, Wilson School of Nursing, in the Robert D. and Carol Gunn College of Health Sciences and Human Services. Dr. Bowles began her career at MSU in 1968 as an instructor of nursing, ultimately serving as MSU faculty for 47 years. Dr. Bowles earned a B.S.N. in Nursing from Incarnate Word College, the M.S. in Maternal/Child Health Nursing from Texas Woman's University, and the Ph.D. in Health Studies from Texas Woman's University. In addition to her service at MSU, Dr. Bowles played key roles in education at United Regional Health Care System and Wichita General Hospital as the Director of Maternal/Child Health and Director of Education. Her work in healthcare emphasized maternal/child health, including service as a Lamaze-prepared childbirth instructor, Labor & Delivery staff nurse, lactation consultant, and Breastfeeding Educator Course instructor. Her scholarly work explored these topics to ensure the health of mothers and their children and ranged from publications on interventions during childbirth, the childbirth educator's role in teaching, and low-tech teaching strategies for childbirth education in rural Haiti. Her work in Haiti was done as part of a medical mission to Mizak, Haiti, during the 2011/2012 earthquake and cholera epidemic. Dr. Bowles has been widely recognized at MSU and beyond. She is a Fellow of the American Academy of Childbirth Educators, was named to the North Texas Women's Hall of Fame, and received the National Excellence in Lactation Leadership Award. In 2015, Dr. Bowles was named Hardin Professor, the highest award to a faculty member at MSU.

Dr. George Diekhoff will retire from MSU on May 31, 2025, as Professor of Psychology in the Prothro-Yeager College of Humanities and Social Sciences. At his retirement, Dr. Diekhoff will have served 48 years at MSU. He earned a B.A. in Psychology from Nebraska Wesleyan University, and the M.A. and Ph.D. in Experimental Psychology from Texas Christian University. Dr. Diekhoff led the MSU Psychology Department for 30 years, serving as the program coordinator, department chair, and graduate program coordinator. He exemplifies scholarly research productivity, publishing more than 80 journal articles and textbooks, presenting more than 50 papers at professional conferences, and chairing numerous student theses in the graduate program. His research emphasized work in cognitive maps, statistical applications in the social sciences, and studies of social control in college students. In 1992, Dr. Diekhoff was named MSU Hardin Professor, and in 2010 was named MSU Regents' Professor. While a member of the Wichita Falls community, he contributed greatly to local civic organizations as a research consultant and volunteer, helping advance the missions of organizations such as the Wichita Adult Literacy Council, Region IX Education Service Center, Grace Church, and science fair (regional, local, and school).

Dr. Terry Patton will retire from MSU on June 30, 2025, as the Robert Madera Distinguished Professor of Accounting in the Dillard College of Business Administration. At his retirement, Dr. Patton will have served 18 years at MSU. He earned a B.B.A. in Business Administration from Midwestern State University, the M.S. in Accounting with a specialty in Taxation from the University of North Texas, and the Ph.D. in Business Administration with a concentration in governmental accounting from Texas Tech University. He is a Certified Public Accountant, Certified Government Financial Manager, and Chartered Global Management Accountant. Dr. Patton began his career as research manager for the Governmental Accounting Standards Board before coming to MSU to join the faculty in the Dillard College. While at MSU, he served as the chair of the Department of Accounting, Management Information Systems, and Legal Studies and was the dean of the Dillard College for five years. Dr. Patton is a national expert in government accounting and has published Governmental and Nonprofit Accounting: Theory and Practice and Introduction to Governmental and Not-For-Profit Accounting, and Accounting for Governmental and Nonprofit Organizations. Since 2019, he has served as a member of the Federal Accounting Standards Advisory Board, which serves the public interest by improving federal financial reporting through issuing federal financial accounting standards and providing guidance. In 2024, Dr. Patton was named Hardin Professor, the highest honor awarded to faculty at MSU. On June 1, 2017, he was recognized by a City of Wichita Falls proclamation of "Terry Patton Day," honoring his board service for United Regional Healthcare System (where he served as board chair), Wichita Falls Alliance for Arts and Culture (as board chair), Midwestern State University Credit Union, and the Better Business Bureau of North Central Texas.

Dr. Jeffrey Stambaugh will retire from MSU on August 31, 2025, as Associate Professor of Management in the Dillard College of Business Administration. At his retirement, Dr. Stambaugh will have served 17 years at MSU. He earned a B.S. in Aeronautical Engineering from the United States Air Force Academy, the M.S. in Human Resources Management from Golden Gate University, and

Ph.D. in Management from Texas Tech University. Dr. Stambaugh served in the U.S. Air Force for 24 years, where he was a fighter pilot and later was appointed to the Pentagon in multiple staff assignments. After retiring at the rank of Colonel, he started work at MSU and entered a second career in higher education. With his experience in business, he has supported approximately 50 startup or early-stage companies, assisting them in developing a business plan and/or developing investor presentations. While at MSU, he was Director of the Munir Abdul Lalani Center for Entrepreneurship and Free Enterprise and Director of the Dillard Center of Energy Management. Since 2017, Dr. Stambaugh has served as the dean of the Dillard College of Business Administration. He has published 20 articles on management education, public family enterprises, and entrepreneurial alertness.

BACKGROUND INFORMATION

According to the *Regents' Rules*, emeritus appointments shall be honorary and without stipend. The appointments are given at retirement and are intended to recognize long and faithful service or very distinguished service to the university.

The named individuals qualify for emeritus appointment under the policy of the Board of Regents.

d. MSU: Approve faculty development leave of absence.

Board approval required by: Section 04.05.1, *Regents' Rules*

The request is to approve the leave of absence as listed below. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

Faculty development leave with full salary for John Ashbrook, Professor of History in the Department of History in the Prothro-Yeager College of Humanities and Social Sciences, from January 2025 through May 2025. The purpose of this leave is to accommodate a Fulbright Scholar award in Croatia, during which Dr. Ashbrook will teach at the University of Zagreb and complete research for the Croatian chapter of a book project that examines the expansion of the European Union into Eastern Europe and the Balkans.

e. <u>TTU: Approve and acknowledge actions relating to low-</u> producing degree programs.

Board approval required by: Section 04.09.2, *Regents' Rules*; Sec. 61.0512, *Texas Education Code*; and Title 19, Chapter 4, Subchapter R, *Texas Administrative Code*

The request is to approve the closure one graduate degree program and the continuation of another. While both programs were deemed "low producing" by the Texas Higher Education Coordinating Board ("THECB"), the following is proposed:

- Retain the Master of Arts in Art History with specified actions to increase enrollment and graduation for this program.
- Closure of the Master of Science in Arid Land Studies with specified actions to properly phase out the program.

This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

BACKGROUND INFORMATION

Per state law and THECB rules, the THECB may recommend the consolidation or closure of degree programs it deems to be low producing. A program is considered low-producing if the number of graduates over the past five years is below the following thresholds:

- for undergraduate programs, fewer than 25 degrees awarded;
- for master's programs, fewer than 15 degrees awarded; and
- for doctoral programs, fewer than 10 degrees awarded.

In response to such a recommendation, the options include retaining the program with appropriate actions to remedy its low-producing status, consolidating the program with another degree program, or eliminating the program. If a program identified by the THECB as low producing is retained, the institution's next Legislative Appropriations Request ("LAR") must identify it as one that was recommended for consolidation or elimination.

The THECB recommended the consolidation or closure of the following TTU programs:

- Master of Arts in Art History retain
- Master of Science in Arid Land Studies closure

The following rationale is provided for continuing the programs and actions being taken to increase enrollment in these programs.

Master of Arts in Art History

Texas Tech University and the J.T. & Margaret Talkington College of Visual & Performing Arts seek provisional continuation of the MA in Art History program. Through an internal analysis, it has been established that the financial cost of maintaining the program for one more year is minimal (one class per semester), and the School of Art has made a compelling rationale for the academic, artistic, enrollment, and research benefits on maintaining this program, including that Texas Tech offer the only MA in Art History program equidistant to and within driving distance to both Oklahoma and New Mexico. This provisional continuation would be contingent on the condition that the program would enroll six new students by July 1, 2025, and then maintain such accelerated enrollment, a minimum six new students, each year thereafter.

Master of Science in Arid Land Studies

The Master's in Arid Land Studies is housed in the graduate school, and on the low producing list for three consecutive reviews. Enrollment has not increased. The program has created a three-year phase out process to graduate the students in the program. No additional students will be admitted into this program. The program will be officially closed January 1, 2028.

f. <u>TTU: Approve exceptions to nepotism policy</u>.

Board approval required by: Section 03.01.11, *Regents' Rules*; TTU Operating Policy 32.01, TTU Operating Policy 70.08

The request is to approve the following exceptions to the nepotism policy in the following instance. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

Dr. Narayan Venkataraman, a Postdoctoral Research Associate currently funded by the Edward E. Whitacre, Jr. College of Engineering, has a familiar relationship with Dr. Venky Shankar, a faculty member in the Department of Civil, Environmental, and Construction Engineering (CECE). CECE requests a waiver of nepotism because the postdoctoral research associate's faculty advisor (Venky Shankar) proposes to transfer Narayan Venkataraman's source of compensation to the Barnhardt Endowed Chair. Effective October 1, 2024, Narayan Venkataraman will report to Dr. Andrew Jackson, chairperson of CECE. Dr. Jackson, in consult with Dr. Roland Faller, Dean of the Edward E. Whitacre, Jr. College of Engineering, will have sole authority to recommend retention of Narayan Venkataraman in his current role. Any changes to Narayan Venkataraman's appointment must be reviewed and approved by Dr. Andrew Jackson.

Sarah Schovanec, the daughter-in-law of Lawrence Schovanec, Texas Tech University ("TTU") President, has been selected as the final candidate for the position of Compliance Associate in the TTU System Office of Equal Opportunity and will begin work November 4, 2024. Sarah will report directly to Dawn Payne, Assistant Vice Chancellor, and Director of Equal Opportunity, who reports to James Mauldin, TTU System Vice Chancellor and CFO, who reports to the chancellor. Regents' Rules 03.01.11.b require approval of any persons related to any component institution's president, within the second degree by marriage. The System Office of Equal Opportunity requests a waiver of nepotism, in accordance with Section 03.01.11.b., *Regents' Rules*, for Sarah since she is within a second-degree relation by marriage to the TTU President. President Schovanec has no direct role in decisions related to Sarah's supervision or compensation. This arrangement will be evaluated periodically to ensure there is effective management of conflict of interest.

BACKGROUND INFORMATION

Section 03.01.11, *Regents' Rules*, and TTU OP 70.08 prohibit a person related to an administrator by a prohibited degree to have an initial appointment to a position in an area of responsibility over which the administrator has appointive authority, in whole or in part, regardless of the source of funds from which the position's salary is to be paid. Furthermore, no employee may approve, recommend, or otherwise take action with regard to the appointment, reappointment, promotion, salary, or supervision of an individual related to the employee within a prohibited degree. If an appointment, reappointment, reclassification or promotion of an employee places the employee under an administrative supervisor who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the employee shall become the responsibility of the next higher administrative supervisor.

g. <u>ASU, MSU, TTU, TTU SVM, TTUHSC, TTUHSC EI Paso, and</u> <u>TTUS: Acknowledge the Campus Condition Report.</u>

1. ASU: Acknowledge the Campus Condition Report.

Board approval required by: Texas Education Code, §61.05821

The request is to acknowledge Angelo State University's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The ASU Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.0880%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.1738%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.0460% and 0.1409%, respectively.

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	Summary by Period and Category						
Period		Critical		Deferred	Planned	Adaptation	Total
	Budgeted - Current Year	Ş	ج	170,359 \$	5,700,436	\$ 11,531,953 \$	17,402,748
	Expenditures - Previous Year	Ş	م	1,140,000 \$	3,279,154	\$ 4,713,805 \$	9,132,959
	Projected - Years 2 Through 5	Ş	۰ ک	850,000 \$	6,648,004	\$ 10,419,772 \$	17,917,776
	Unbudgeted - Current Year	Ş	- \$ -	400,000 \$	180,000	\$ 750,000 \$	1,330,000
	Total	\$	\$-	2,560,359 \$	15,807,594	\$ 27,415,530 \$	45,783,483
	Summary by Type and Category						
Mainte	Maintenance Type	Critical		Deferred	Planned	Adaptation	Total
	Architectural	Ŷ	۰ ب	\$ 000'056	2,293,529	\$ 22,226,073 \$	25,469,602
	HVAC	Ş	م	\$ -	770,000	\$ 109,685 \$	879,685
	Plumbing and Electrical	Ş	, Ş	260,000 \$	1,092,200	\$ 240,000 \$	1,592,200
	Safety	Ş	, Ş	700,359 \$	4,314,865	\$ 754,772 \$	5,769,996
	Legal and Mandatory	Ş	ج	200,000 \$		35,000 \$	235,000
	Other	Ş	- \$ -	450,000 \$	7,337,000	\$ 4,050,000 \$	11,837,000
	Total	Ş	÷ -	2,560,359 \$	15,807,594 \$	\$ 27,415,530 \$	45,783,483
	Top Five Priority Projects						
Priority	ty Project Name			Period	Category	Type	Amount
1	Plaza Verde 3 - HVAC Replacements		Unbudg	Unbudgeted -CY D	Deferred Maintenance	HVAC \$	80,000.00
2	1st Community CU Stadium - Video Board Replacement		Project	Projected - Year 2 D	Deferred Maintenance	Other \$	450,000.00
m	Carr Education - Fine Arts - Pluming Repairs		Project	Projected - Year 2 D	Deferred Maintenance	Plumbing / Electrical \$	200,000.00
4	P32, P07A Parking Lot repairs		Budget	Budgeted - CY P	Planned Maintenance	Other \$	325,000.00
5	Carr Education - Fine Arts - Restroom Refresh		Budgeted - CY		Planned Maintenance	Architectural \$	500,000.00

G Deferred Maintenance	Ş	400,000.00
Non-E&G Deferred Maintenance	Ş	2,160,359.00
d Maintenance	Ş	2,560,359.00

	THECB - Fall 2023	
	Building Replacement	
	Estimate Report	DM Index Value
Educational and General Campus Condition Index Value (EGCCIV)	\$ 454,621,815	0.0880%
Institution-Wide Campus Condition Index Value (IWCCIV)	\$ 1,472,782,597	0.1738%

2. MSU: Acknowledge the Campus Condition Report.

Board approval required by: Texas Education Code, §61.05821

The request is to acknowledge Midwestern State University's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The MSU Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.2378%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.1515%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.2151% and 0.1520%, respectively.

		Ň	Midwestern State University	~		
		Camp	Campus Condition Report - FY2024	024		
			October 11, 2024			
Summary by Period and Category Period		Critical	Deferred	Planned	Adaptation	Total
Budgeted - Current Year	Ś	30,316	Ś	\$ 10,431,426	\$ 30,000 \$	10,600,710
Expenditures - Previous Year	÷	390,371	<u>ۍ</u>	\$ 3,033,496	5,366	3,568,027
Projected - Years 2 through 5	Ŷ	13,200		21,690,840	\$ 1,972,000 \$	25,160,840
Unbudgeted - Current Year	Ŷ	•	Ŷ	•	\$	
Total	Ŷ	433,887	r \$ 1,722,562	\$ 35,155,762	\$ 2,007,366 \$	39,329,577
Summary by Type and Category						
Maintenance Type		Critical	Deferred	Planned	Adaptation	Total
Architectural	Ŷ		; \$ 1,232,718	\$ 13,565,848	\$ 1,106,798 \$	15,905,364
HVAC	Ŷ	359,769) \$ 278,615	\$ 5,260,840	\$	5,899,224
Plumbing and Electrical	Ŷ	58,802	: \$ 38,628	\$ 5,136,472	\$ 30,000 \$	5,263,902
Safety	ጭ	15,316	; \$ 131,601	\$ 3,621,015	\$ 670,568 \$	4,438,500
Legal and Mandatory	Ŷ	•	; \$ 12,000	\$ 927,906	\$ 80,000 \$	1,019,906
Other	Ŷ		\$ 29,000	\$ 6,643,681	\$ 120,000 \$	6,792,681
Total	Ş	433,887	r \$ 1,722,562	\$ 35,155,762	\$ 2,007,366 \$	39,319,577
Top Five Priority Projects						
Priority Name			Period	Category	Type	Amount
1 Concrete stablization, partial depth repairs for			Budgeted - Current Year	Planned Maintenance	Other \$	386,640
2 Top slab installation for tunnel ceilings			Budgeted - Current Year	Planned Maintenance	Other \$	802,980
3 Repairs to elevator so it is code compliant			Budgeted - Current Year	Planned Maintenance	Legal and Mandatory \$	21,021
4 Investigate/Mod Mass Comm HVAC system			Budgeted - Current Year	Planned Maintenance	HVAC \$	150,000
5 Condenser water pump assemblies (3 & 4 out			Budgeted - Current Year	Deferred Maintenance	HVAC \$	38,000
E&G	Ś	912,122				
Non-E&G Deferred Maintenance	Ŷ	810,440	-			
Total	Ŷ	1,722,562				
			THECB - Fall 2023 Building			
			Replacement Estimate Report	DM Index Value		
Educational and General Campus Condition Index Value (EGCC	EGCCIV)		\$ 383,542,422	0.2378%		
Institution-Wide Camuus Condition Index Value (IWCCIV)			\$ 1.137.352.738	0.1515%		

3. TTU: Acknowledge the Campus Condition Report.

Board approval required by: Texas Education Code, §61.05821

The request is to acknowledge Texas Tech University's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The TTU Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.5037%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.2677%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.6429% and 0.3218%, respectively.

		Texas Tech University	Texas Tech University	ersity			
			October 23, 2024	011 - F 1 2 0 2 4 324			
	Summary by Period and Category						
Period		Critical		Deferred	Planned	Adaptation	Total
	Budgeted - Current Year	Ŷ	ې ب	11,943,713	\$ 62,487,311.00	\$ 112,228,850	\$ 186,659,874
	Expenditures - Previous Year	Ŷ	, Ş	10,113,138	\$ 8,034,472	\$ 25,701,853	\$ 43,849,463
	Projected - Years 2 Through 5	Ŷ	, Ş	5,618,864	\$ 25,742,304	\$ 150,000	\$ 31,511,168
	Unbudgeted - Current Year	Ş	ج		\$ -	\$ -	¢ -
	Total	Ş	\$ -	27,675,715 \$	\$ 96,264,087	\$ 138,080,703	\$ 262,020,505
	Summary by Type and Category						
Mainte	Maintenance Type	Critical		Deferred	Planned	Adaptation	Total
	Architectural	Ŷ	ې ۲	7,791,175	\$ 12,217,013	\$ 122,864,148	\$ 142,872,336
	HVAC	Ŷ	, Ş	15,558,750	\$ 39,735,155	\$ 10,910,780	\$ 66,204,685
	Plumbing and Electrical	Ş	ې ۲	2,923,976	\$ 14,194,411	\$ 3,127,607	\$ 20,245,994
	Safety	Ş	, Ş		\$ 15,915,928	\$ 579,275	\$ 16,495,203
	Legal and Mandatory	Ş	, Ş	1,030,000	\$ 8,594,159	\$ 473,893	\$ 10,098,052
	Other	Ş	- \$	371,814	\$ 5,607,421	\$ 125,000	\$ 6,104,235
	Total	Ş	- \$	27,675,715	\$ 96,264,087	\$ 138,080,703	\$ 262,020,505
	Top Five Priority Projects						
Priority	ity Project Name			Period	Category	Type	Amount
1	Library - Elevator #1 Modernization		Budg	Budgeted - Current Year	Planned Maintenance	Other	\$ 170,000
2	TTU Plaza - Building Controls Replacement		Budg	Budgeted - Current Year	Planned Maintenance	HVAC	\$ 100,000
m	Drane - Replace Multi-zone AHU's - Ph 1		Budg	Budgeted - Current Year	Planned Maintenance	HVAC	\$ 500,000
4	Holder Hall - Restroom 00R1/00R2 Renovations		Budg	Budgeted - Current Year	Planned Maintenance	Architectural/Plumbing/Elec	\$ 200,000
ъ	Holdern Hall - CCAP Replace Generator		Budg	Budgeted - Current Year	Planned Maintenance	Plumbing/Electrical	\$ 225,000

E&G Deferred Maintenance	Ş	12,727,175
Non-E&G Deferred Maintenance	Ş	4,835,402
Total Deferred Maintenance	ş	17,562,577

	I HEUB - Fall 2023
	Building Replacement
	Estimate Report DM Index Value
d General Campus Condition Index Value (EGCCIV)	\$ 2,526,781,390
0	\$ 6,560,817,372

4. <u>TTU SVM: Acknowledge the Campus Condition</u> <u>Report.</u>

Board approval required by: *Texas Education Code*, §61.05821

The request is to acknowledge Texas Tech University School of Veterinary Medicine's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The TTU SVM Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.0000%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.0000%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.0000% and 0.0000%, respectively.

	Summary by Period and Category						
Period		Critical	Deferred	rred	Planned	Adaptation	Total
	Budgeted - Current Year \$		\$ '	\$ -	\$ '	÷ \$	
	Expenditures - Previous Year \$		\$ '	, Ş	21,199 \$	35,153 \$	56,352
	Projected - Years 2 Through 5 \$		۔ ج	, Ş	\$ '	\$ '	
	Unbudgeted - Current Year \$		- \$ -	\$ -	\$ -	\$ -	
	Total \$		- \$ -	\$ - \$	21,199 \$	35,153 \$	56,352
	Summary by Type and Category						
Mainter	Maintenance Type	Critical	Deferred	rred	Planned	Adaptation	Total
	Architectural \$		\$ '	\$ '	\$	\$.	•
	HVAC \$		\$- '	\$ '	\$ '	\$.	
	Plumbing and Electrical \$		\$ '	÷ ,	21,199 \$	35,153 \$	56,352
	Safety \$		۔ ج	, Ş	\$ '	\$ '	
	Legal and Mandatory \$		\$ '	, Ş	\$ '	\$ '	
	Other \$		- \$ -	\$ - \$	\$ -	\$ -	
	Total \$		- \$ -	\$ -	21,199 \$	35,153 \$	56,352
	Top Five Priority Projects						
Priority	ty Project Name		Period	po	Category	Type	Amount
1						Ş	,
2						Ŷ	
ε						Ŷ	
4						Ŷ	'
S						Ş	

E&G Deferred Maintenance	- \$		
Non-E&G Deferred Maintenance	- \$		
Total Deferred Maintenance	- \$		
		THECB - Fall 2023	
		Building Replacement	
		Estimate Report	DM Index Value
Educational and General Campus Condition Index Value (EGCCIV)		\$ 126,633,400	0.00
Institution-Wide Campus Condition Index Value (IWCCIV)		\$ 158,291,750	0.00

DM Index Value 0.0000% 0.0000%

5. <u>TTUHSC: Acknowledge the Campus Condition</u> <u>Report.</u>

Board approval required by: *Texas Education Code*, §61.05821

The request is to acknowledge Texas Tech University Health Sciences Center's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The TTUHSC Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.0671%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.0895%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.0695% and 0.0918%, respectively.

	Campus Condition Report - FY 2024	Report - FY 2024			
	October 9, 2024	9, 2024			
Summary by Period and Category	Critical	Deferred	Planned	Adaptation	Total
Budgeted - Current Year	s,	\$ 1,643,321	\$ 5,925,642 \$		
Expenditures - Previous Year	۰ ۲	\$ 1,743,321	\$ 5,315,755 \$	2,393,797 \$	9,452,873
Projected - Years 2 through 5	\$	ۍ ۲	\$ 27,853,532 \$	15,251,030 \$	\$ 43,104,562
Unbudgeted - Current Year	\$	ۍ ۲			
Total	- \$	\$ 3,386,642	\$ 39,094,929 \$	22,430,682 \$	\$ 64,912,253
Summary by Type and Category					
Architectural	- \$	- \$	\$ 12,694,211 \$	16,813,509 \$	\$ 29,507,720
HVAC	ۍ ۲	\$ 1,706,853	\$ 13,157,290 \$	269,331 \$	3 15,133,475
Plumbing and Electrical	ۍ ۲	\$ 215,394	\$ 4,841,000 \$	373,152 \$	5,429,546
Safety	۰ ۲	\$ 1,334,433	\$ 3,208,632 \$	687,537 \$	5,230,601
Legal and Mandatory	\$	\$ 129,962	\$ 346,635 \$	- \$	\$ 476,597
Other	\$	÷	\$ 4,847,161 \$	4,287,154 \$	9,134,314
Total	- \$	\$ 3,386,642	\$ 39,094,929 \$	22,430,682 \$	\$ 64,912,253
Top Five Priority Projects					
Priority Name		Period	Category	Amount	Type
1 DAL - TTUHSC - 4th Floor AHU Replacement		Budgeted - Current Year	1-Planned Maintenance \$		500,000.00 APP - Approximated
2 LBK - PMP - Elevators 1-4 Control Mods		Budgeted - Current Year	1-Planned Maintenance \$		300,000.00 APP - Approximated
3 AMA - SOM - Chiller Replacement		Budgeted - Current Year	1-Planned Maintenance \$		600,000.00 APP - Approximated
4 LBK - TTUHSC - 1B03 AHU Refurbishment		Budgeted - Current Year	1-Planned Maintenance \$		375,000.00 APP - Approximated
5 LBK - TTUHSC - 1B04 AHU Refurbishment		Budgeted - Current Year	1-Planned Maintenance \$		375,000.00 APP - Approximated
E&G Deferred Maintenance	\$ 880,386				
Non-E&G Deferred Maintenance	\$ 762,935				
Total Deferred Maintenance	\$ 1,643,321				
		THECB - Fall 2023			
		Building Replacement			
		Estim	DM Index Value		
Educational and General Campus Condition Index Value (EGCCIV)		\$ 1,312,299,134	0.0671%		
Institution Wide Commune Condition Index Velue (INVCCIV)		1 00L L01 700			

6. <u>TTUHSC EI Paso: Acknowledge the Campus</u> <u>Condition Report.</u>

Board approval required by: Texas Education Code, §61.05821

The request is to acknowledge Texas Tech University Health Sciences Center El Paso's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The TTUHSC EI Paso Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.3477%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.5942%. The comparable measures reported in the institution's FY 2023 Campus Condition Report were 0.4361% and 0.6989%, respectively.

		Campus	Campus Condition Report - FY2024	eport - F	Y2024						
			October 24, 2024	2024							
Period	summary by Penou and category Period		Critical		Deferred	Planned		Adaptation		Ĕ	Total
	Budgeted - Current Year	Ś		Ś	867,500	\$ 2,0	2,027,442 \$		2,260,450 \$		5,155,392
	Expenditures - Previous Year	. v.		· •^	440,181						9,286,814
	Projected - Years 2 through 5	ŝ	ı	ŝ	3,180,900			-			32,681,996
	Unbudgeted - Current Year	Ŷ	ı	Ŷ	1,682,400						10,582,644
	Total	Ŷ	I	Ŷ	6,170,981	2		m	30,830,540 \$		57,706,845
Summar	Summary by Type and Category										
Mainten	Maintenance Type		Critical		Deferred	Planned		Adaptation	_	ř	Total
	Architectural	ጭ	•	Ŷ	1,431,681	\$ 4,3	4,318,293 \$		23,015,787 \$		28,765,761
	HVAC	Ŷ		ş	3,704,652	\$ 3,2	3,290,824 \$		312,981 \$		7,308,457
	Plumbing and Electrical	Ŷ		ş	597,044	\$ 1,6	1,639,565 \$		41,081 \$		2,277,690
	Safety	Ŷ		Ŷ	184,642	\$ 1,6	1,606,250 \$		67,812 \$		1,858,704
	Legal and Mandatory	Ŷ		Ş	51,000	Ş	\$ 000,693		4,888,194 \$		5,508,194
	Other	Ŷ		ş	201,963		9,281,392 \$				11,988,040
	Total	Ŷ		Ş	6,170,981	\$ 20,7	20,705,324 \$		30,830,540 \$		57,706,845
op Five	Top Five Priority Projects										
Priority	Project Name				Period	Category		Type		Am	Amount
1	MSB1- Replace Boiler #1			Budgeted	- Current Year	Budgeted - Current Year Planned Maintenance		P&E - Plumbing & Electric	Electric \$		2,000,000
2	MSB1 Chiller #2 Replacement			Budgeted	- Current Year	Budgeted - Current Year Planned Maintenance		HVAC	Ŷ		375,000
ĸ	Paisano Warehouse Renovation - Design			Budgeted	- Current Year	Budgeted - Current Year Planned Maintenance		ARCH - Architectural			175,000
4	Campus Parking Design			Budgeted	- Current Year	Budgeted - Current Year Planned Maintenance		ARCH - Architectural	ral \$		171,000
ъ	MSB1 Chiller #3 Replacement			Budgeted	- Current Year	Budgeted - Current Year Planned Maintenance		HVAC	Ŷ		375,000
	E&G Deferred Maintenance	ŝ	1,492,603								
	Non-E&G Deferred Maintenance	ŝ	2,555,797								
	Total Deferred Maintenance	ş	4,048,400								
				THEC	THECB - Fall 2023						
				Building	Building Replacement		-				
	Educational and General Camuus Condition Index Value (EGCIV)			¢ Loui	170 327 AD2		0 2477%				
	Ladestronial and Scholar Canalities Lades Value (INFORV)			ъ ч	C01 375 500						
				<u>م</u>	CUC,C2C,100		1.2442.V				

7. <u>TTU System: Acknowledge the Campus Condition</u> <u>Report.</u>

Board approval required by: *Texas Education Code*, §61.05821

The request is to acknowledge Texas Tech University System's Campus Condition Report. This request has been approved administratively by the president and the chancellor and is recommended for acknowledgement by the Board of Regents and submission to the Texas Higher Education Coordinating Board ("THECB").

[NOTE: The TTU System Campus Condition Report is attached on the following page.]

BACKGROUND INFORMATION

In accordance with *Texas Education Code*, §61.05821 and the Texas Higher Education Coordinating Board ("THECB") updated rules, as stated in 19 TAC §17.101(2)(B), institutions shall submit a Campus Condition Report to their governing boards each year for their knowledge and a copy is to be provided to the Coordinating Board.

THECB provides a report to institutions called the Building Replacement Estimate Report to aid institutions in reporting their Campus Condition Report ("CCR") to their respective Boards. The CCR identifies (1) the current accumulated deferred maintenance needs; (2) the projects planned to address accumulated deferred maintenance needs in the next five years; and (3) the actual expenditure on accumulated deferred maintenance in the most recent fiscal year. The categories indicate the type of reinvestment required to maintain existing facilities; whether to stay on schedule, catch up, or convert existing facilities to an optimal condition.

The Campus Condition Report compares the unexpended critical and deferred maintenance to an index value (building replacement value) and estimates the institutions' overall facilities condition. In principle, Institutions are rated as good (5% or less), fair (between 5% and 10%), or poor (10% or greater).

Based on the institutions data (1) the Educational and General Campus Condition Index Value ("EGCCIV") or Ratio of Critical and Deferred Maintenance for Educational and General spaces to Educational and General Campus Condition Index Value ("EGCCIV") is 0.0000%; and (2) the Institution-Wide Campus Condition Index Value ("IWCCIV") or Ratio of Critical and Deferred Maintenance (for all spaces) to the Institution-Wide Building Replacement Estimate Value ("IWBREV") is 0.0000%. This is the TTU System's first year submitting a Campus Condition Report to the Board.

Campus Condition Report - FY2024 Texas Tech University System October 15, 2024

Period Critical Defend Period Adaptation Budgeted - Current Year 5		Summary by Period and Category								
- Current Year 5 5 5 5 5 5 5 29,065 rase - Frevious Year 5 5 5 5 5 5 5 5 5 rase - Frevious Year 5	Period		Critical	-	Deferred		Planned		Adaptation	Total
res - Previous Vear56565651 - Vans 2 Through 55-55-5552 ed - Lurrent Year5-5555552 ed - Lurrent Year5-5555552 ed - Lurrent Year5-1255552 ed - Lurrent Year5-2255552 ed - Lurrent Year5-22555552 ed - Lurrent Year222225555552 ed - Lurrent Year22222225555552 ed - Lurrent Year222222255<		Budgeted - Current Year \$		ج		\$ '	'	Ŷ	29,065 \$	29,065
		Expenditures - Previous Year \$		۰ ځ		, Ş		\$ \$	\$ '	1
ted - Current Year 5		Projected - Years 2 Through 5		\$ '		\$ '		\$ '	\$ '	
vb Type and Catgory c 5 5 5 20065 vb Type and Catgory c 5 7 20065 20065 ural c c c c c c 2 20065 ural c c c c c c c 2 20065 ural c c c c c c c 2 20065 ural c c c c c c c 2 20065 ural c c c c c c c 2 20055 20055 and Electrical c c c c c c c 2 20055 2 20055 c		Unbudgeted - Current Year		- \$ -		- \$		- \$	\$ - \$	
Apy Type and Category Critical Critical Deferred Planned Adaptation ural 5 6 5 6 5 29,065 29,065 ural 5 6 5 6 5 29,065 29,065 and Electrical 5 6 5 6 5 29,065 29,065 and Electrical 5 6 5 6 5 29,065 29,065 and Electrical 5 6 5 6 5 29,065 29,065 Mandatory 5 6 5 6 5 5 2 29,065 Mandatory 5 6 5 6 5				- \$ -		- \$			29,065 \$	29,065
Critical Critical Defende Planed Adaptation ural 2 <th></th> <th>Summary by Type and Category</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>		Summary by Type and Category								
Architectural 5 5 5 5 29,065 HAC 5 6 5 5 29,065 HAC 5 6 5 5 5 5 HAC 5 6 5 5 5 5 5 Pumbing and Electrical 5 5 5 5 5 5 5 Safety 5	Mainten	ance Type	Critical	-	Deferred		Planned		Adaptation	Total
HVAC billing and Electrical billing and Elect		Architectural		۰ ب		÷		۰ بې	29,065 \$	29,065
$ \label{eq:linearized} \mbox{Imbing and Electrical} \\ \mbox{Safety} \\ $		HVAC \$, Ş		ş.		\$ '	\$ '	
Safety 5 <td></td> <td>Plumbing and Electrical \$</td> <td></td> <td>ج</td> <td></td> <td>\$ '</td> <td></td> <td>\$ '</td> <td>\$ '</td> <td>•</td>		Plumbing and Electrical \$		ج		\$ '		\$ '	\$ '	•
Legal and Mandatory 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 5 - 5 5 5 5 5 5 1 1 - 1 - 1 - 5		Safety \$		\$ '		\$ '		\$ '	\$ '	•
Other 5 <td></td> <td>Legal and Mandatory \$</td> <td></td> <td>, Ş</td> <td></td> <td>, Ş</td> <td></td> <td>ŝ</td> <td>\$ '</td> <td>•</td>		Legal and Mandatory \$, Ş		, Ş		ŝ	\$ '	•
Total 5 5 5 29,065 Top Five Priority Projects Easternance				- \$ -		- \$ -		- \$	\$ -	
Top Five Priority Projects Project Name Period Category				\$ -		\$ -		\$-		29,065
Project Name Period Category		Top Five Priority Projects								
1 7 m 4 m	Priority	4			Period	•	Category		Type	Amount
V W V V	1								Ş	
ω 4 μ	2								Ŷ	•
• • •	m								Ŷ	·
	4								Ş	·
۲ ۲	5								Ş	•

E&G Deferred Maintenance	•		
Non-E&G Deferred Maintenance	•		
Total Deferred Maintenance	•		
		THECB - Fall 2023	
		Building Replacement	
		Estimate Report	DM Index Value
Educational and General Campus Condition Index Value (EGCCIV)		\$ 26,534,247	0.00(
Institution-Wide Campus Condition Index Value (IWCCIV)		\$ 49,672,191	0.000

11/14-15/2024

DM Index Value 0.0000% 0.0000%

h. <u>TTUHSC: Approve text, installation and location of plaque</u> for Dr. Tedd L. Mitchell, former president of Texas Tech University Health Sciences Center.

Board approval required by: Section 12.03, Regents' Rules

The request is to approve the installation, location, and the following text of a plaque recognizing the tenure of Tedd L. Mitchell, the eighth president of Texas Tech University Health Sciences Center ("TTUHSC"). This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

Tedd L. Mitchell, M.D. Eighth President Texas Tech University Health Sciences Center 2010 – 2019

Tedd L. Mitchell, M.D., became the eighth president of Texas Tech University Health Sciences Center in May 2010 and served at the university's helm for nearly a decade. As the longest-tenured president in TTUHSC's history, Mitchell led the institution to monumental milestones and record growth in enrollment, academic excellence and physical campus expansion. In October 2018, Mitchell was named chancellor of the Texas Tech University System ("TTUS") and held a dual appointment as chancellor and president of TTUHSC for approximately one year until deciding to close his presidential tenure in November 2019.

During his tenure as president, Mitchell and his leadership team led the university's first comprehensive fundraising campaign, a \$100-million initiative. He also successfully implemented a values-based culture at the university and its six academic locations across the state. In 2013, Mitchell helped transform TTUHSC's regional site in El Paso into a standalone university and the TTU System's fourth institution – TTUHSC El Paso. He launched initiatives for interprofessional concentration around the university's five schools – Biomedical Sciences, Health Professions, Medicine, Nursing and Pharmacy – and established programs in Public Health that would eventually become the university's sixth school.

Prior to his time at TTUHSC, Mitchell served as president and CEO of the Cooper Clinic in Dallas, an internationally recognized center of excellence in preventive and sports medicine. Born in Columbia, Louisiana, and a native of Longview, Texas, Mitchell earned a Bachelor of Science at Stephen F. Austin State University in 1983. He is an Ashbel Smith Distinguished Alumnus of the University of Texas Medical Branch (UTMB), where he received his Doctor of Medicine in 1987. Following an elective rotation at the Cooper Clinic, Mitchell earned a certificate of added qualification in Sports Medicine. In 1991, he was appointed medical director at the Cooper Clinic, a role he held until being named president and CEO in 2006.

From 1988 to 1996, Mitchell served as a captain in the U.S. Army Reserves (Medical Corps). He served on Texas Governor George W. Bush's State Board on Aging (1998 – 2002). From 2002 to 2008, he was appointed to President George W. Bush's President's Council on Physical Fitness and Sports. In 2007, he was named to the College Sports Medicine Board of Trustees. In 2012, he was honored as a distinguished alumnus of the Department of Internal Medicine at UTMB. He is a Fellow of the American College of Physicians and the American College of Sports Medicine.

The plaque will be installed in the University Center Building, first floor hallway, along with the plaques of other past presidents.

i. <u>TTUS: Approve amendments to Regents' Rules, Chapter 08</u> (Facilities) regarding project assessment fees.

Board approval required by: Section 01.08, *Regents' Rules*

The request, upon recommendation of the Regents' Rules Review Committee (Rules Committee), is to consider adoption of amendments to Chapter 08 (Facilities), *Regents' Rules,* to revise the timing of the collection of construction project management fees by the Office of Facilities Planning and Construction ("FP&C Office").

BACKGROUND INFORMATION

Section 08.01.9, *Regents' Rules;* outlines the fee rate and timing of the project management fee received by the FP&C Office for all design and construction initiatives managed by the office. The proposal is that Section 08.01.9.a(1), *Regents' Rules;* be amended to update the timing of when the relevant portion of the project management fee is applied concurrent with Board approval of the various budget stages of a project.

The Rules Committee (composed of Regents Pat Gordon -chair, Clay Cash, Tim Culp, and Shelley Sweatt) is charged with considering and when applicable, submitting to the appropriate Board committee proposed amendments to the TTUS *Regents' Rules*. Any amendment to the *Regents' Rules* or a Board Policy Statement must be approved by the full Board in accordance with Section 01.08, *Regents' Rules*.

Change to Chapter 08 (Facilities), amended to revise the timing of when the project management fee is collected by the FP&C Office:

- 08.01.9 Project management fee. The FP&C Office shall receive a project management fee for all design and construction initiatives managed by the FP&C Office. This fee shall be used to support all FP&C operations.
 - a. Fees to be assessed by FP&C, as follows:
 - (1) Project assessment. For any project, the fee shall be 2.4% of the initial-project budget stage, as approved by the board. The fee assessed will be capitalized in the cost of the project, and the fee shall be assessed and collected based upon the following milestones:

% of fee to

<u>Milestone</u>	<u>be collected</u>
Board approval	
Construction contract execution date	
Substantial completion date	<u></u>

Project Budget Stage	Milestone	% of fee to be collected
Stage one design	Board approval	100%
Stage two design	Board approval	100%
Construction manager pre-con fee	Board approval	100%
Construction	Board approval	90%
manager full funding (GMP)	Substantial completion date	10%

j. <u>ASU, TTU, and TTUS: Approve purchasing contract(s) in</u> <u>excess of \$1,000,000.</u>

Board approval required by: Section 07.12.3, Regents' Rules

The request is to approve purchasing contracts in accordance with *Regents Rules* 07.12.3.a, including those contracts with a value exceeding \$5,000,000 in accordance with *Regents' Rules* 07.12.3.c as listed on the following page. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

BACKGROUND INFORMATION

In accordance with *Regents' Rules*, the Board is routinely requested to approve purchasing contracts under which the TTUS components spend more than \$1,000,000 per annum. Purchasing contracts are procured and executed in compliance with Texas Education Code §51.9335 as well as the *Regents' Rules* and TTUS component Operating Policies and Procedures.

Section 07.12.3.a, *Regents' Rules*, requires Board approval for contracts that total in excess of \$1,000,000 over the entire term of the contract, unless a different consideration is specified by this policy.

However, Section 07.12.3.c provides that, for all purchasing contracts that exceed a total value of \$5,000,000 over the life of the contract (even if the annual amount is less than \$1,000,000), the Board must be provided:

- (1) verification that the solicitation and purchasing method and contractor selection process comply with state law and TTU System policies; and
- (2) information on any potential issue(s) that may arise in the solicitation, purchasing, or contractor selection process.

The attached table shows: (1) purchasing contracts for which Board approval is required; and (2) purchasing contracts that exceed \$5,000,000 over the life of the contract for which the special reporting is required.

ASU, TTU, and TTUS: Approve Purchasing Contracts

Regents' Rules 07.12.3.a and 07.12.3.c

Component	Vendor	Services or Goods to be Provided	Estimated Per Annum Expenditures	Estimated Term Expenditures (includes renewals)	Start	End	Procurement Method
ASU	(C2400510) Digital Resources	Installation of sound system	\$ 1,012,805	\$ 1,012,805	9/1/2024	8/31/2025	TIPS
ττυ	(TBD) Summus Dell	Dell branded hardware, software, and related services	\$ 10,000,000	\$ 60,000,000	Execution	6 years after execution	DIR
πυ	(C19691) Sage Publications	Books/reference materials for TTU Library	\$ 1,500,000	\$ 6,000,000	1/1/2023	12/31/2029 (4 year extension from 12/31/2025)	Sole Source
πυ	(C18167) Elsevier	Books/reference materials for TTU Library	\$ 1,500,000	\$ 7,500,000	1/1/2021	12/31/2025 (1 year extension from 12/31/2024)	Sole Source
ττυ	(Req#191938634) Bruker BioSpin Corporation	Purchase of equipment; Bruker spectrometer	\$ 2,200,000	\$ 2,200,000	9/1/2024	12/31/2024	Sole Source
ττυ	(CTBD) One Sixty Over Ninety LLC	Creative Service Agency Services	\$ 1,750,000	\$ 14,000,000	Execution	Eight years after execution	RFP
ττυ	(C22887) Mayfield Paper Company, Inc.	Custodial Supplies and Equipment	\$ 1,500,000	\$ 4,500,000	10/1/2024	9/30/2027	BuyBoard
TTUS	(C22810) Factory Mutual Insurance Company	Commerical Property Insurance Policy	\$ 4,000,000	\$ 4,000,000	9/1/2024	9/1/2025	Other

k. <u>TTU and TTUHSC: Authorize president to enter</u> <u>negotiations and execute a contract with Atmos Energy</u> <u>Corporation for natural gas distribution transportation</u> <u>services.</u>

Board approval required by: Section 07.12.3.a, 07.12.3.c, and 07.12.3.d.1.b., *Regents' Rules*

The request is to authorize the president of Texas Tech University ("TTU"), or his designee, to enter into negotiations and execute a contract with Atmos Energy Corporation for natural gas distribution transportation services. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

BACKGROUND INFORMATION

TTU and Texas Tech University Health Sciences Center ("TTUHSC") jointly issued a Request for Proposal ("RFP") on March 29, 2024, seeking competitive proposals from qualified and experienced Proposer(s) who can provide natural gas transportation services. At this time, other TTU System component institutions are not included but may opt to utilize the awarded contract.

The new contract is anticipated to be effective the date of last signature, and expire ten (10) years thereafter. The parties may negotiate and mutually agree on an alternate term.

The estimated annual value of the contract is \$1,500,000 and will fluctuate based on transportation usage.

The TTU Chief Procurement Officer has verified the solicitation and purchasing method, and the contractor selection process complies with state law and TTU system policies. The Chief Procurement Officer also confirms there are not any anticipated issues that may arise in the solicitation, purchasing, or contractor selection process.

Section 07.12.3.a. *Regents' Rules*, requires Board of Regents approval of contracts which exceed \$1,000,000 per annum.

Section 07.12.3.c., *Regents' Rules*, requires each contract for the purchase of goods and services, with a value exceeding \$5,000,000 that the Chief Procurement Officer for the applicable component institution or the TTU system must submit to the board:

(1) verification that the solicitation and purchasing method and contractor selection process comply with state law and TTU system policies; and
(2) information on any potential issue that may arise in the solicitation, purchasing, or contractor selection process.

Section 07.12.3.d.1.b, *Regents' Rules*, requires Board approval on contracts that involve a sale or lease of land and/or improvements thereon, or a commitment of funds or other resources for more than five years.

I. <u>TTU: Authorize president to enter negotiations and execute</u> <u>a new contract with 88 Investments Inc. for KCOS</u> <u>broadcast tower antenna site sublease.</u>

Board approval required by: Section 07.12.4.a and 07.12.3.d.1.b., Regents' Rules

The request is to authorize the president of Texas Tech University, or his designee, to enter into negotiations and execute a new contract with 88 Investments Inc. for KCOS broadcast tower antenna site sublease. This request has been approved administratively by the president and the chancellor and is recommended for approval by the Board of Regents.

BACKGROUND INFORMATION

The Board of Regents approved the Texas Tech Public Media merger with KCOS-TV, El Paso in the August 2019 meeting. The approval also included the acquisition of assets, licenses, agreements, and sublicenses of KCOS-TV, the PBS affiliate in El Paso. One of these agreements included an Antenna Site Sublease with 88 Investments, Inc.

The new contract will be effective the date of last signature and expire five (5) years thereafter. Unless terminated earlier pursuant to the Contract terms, the Contract would renew for up to one (1) five (5) year renewal term.

The estimated value of the initial five (5) year term of the contract is \$540,000. The five (5) year renewal term will be subject to CPI increases.

Section 07.12.4.a. *Regents' Rules*, requires Board of Regents notice of contracts which exceed \$1,000,000 total over the term of the contract.

Section 07.12.3.d.1.b, *Regents' Rules*, requires Board approval on contracts that involve a sale or lease of land and/or improvements thereon, or a commitment of funds or other resources for more than five years.

m. <u>TTUHSC EI Paso: Approve commissioning of police</u> officers.

Board approval required by: Section 51.203, Texas Education Code

The request is to commission the following individual(s) as a police officer, effective on the dates as indicated below. This request has been approved administratively by the chancellor and the president and is recommended for approval by the Board of Regents.

Maximo E Dominguez effective October 1, 2023 Louis C. Manning effective October 1, 2023 Shaun L. Roberts effective October 1, 2023 Stephen Schwartfeger effective October 1, 2023 Robert A. Alvidrez effective July 1, 2024 Martha Deanda effective August 1, 2024

BACKGROUND INFORMATION

The Board of Regents routinely takes action to commission certain employees as peace officers in accordance with *Texas Education Code*, Section 51.203.

n. <u>TTUS: Authorize chancellor to enter negotiations and</u> <u>execute a contract for treasury support services.</u>

Board approval required by: Section 07.12.3.e, Regents' Rules

The chancellor recommends that the Board of Regents authorize the chancellor, or his designee, to enter into negotiations and execute a contract with Huron Consulting Services LLC ("Huron") for treasury reconciliation and advisory services.

BACKGROUND INFORMATION

Services and objectives of Huron under the proposed contract include providing staff resources experienced in Higher Education accounting, treasury, and debt management functions to support the System, Office of Treasury debt reconciliation efforts and identify enhancement opportunities to the system's debt management processes.

The proposed contract is anticipated to be effective on the date of last signature with work to begin on or around November 18, 2024, and expires upon completion of services, currently estimating March 28, 2025.

Huron entered into an agreement with TTU for Compensation Consulting services, effective September 10, 2024, approved by the Board in May 2024. Due to a significant difference in scope of work between the two agreements it is recommended that the two agreements remain separate and obtain individual approval by the Board.

The estimated consulting fee is \$247,930 with a not to exceed cap of \$300,000. The system will also reimburse actual travel, lodging, and out-of-pocket expenses related to the services provided.

Section 07.12.3.e. *Regents' Rules,* requires Board approval on consulting contracts with an initial consideration in excess of \$100,000.

CONTENTS OF THE INFORMATION AGENDA

Section 01.02.7.d(4)(c), *Regents' Rules,* provides: "material required by a provision of the *Regents' Rules* to be furnished to the Board as information will be listed in the information agenda."

NOTE: The following are reports or other documents which, according to the *Regents' Rules* or state law, must be made available to the Board of Regents. As such, the reports below are on file in the Board Office, and their listing on the Information Agenda constitutes notice that they are available to Board members upon request.

INFORMATION AGENDA

Information is provided as required by Section 01.02.7.d(4)(c), *Regents' Rules*

NOTE: The following are reports or other documents which, according to the *Regents' Rules* or state law, must be made available to the Board of Regents. As such, the reports below are on file in the Board Office, and their listing on the Information Agenda constitutes notice that they are available to Board members upon request.

(1) ASU, MSU, TTU, TTUHSC and TTUHSC EI Paso: Summary of Revenues and Expenditures by Budget Category, FY 2024 (as of August 31, 2024), per Section 01.02.8.d(3)(g), Regents' Rules – All actual expenditures will be reviewed by the Finance and Investments Committee annually and provided as information. Financial reports for the most recently completed quarter for each of the component institutions are available at: <u>https://www.texastech.edu/offices/cfo/board-financial-reports.php</u>

(2) TTUHSC: Contracts for ongoing and continuing health-related service relationships per Section 07.12.4.c, *Regents' Rules* –

"The following are excepted from the requirements of Section 07.12.3.a and Section 07.12.3.b, Regents' Rules, "the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks; resident or faculty support; and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract or new health related services contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000. Before such a contract may be executed, the president shall obtain the prior review of the TTU system Office of General Counsel and the TTU system vice chancellor and chief financial officer, or their designees. A list of health-related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting."

	TTUHSC						
Regents' Rules							
Component	Vendor (Include Contract #)	Service or Goods to be Provided	Start Date	End Date	Extension/ Amendment		
TTUHSC	University Medical Center - UMC Lubbock (AMEND3371958- 007)	Funding Support Pediatrics	4/1/2022	6/30/2025	Amendment		
TTUHSC	Discovery Medical Network Inc. (AMEND3500078- 001)	Trauma Burn Services	4/1/2023	6/30/2025	Amendment		

TTUHSC	University Medical Center – UMC (AMEND1592374- 020)	Faculty Support	5/15/2016	6/30/2025	Amendment
TTUHSC	University Medical Center - UMC Lubbock (AMEND3041369- 007)	Pediatric Surgery	7/1/2021	6/30/2025	Amendment
TTUHSC	Covenant Health System (CON3627586)	Resident Rotation	7/1/2024	6/30/2025	Extension
TTUHSC	BMA Montford (CON3149376)	Dialysis Services	9/1/2021	11/30/2026	Extension
TTUHSC	University Medical Center - UMC Lubbock (AMEND3041369- 008)	Pediatric Surgery	7/1/2021	6/30/2025	Amendment
TTUHSC	Locumtenens com LLC (CON594709)	Anesthesia Services	11/17/2010	6/30/2025	Extension
TTUHSC	Consilium Staffing LLC (CON590970)	Medical Staffing Services	6/1/2013	8/31/2025	Extension
TTUHSC	Immediate Nurse Care Staffing Inc (CON2670715)	Nurse Staffing Services	7/1/2020	8/31/2025	Extension
TTUHSC	Covenant Health System (CON3624816)	Physician Services Agreement	7/1/2024	6/30/2025	Extension
TTUHSC	University Medical Center – UMC Lubbock (AMEND3371958- 007)	Services and Funding Support	4/1/2022	6/30/2025	Amendment
TTUHSC	University Medical Center – UMC Lubbock (AMEND3371958- 006)	Services and Funding Support	4/1/2022	6/30/2025	Extension
TTUHSC	University Medical Center – UMC Lubbock (AMEND2117893- 010)	Funding Physician and Fellow	5/1/2018	6/30/2025	Amendment

(3) MSU, TTU, TTUHSC, and TTUHSC El Paso: Contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000 per section 07.12.4 of the Regents' Rules – The following are excepted from the requirements of Section 07.12.3.a and 07.12.3 b, Regents' Rules, "the chancellor or president, or the chancellor or presidents designee, as appropriate, is delegated the authority to approve: (i) contracts that involve a stated or implied consideration that total in excess of \$1,000,000 over the entire term of the contract but the per annum amount is less than \$1,000,000; and (ii) any amendment, extension, or renewal of a contract originally approved by the chancellor or president, as appropriate, so long as the amendment, extension, or renewal does not cause the per annum amount of the contract to exceed \$1,000,000. This requirement is applicable to both cash and non-cash considerations. Information about such contracts or contract amendments, extensions, or renewals that are approved by the chancellor or a president under this delegation of authority shall be provided to the board as an information item at the next regular board meeting."

Regents Rules,	MSU: Approved Purchasing Contracts Regents Rules, 07.12.4							
Component	Vendor (Include Contract #)	Service or Goods to be Provided	Start Date	End Date	New or Extension	Procurement Method		
MSU	Boldyn Networks Higher Ed LLC (formerly Apogee Telecom, Inc) (C24310)	Wireless Internet and Cable Television for Resident Students in Housing Facilities.	8/1/2024	8/31/2029	Amendment #5	Solicitation (RFP 735-13- 8104)		

Regents Rules,	TTU: Approved Purchasing Contracts Regents Rules, 07.12.4							
Component	Vendor (Include Contract #)	Service or Goods to be Provided	Start Date	End Date	New or Extension	Procurement Method		
ττυ	(C22627) Firemaster, LLC	Fire Alarm and Detection Services	Execution	8 years after execution	New	RFP		
ττυ	(C20472) NADA Services Corporation	Lease in Washingto n DC for Congressio nal Internship Program	08/15/2023	08/14/2028	Extension	Other		
TTU	(C22388) Sirius Computer Solutions	Data protection solutions	07/29/2024	07/28/2029	New	DIR		
TTU	(CTBD) Shake Smart Inc.	Smoothie Franchise Agreement	Execution	8 years after execution	New	RFP		
TTUS	(C10012) Witt- Kieffer, Inc.	Executive Search Firm Agreement	09/01/2016	08/31/2026	Extension	RFP		

ττυ	(C22522) Reese Technology Center/Lubbock Reese Redevelopment Authority	Interagenc y Cooperatio n Agreement (Common Area Maintenan ce Fees)	10/01/2024	09/30/2028	New	Other Agency
ττυ	(C15897) Filtration Concepts	HVAC Filters and related services	07/01/2018	06/30/2028	Extension	E&I Cooperative

Regents Rules	TTUHSC: Approved Purchasing Contracts Regents Rules, 07.12.4						
Component	Vendor (Include Contract #)	Service or Goods to be Provided	Start Date	End Date	New or Extension	Procurement Method	
TTUHSC	Shareholder Value Ltd (AMEND57916 7-008)	Lease	10/14/2024	11/30/2026	Extension	Solicitation	
TTUHSC	Firemaster LLC (CON579843)	Fire Alarm System and Services	5/1/2015	4/30/2025	Extension	Solicitation	
TTUHSC	The Coding Network LLC (CON2513271)	Medical Coding Services	3/1/2020	10/31/2024	Extension	Solicitation	
TTUHSC	HireRight LLC (CON2267404)	Background Checks	10/1/2024	9/30/2025	Extension	Solicitation	
TTUHSC	Reliant Energy Retail Services LLC (CON1675430)	Electric Energy	1/12/2015	4/30/2026	Extension	Exempt	
TTUHSC	University Medical Center UMC Lubbock (CON1645105)	Physician Coding Software	12/30/2016	12/29/2024	Extension	Interlocal	
TTUHSC	Covenant Medical Group (CON1583051)	Preceptorship	7/1/2016	6/30/2025	Extension	Sole Source	
TTUHSC	Midland College (CON595115)	PA Program Facility	9/1/2010	8/31/2025	Extension	Interlocal	
TTUHSC	Trans Star Ambulance CON594979)	Ambulance Services	1/1/2000	8/31/2025	Extension	Professional Services	

TTUHSC	University Medical Center UMC Lubbock (CON579119)	Lease	1/1/2011	12/31/2024	Extension	Interlocal
TTUHSC	University Medical Center UMC Lubbock (CON579117)	Lease	5/1/2011	12/31/2024	Extension	Interlocal
TTUHSC	Ameritas Life Insurance Company (CON589562)	Dental Insurance	8/1/1996	7/31/2025	Extension	Exempt
TTUHSC	Oral and Maxillofacial Surgery of Abilene (CON589196)	Oral & Maxillofacial Surgery	5/1/2015	8/31/2025	Extension	Professional Services
TTUHSC	Grace Clinic of Lubbock (CON579149)	Lease	7/1/2012	6/30/2025	Extension	Solicitation

Regents Rule	TTUHSC El Paso: Approved Purchasing Contracts Regents Rules, 07.12.4						
Component	Vendor (Include Contract #)	Service or Goods to be Provided	Start Date	End Date	New or Extension	Procurement Method	
TTUHSC EP	WLM Health (CON3649414)	Anesthesiology	11/01/24	08/31/27	New	N/A Professional Services	
TTUHSC EP	Margaret Negrete, DO, PLLC (CON3645742)	Anesthesiology	10/01/24	08/31/28	New	N/A Professional Services	
TTUHSC EP	Dialed in Anesthesia PLLC (CON3636996)	Anesthesiology	08/14/24	08/31/27	New	N/A Professional Services	
TTUHSC EP	The Hospitals of Providence (CON3632069)	EROC Surgery	09/13/24	11/30/26	New	N/A Professional Services	
TTUHSC EP	Hayes Locums, LLC (AMEND3185302- 003)	Locums	04/01/22	04/30/25	Extension	N/A Professional Services	
TTUHSC EP	El Paso County Hospital District (AMEND2860708- 003)	Radiology	11/01/21	10/31/25	Extension	N/A Professional Services	
TTUHSC EP	Texas Health and Human Services Commission (AMEND2816778- 019)	Women's Health Program Grant	01/01/21	08/31/25	Extension	N/A Professional Services	

TTUHSC EP	6Radiology, LLC (AMEND2352080- 005)	Radiology	09/09/19	08/31/25	Extension	N/A Professional Services
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(4) ASU, TTU, TTUHSC, and TTUHSC EI Paso: Consulting contracts with an initial consideration of \$100,000 or less per Section 07.12.4.e.(1), Regents' Rules – <u>"(a) Board approval is not</u> required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of \$100,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."

	ASU						
Regents Rules	Regents Rules, 07.12.4.e.(1)						
	Vendor	Consulting Service to be	Start	End			
Component	(Include Contract #)	Provided	Date	Date			
ASU	Sally Stabb C2400500	APA Accreditation Self-Study Counseling Psychology PsyD	7/12/2024	12/31/2024			

		TTU		
Regents Rule	s, 07.12.4.e.(1)			
	Vendor	Consulting Service to be	Start	End
Component	(Include Contract #)	Provided	Date	Date
тти	(C22548) Trinity Portfolio Advisors, LLC	Investment advisory services for TTU School of Veterinary Medicine	08/26/2024	08/25/2025
ττυ	(PO #P1082353/P1040662) Fuentek, LLC	PEEK Assessment with IP section add-on and related services	05/09/2023	08/31/2026
TTU	(C22389) Barnes and Thornburg, LLP	Title IX and College Athletics Related Matters	Execution	08/15/2027

	TTUHSC						
Regents Rules	s, 07.12.4.e.(1)						
	Vendor	Consulting Service to be	Start	End			
Component	(Include Contract #)	Provided	Date	Date			
TTUHSC	CCS Fundraising (CON3612656)	Capital Campaign Study	6/24/2024	9/13/2024			
TTUHSC	D Stafford and Associates (CON3608546)	Clery Assessment	4/1/2024	10/1/2024			

TTUHSC EI Paso				
Regents Rules, 07.12.4.e.(1)				
	Vendor	Consulting Service to be	Start	End
Component	(Include Contract #)	Provided	Date	Date
	Mahoney Education			
TTUHSC EP	Consultants LLC	LCME Re-Accreditation	08/01/24	04/30/26
	(CON3610135)			

(5) TTU and TTUHSC: Contracts for Sponsored Programs Projects per Section 07.12.4.b., *Regents' Rules* – "The board delegates to the presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of \$1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of \$1,000,000 per annum shall be provided to the board as an information item at the next regular board meeting."

TTU:

- (a) Category II: REPACSS: Empowering Scientific Discovery through Renewable Energy Powered Advanced Computing Systems and Services; Chen, Yong, Professor, Computer Science, and Principal Investigator; National Science Foundation; \$5,000,000.00 awarded.
- (b) Research and Workforce Development in the area of Cybersecurity; Bayne, Stephen Benjamin; Department Chairperson; Electrical and Computer Engr; DOD Army Research Lab; \$2,500,000.00 awarded.
- (c) LRN COVID 19 Testing; Presley, Steven Mack; Professor; TIEHH; DSHS Department of State Health Services; \$1,044,247.00 awarded.
- (d) Increase Research Capacity to Promote Innovation and Competitiveness for the Advancement of One Health; Nguyen, Annelise Anh; Professor; Dean Ofc, Veterinary Medicine; DOC - National Institute of Standards and Technology; \$1,195,000.00 awarded.
- (e) District Support to Implement Strategic Staffing/Scheduling Strategies; Beal, Sarah Elaine; Executive Director; US PREP National Center; Bill and Melinda Gates Foundation; \$7,681,460.00 awarded.

TTUHSC:

- (a) Cancer Prevention and Research Institute of Texas grant funding entitled "TTUHSC Texas Regional Excellence in Cancer Award"; grant year 08/31/2024-08/30/2025; grant amount \$1,200,000
- (b) Commission on State Emergency Communications grant funding entitled "CSEC Poison Funding FY24/25"; grant year 9/1/2024-8/31/2025; grant amount \$1,024,170
- (c) Texas Higher Education Coordinating Board Graduate Medical Education Expansion Grants 2024-2026- Family Medicine Permian Basin; contract year 07/01/2024 through 06/30/2025; contract amount \$2,475.00.

(6) TTUHSC: Exigent circumstances approval by Section

07.12.3.i, *Regents' Rules* – <u>"Unless prohibited by law, when an</u> emergency or exigent circumstances exist and it is not feasible or practical to convene a quorum of the board within the time in which action is needed, approval may be given for a contract proposal submitted by the chancellor, or the chancellor's designee, by verbal approval of the chair or of the chair of the Finance and Investments Committee. Contracts approved in this manner shall be presented to the board as an information item at the next board meeting."

(a) On September 21, 2024, Chairman Griffin granted an exigent circumstances approval, pursuant to Sections 07.12.3.i, Regents' Rules, authorizing President Rice-Spearman, or her designee, to finalize negotiations and execute a contract between Texas Tech University Health Sciences Center ("TTUHSC") on behalf of its Office of Strategic Initiatives and Lubbock County Hospital District d/b/a University Medical Center ("UMC") to provide health care to the individuals in custody in the Lubbock County Jail and Lubbock County Juvenile Detention Center. The term of the contract will be for 5 years starting in December 2024 through December 2029. Under the terms of the contract, TTUHSC will initially be paid a daily per diem of \$10.40. Summary of the annual amounts to be received by TTUHSC during the term:

Year	Annual Amount Provided to TTUHSC
Yr. 1	\$5,390,320.00
Yr. 2	\$5,605,932.80
Yr. 3	\$5,830,170.11
Yr. 4	\$6,063,376.92
Yr. 5	\$6,305,911.99

- (7) TTUS: Named Funds per Section 13.02.3.a, Regents' Rules <u>"The board delegates approvals to name endowments or other gift funds</u> <u>established through a private gift of less than \$5 million to the president of the</u> <u>benefitting institution, in consultation and cooperation with the chancellor and the</u> <u>VCIA. Notice shall be provided to the board of re-gents as part of the information</u> <u>agenda at the next board meeting."</u>
 - (a) Prior to the call to order of the November board meeting, the vice chancellor for institutional advancement notified the board of regents of all named endowments and other gifts equaling \$100,000 or more given or pledged to the component universities via agreements executed between July 22, 2024 through October 26, 2024.
- (8) ASU and MSU: Namings of a facility resulting from gifts less than \$500,000.00 per Section 13.02.2.b(4), Regents' Rules – <u>"Gifts of less than \$500,000.00 that meet the requirements outlined in this</u> <u>chapter [13] for naming of a facility, as defined herein, may be approved by the</u> <u>component institution president, in cooperation and coordination with the VCIA.</u> <u>The item will be placed as an information item at the next board meeting after the</u> <u>public announcement."</u>

ASU:

(a) Naming of Junell Center/Stephens Arena Athletic Tunnel the "Elta Joyce Murphrey Athletic Tunnel," in honor and recognition of the generous donation from Elta Joyce McAfee to the Angelo State University Foundation, Inc., for the benefit of the Angelo State Athletics Endowment.

MSU:

(a) Naming of Eagle-Ayres Computer Lab within the Dillard College of Business Administration, in honor and recognition of the generous donation from Warren and Pam Ayres.

- (9) TTU: Namings of a facility per Section 13.02.2., Regents' Rules – "Namings of a facility within the TTU system resulting from a private gift and that recognizes or otherwise honors [a] ... corporation or other entity must be approved by the board, except as otherwise provided by Section 13.02.2.b(4), Regents' Rules herein."
 - (a) Renaming of the Unconventional Technology Center within the Bob L. Herd Department of Petroleum Engineering.

The Unconventional Technology Center (the "Center") within the Bob L. Herd Department of Petroleum Engineering was named in honor and recognition of Anadarko Foundation's generous gift of \$1 million in 2011. In August of 2019, Occidental Petroleum ("Oxy") completed its acquisition of Anadarko, including its foundation, as part of a \$55 billion transaction. With Anadarko and its foundation ceasing to exist, Oxy has requested that Texas Tech University rename the "Anadarko Unconventional Technology Center" the "Occidental Petroleum Unconventional Technology Center," to recognize the surviving entity. The University has agreed with this request and will also take the opportunity to update signage for the Center to meet internal and external signage standards, as set by Texas Tech University Operating Policy 61.20.

(10) TTU and TTUHSC: Report on the establishment of new centers and institutes per Section 04.11.2., Regents' Rules – <u>"The</u> establishment or substantive restructuring of a center, institute, or other academic unit or program not addressed in Section 04.11.1 herein shall be approved by the president, with notice provided to the board via an item in the Information Agenda for the next meeting of the board."

TTU and TTUHSC joint item:

(a) The Presidents of TTU and TTUHSC have approved the establishment of the Institute for One Health Innovation (IOHI) with Dr. Naima Moustaid-Moussa jointly appointed by TTU and TTUHSC, as its Inaugural Executive Director. Both the vision and mission of IOHI is to be the preeminent entity in West Texas for educating, recruiting and training scientists and health professionals as future leaders of transdisciplinary, transformational research and healthcare initiatives by developing innovative solutions for today's most pressing One Health problems. IOHI efforts focus on the regional and global health and wellbeing of humans, animals, and ecosystems including not only cross-species transmissible diseases, but also animal-human interactions in the context of non-communicable diseases, food systems production. pathogens and human diseases, environmental influences on soil, plant, animal and human health. These environmental factors include climate/environmental conditions, extreme heat/drought/dust, and exposomes including pollutants, toxins, and microplastics. The culture of the institute operates at the speed of relevance, expediting both the education and the translation of research to practice and discovery to public impact.

- (11) TTU: Correction to Feb 29, 2024, BOR Item to approve changes in academic rank per Section 04.02, *Regents' Rules* – <u>"Each</u> component institution of the TTU system shall publish and maintain as part of the institution's operating manual Tenure and Promotion Regulations and Guidelines. The institutional operating policies for tenure and promotion, and any changes thereto, must be approved by the board."
 - (a) On February 29, 2024, the Board of Regents approved ACS Committee Item #8, TTU: Approve changes in academic rank in accordance with Section 04.02, Regents' Rules and TTU Operating Policy 32.01. Since this approval, TTU discovered that the item had a typo. The item incorrectly had Sean Lux as being promoted from assistant professor of practice to associate professor of practice. However, the correct change in rank is from assistant professor of practice to professor of practice. Outside of the typographical error, the faculty member has been judged by the department faculty and the appropriate administrative personnel as worthy of the rank of professor of practice. The corrected change in rank was shared with ACS Committee leadership and went into effect on September 1, 2024, as intended.
- (12) ASU, MSU, TTU, TTUHSC and TTUHSC EI Paso: Chief Executive Officer Title IX administrative reports as required by Texas Education Code, Section 51.253(c) – "Subject to Subsection (d), at least once during each fall or spring semester, the chief executive officer of a postsecondary educational institution shall submit to the institution's governing body and post on the institution's Internet website a report concerning the reports received under Section 51.252. The report: (1) may not identify any person; and (2) must include: (A) the number of reports received under Section 51.252; (B) the number of investigations conducted as a result of those reports; (C) the disposition, if any, of any disciplinary processes arising from those reports; (D) the number of those reports for which the institution determined not to initiate a disciplinary process, if any; and (E) any disciplinary actions taken under Section 51.255." Reports for the most recently completed reporting period are available to the board and are posted on each component institution website. ASU: https://www.angelo.edu/current-students/title-ix/annual-reports/ MSU: https://msutexas.edu/titleix/annual-reports.php TTU: https://www.depts.ttu.edu/titleix/annualreports/ TTUHSC: https://www.ttuhsc.edu/title-ix/institutional annual reports.aspx. TTUHSC EP: https://elpaso.ttuhsc.edu/title-ix/title-ix-reporting.aspx
- (13) TTU: Office of Research Commercialization (ORC) report per Section 10.16.1, *Regents' Rules* – <u>"At least once a year, coincidental</u> with a regular board meeting, the ORC Director shall prepare for the chancellor and the board a report listing the titles and a brief description of each intellectual property disclosure received since the last report, all license and assignment agreements entered into by the ORC, and the status of existing license and assignment agreements, including the distribution of revenues earned from such agreements."
 - (a) ORC FY24 Annual Report is included as a supplemental attachment to the agenda book.

ATTACHMENTS

ATTACHMENT

TTUS Investment Policy Statement with proposed amendments (Finance Item 2.)

Texas Tech University System

INVESTMENT POLICY STATEMENT

Comprehensive Cash<u>and Investment</u> Pool

Date adopted: <u>10/10/2024</u><u>12-3-2020</u>

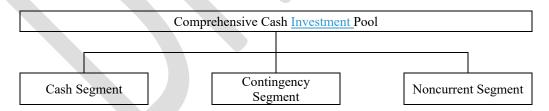
Section 1 Introduction.

This policy statement shall guide the investment of institutional operating funds known as the Comprehensive Cash <u>and Investment Pool</u> ("CCIP") of the Texas Tech University System ("TTU<u>S system</u>"). As a pooled fund for the collective <u>management and</u> investment of <u>all</u> <u>operating funds</u>₁₅ non-operating funds and other funds of the system and component institutions, the CCIP is established to optimize system-wide liquidity and earnings, protect and preserve balances, and control costs of administering the pool and managing the system's assets.

All institutional funds not invested in the Long Term Investment Fund ("LTIF") will be invested in accordance with this policy statement, <u>possibly</u> excluding bond proceeds, and certain gifted assets, and <u>Mission Driven Investments</u>.

Section 2 Investment Structure.

CCIP assets will be structured into three segments based on cash flow requirements to provide sufficient liquidity covering operating outflows as well as preserving the system's excellent credit ratings.



The approved liquidity segments and a general investment strategy for each are as follows:

2.1 **Cash Segment.** The Cash Segment, with delegated authority to the Treasurer, is designed to meet the current operating needs of the TTUS. This segment is expected to offer the highest level of liquidity and protect the nominal value of principal. Funds will be invested primarily in high quality money market funds or other instruments widely considered as cash equivalents, such as collateralized depository demand and/or interest earning accounts, local government investment pools, and fully collateralized repurchase agreements.

- 2.2 **Contingency Segment.** The Contingency Segment, with delegated authority to the Treasurer, is designed to provide a margin of safety to meet any current or unplanned expenditures. A secondary objective is to provide enhanced financial flexibility during unexpected market disruptions, when commingled funds can be susceptible to redemption risk. This segment will seek preservation of capital and incremental investment income typically above money market fund yields and comparable high grade fixed income. These funds will be invested only in fixed income securities that are readily convertible to cash and issued in U.S. dollar denominated assets by the United States government, US agencies and instrumentalities, US municipalities, US government sponsored entities, or the highest quality commercial paper. The portfolio will be managed within a weighted average duration between two and seven years. The intent of this segment is to hold securities to maturity, with rare liquidations as necessary to unplanned events, as listed in the two objectives above.
- 2.3 **Noncurrent Segment.** The Noncurrent Segment, with delegated authority to the Office of Investments, Chief Investment Officer ("CIO"), comprises assets remaining in the CCIP not needed in the Cash and Contingency Segments. This segment has an investment objective of income with growth and will be invested in a diversified asset mix of liquid, semi-liquid and private securities, in accordance with the approved asset allocation. This segment will be structured to generate a higher return over longer periods while retaining a liquidity and risk profile that is aligned with the approved asset allocation.

Section <u>3</u>² Roles and Responsibilities.

- 23.1 **Board of Regents (the "Board").** The Board through the Finance, Administration and Investment Committee ("FAI Committee") will approve the investment policy₇. return objectives, risk tolerance, pool allocation ranges and monitor performance. The Board grants the authority to manage the CCIP in accordance with this policy to the Vice Chancellor and Chief Financial Officer of the TTUS system.
- 23.2 Vice Chancellor and Chief Financial Officer of the TTU-systemS ("VC/CFO" "CFO"). The VC/CFO shall manage the CCIP in accordance with this policy under the oversight of the FAI Committee of the Board. The VC/CFO is responsible for all cash management activities and is authorized to set pool allocation targets within preapproved ranges. The VC/CFO may procure and retain investment consultant services through a competitive-bid process to advise on the management of these funds. The VC/CFOCFO may delegate investment and transaction responsibilities of the Cash Segment and Contingency Segment to the Treasurer. The VC/CFO may delegate investment and transaction responsibilities of the CIO.
- 23.3 **Pool Advisory Committee ("PAC").** The <u>VC/</u>CFO will establish <u>and lead</u> a Pool Advisory Committee, <u>comprised of financial officers from the component</u> institutions. The PAC will meet no less than quarterly to provide guidance and

oversight regarding CCIP investment policy and strategic direction. Members shall include the Chief Financial Officer of each component institution and/or his/her delegate .comprised of financial officers from various system components. The PAC may meet periodically to provide guidance and oversight regarding CCP investment policy and strategic direction. Members will be appointed at the discretion of the CFO.

- 23.4 **Treasurer.** The Treasurer, <u>in conjunction with TTUS Accounting and</u> under <u>VC/CFO</u> the supervision of the supervision, CFO, is charged with implementing and administering the CCIP within the confines of this policy in accordance with the rules and guidelines set forth in this Investment Policy Statement. The Treasurer is responsible for day-to-day portfolio management activities, investment vehicle recommendations, and operating procedures. In addition, the Treasurer will beis responsible for:
 - a. Evaluating banks, bank products and investments or investment strategies, in consultation with the PAC, for the Cash and Contingency segments of the CCIP within the confines of this policy. Monitoring, reporting and reconciliation on the performance of investments in the CCP.
 - b. <u>Monitoring, reporting and reconciliation of the segment bank and investment</u> portfolios, including levels of collateral pledged on bank <u>deposits</u>.Recommending new investment vehicles to the CFO and PAC.
 - c. Evaluating the effectiveness of policies, procedures, objectives and strategy, and proposing modifications to the VC/CFO and proposing, when appropriate, modifications for recommendation to the CFO.
 - d. Maintaining internal controls to provide for responsible separation of duties and adequacy of an audit trail.
 - e. Complying with applicable laws regarding the essential safekeeping and trading <u>of underlying Cash and Contingency assets of CCP assets</u>.
- 3.5 CIO. The CIO, in conjunction with TTUS Accounting and under VC/CFO supervision, is charged with implementing and administering the Noncurrent Segment of the CCIP within the confines of this policy. In addition, the CIO is responsible for:
 - a. Evaluating and executing public and private investments for the growth and stable value allocations to the noncurrent segment of the CCIP within this policy.
 - b. Reporting and reconciliation of the noncurrent segment of the CCIP, including performance evaluation of existing investments in conjunction with the PAC.
 - c. Evaluating the effectiveness of policies, procedures, objectives and proposing modifications to the VC/CFO and at times, the PAC.
 - d. Monitoring and managing the portfolio on a day-to-today basis with the authority to hire, terminate, or change managers, strategies, or allocations within the confines of this policy.

- e. Maintaining internal controls to provide for responsible separation of duties and adequacy of an audit trail.
- f. Complying with applicable laws regarding the essential safekeeping and trading of underlying noncurrent assets.
- 23.65 Investment Consultant/Advisor. The investment consultant's/s' primary responsibility is to provide independent information and advice to the VC/CFO, PAC, Treasurer, CIO, and staff. Within its broad scope of services, the consultant4(s) may focus on the following:
 - a. Investment policy development;
 - b. Strategic asset allocation studies;

c. <u>Assist in investment recommendations, manager searches and selection;</u> Assist in manager searches and selection;

- e. Monitor investment performance; and
- f. Provide investment education.
- 23.76 External Investment Managers. The CIO must ensure that e-External investment managers are an appropriate fit within the overall segment (portfolio) which is in accordance with this policymay invest CCP assets in accordance with established guidelines but will apply their own judgment regarding security selection. External investment managers will be given full discretion, within established guidelines as defined by separate account guidelines or if a fund, by the governing documents.and policy limits, to select individual securities, and diversify their portfolios.

Section <u>4</u>3 Investment Objectives.

The investment of funds for the CCP shall provide incremental return to assist in meeting the operating needs of the TTU system.

<u>43.1</u> Investment objectives of the <u>Cash Segment and Contingency Segment CCP</u>.

a.

The Treasurer shall consider asset diversification, suitability, tax implications, and the experience, quality, and capability of financial firm and personnel. The Treasurer shall consider the relevant investment horizon and shall be governed by the following investment objectives, in the following order of priority:

- (1) preservation and safety of principal;
- (2) liquidity; and

(3) return.

- b. In determining whether the objectives in Section 4.1a have been met, the following shall be taken into consideration:
 - (1) The investment of all funds within the Cash and Contingency pool, rather than a consideration as to the prudence of a single investment.

(2) Whether the investment decision was consistent with this written policy. The investment of funds shall consider asset diversification, suitability, and the experience, quality, and capability of financial firm and personnel. The fund shall consider the relevant investment horizon and shall be governed by the following investment objectives, in the following order of priority:

- (1) preservation and safety of principal;
- (2) liquidity; and
- (3) return.
- b. In determining whether the objectives in Section 3.1.a have been met, the following shall be taken into consideration:
- (1) The investment of all funds, rather than a consideration as to the prudence of a single investment.
- (2) Whether the investment decision was consistent with this written policy.

4.2 Investment objectives of the Noncurrent Segment.

- a. The CIO shall consider asset diversification aligned with the approved asset allocation, suitability, tax implications, and the experience, quality, and capability of financial firms and personnel. The CIO shall consider the relevant investment horizon and shall be governed by the following investment objectives, in the following order of priority:
 - (1) compliance with the risk profile as implied by the approved asset allocation;
 - (2) liquidity as implied by the approved asset allocation; and
 - (3) risk and return as implied by the approved asset allocation and the stated <u>benchmarks.</u>
- b. In determining whether the objectives in Section 4.2a have been met, the following shall be taken into consideration:

- (1) The investment of all funds, rather than a consideration as to the prudence of a single investment.
- (2) Whether the investment decision was consistent with this written policy.

Section-7-5 Guidelines for Investment

The following list is indicative of the investment classes which are appropriate for each segment based on return objectives and liquidity requirements. For the avoidance of doubt, this list It should not be construed as an exhaustive list of "allowable" asset types. Security types and/or strategies not specifically enumerated, but which the Treasurer or CIO CFO determine are appropriate, may also be held with approval from the VC/CFO.

- 75.1 **Cash Segment.** The Cash Segment will be invested primarily in money market funds and other cash instruments:
 - a. Collateralized bank deposits with a state or national bank domiciled in the State of Texas, provided:
 - (1) Such deposits must be insured by the Federal Deposit Insurance Corporation or its successor, with the remainder fully collateralized as required in the *Texas Education Code*, except that surety bonds are not authorized as collateral. The pledged collateral shall be placed in a custodian bank or banks named by the TTU system. Deposits will be collateralized in an amount equal to or greater than 102% of the amount of funds on deposit at the bank. In no event will the custodian be affiliated with the depository bank.
 - (2) On any given day, no depository bank will have CCP funds on deposit in an amount that exceeds any one of the following limits:
 - (a) 25% of the total funds available for investment by the TTU system; or
 - (b) based upon the bank's latest regularly published statement of financial condition: 15% of its total deposits; or an amount equal to the sum of its capital, permanent surplus, retained earnings, and reserves.
 - b. Any money market fund or mutual fund, provided:
 - (1) Such funds is AAA rated, or its equivalent, by at least two nationally recognized rating services.

- (2) Such funds must <u>comply with the diversification</u>, <u>quality</u>, <u>liquidity</u>, <u>and</u> <u>maturity requirements</u> offered at a constant \$1.00 net asset value and comply with the diversification, quality, liquidity, and maturity requirements of SEC regulation 2a-7 under the Investment Company Act of 1940.
- c. Local government investment pools, which are specialized money market funds designed to offer a convenient and cost-effective investment vehicle for public entities.
- d. Repurchase agreements.
 - (1) For the purpose of this policy, a repurchase agreement is an investment transaction between an investor and a bank or securities dealer, in which the bank or dealer agrees to sell a particular instrument to the investor and simultaneously agrees to repurchase that investment at a certain date in the future at a market value of not less than the principal amount of the funds disbursed.
 - (2) A fully collateralized repurchase agreement is an authorized investment if the repurchase agreement is secured by U.S. Government Securities and require the securities being purchased to be pledged to the TTU<u>S</u> system and deposited at the time the investment is made with a third party selected and approved by TTU<u>S</u> system. The value of all collateral shall be maintained at 102% of the notional value of the repurchase agreement (valued daily). Repurchase agreements must be placed through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in Texas.
 - (3) There shall be no limitation on the amount invested, provided the vehicle is collateralized by U.S. government securities.
 - (4) Reverse repurchase agreements are not permitted.
- 75.2 **Contingency Segment.** The Contingency Segment will be invested in the following types of securities with maturities of 60 months or less.:
 - a. Obligations issued or guaranteed by the Unites States Federal Government, United States Federal Agencies, or United States government-sponsored corporations and agencies.
 - b. Obligations of states, agencies, counties, cities and other political subdivisions of any state and rated A or better.
 - c. Commercial paper with a maturity of one year or less, issued by domestic corporations (corporations organized and operating under the laws of the United States or any state thereof) provided that the issuing corporation, or its

guarantor, has a short-term debt rating of no less than "A-2" or "P-2" (or its equivalent) by at least two of the national rating services.

- d. The weighted average duration of this segment should be 30 months or less.Bond mutual funds are permitted.
- e. Bond mutual funds invested in securities defined in 6.2a-c are permitted.
- 57.3 Noncurrent Segment. The Noncurrent Segment will be structured to comply with the approved asset allocation which specifies acceptable asset classes along with any explicitly explicitly stated liquidity profile as specified by the PAC. as a total return portfolio. The investment strategy for this segment is that its time horizon, and flexibility, is such as to permit investments in a diversified mix of assets that will collectively offer greater returns than short term fixed income securities. The goal is to diversify investments across multiple asset classes, including equities, which will enhance total return over the long term, while avoiding undue risk concentrations in any single asset class or investment category.

The assets of this segment will be invested through external managers and/or commingled funds. The Treasurer may develop investment management guidelines for each external investment manager.

Section 6 CCIP Segment Allocation and Segment Ranges

The Board of Regents sets segment allocation targets. The TTUS VC/CFO has the authority to reach the segment allocation targets within a set range as detailed in table below. Allocation to the segments will be based on estimated liquidity needs of the aggregate component institutions and forward-looking views.

Segment:	Target Segment Allocation	Segment Range:
Cash	10%	<u>5-15%</u>
Contingency	<u>30%</u>	20-40%
Noncurrent	<u>60%</u>	<u>50-70%</u>

Section 57 Asset Class Allocation, <u>Asset Class</u> Target Ranges and Policy Benchmarks.

Asset class allocation, subject to the ranges specified herein, is the responsibility of the $\underline{VC/CFO}$, in consultation with the PAC. Changes to the asset class target ranges and limitations are the responsibility of the Board as communicated to the FAI committee and may be changed from time to time based on the economic and investment outlook.

<u>75.1</u> **Target Ranges.** The Board <u>will must</u> approve any changes to the target ranges for each asset class.

- <u>75.2</u> Target Allocation. The <u>VC/CFO</u> is responsible for determining the appropriate asset allocation within each segment. Note, analyzing <u>historical</u> monthly net cash flows for <u>and anticipated cash needsthe past three fiscal years</u> will be the <u>primary</u> basis for the Cash Segment target allocation.
- <u>7</u>5.3 **Benchmarks.** A customized total portfolio benchmark will be designed to measure the overall performance of the CCIP. This benchmark will blend the returns of the benchmarks specified below, weighted according to the target allocation for each respective tier.

<u>Table 1 – Cash and Contingency Segments – Benchmarks, Segment Allocation and</u> <u>Segment Ranges</u>

<u>Segment</u>	Benchmark	Segment Allocation	Segment Range
Cash Segment	Blmbg. U.S. Treasury Bills 1-3 Months	<u>10%</u>	5% - 15%
<u>Contingency</u> <u>Segment</u>	0-5 Yr BofA Merrill Lynch US Treasury and Agency Index	<u>30%</u>	20% - 40%

<u>Table 2 – Noncurrent Segment - Asset Class Allocation, Asset Class Target Ranges &</u> <u>Policy Benchmarks</u>

Asset Class	Benchmark	Target Allocation	Target Range
Growth	MSCI ACWI ND + 100 bps	<u>70%</u>	<u>50% - 80%</u>
Global Public Equity		<u>50%</u>	<u>40% - 60%</u>
Private Equity: Secondaries		<u>20%</u>	<u>10% - 30%</u>
Stable Value	Bloomberg Global Aggregate + 100 bps	<u>30%</u>	<u>20% - 50%</u>

Table 1 Asset Allocation Target, Ranges & Policy Benchmarks

Asset Class	Benchmark	Target Allocation	Target Range
Cash Segment	Barclays Capital 1-3 Month U.S. Treasury Bill Index	10%	5% - 20%
Contingency Segment	ICE BofA Merrill Lynch 0-3 Year US Treasury & Agency Index	30%	20% - <u>-40%</u>
Noncurrent Segment	Blended based on Table 2	60%	4 5% — 75%

Table 2 Noncurrent Segment - Asset Allocation Target, Ranges & Policy Benchmarks

Asset Class	Benchmark	Target Allocation	Target Range
Cash	N/A	0%	0% - 15%
Equity	MSCI AC World (gross, USD)	25%	20% - 30%
Debt	BC Global Aggregate (unhedged)	25%	20% - 30%
Diversifying Assets	HFRX Global	30%	25% - 35%
Private Assets	70% MSCI ACWI IMI; 15% Barclays US High Yield; 15% Barclays Global High Yield Trailing 5 year rolled quarterly 250 bps premium	20%	15% 25%
Portfolio Hedge	N/A	0%	0% - 15%

<u>7</u>5.4 Investment Return objectives.

- a. The incremental return goal for each category of investments is expected to match or exceed the performance of the appropriate benchmark index over a rolling five-year period.
- b. Each investment vehicle in the Noncurrent segment will be evaluated versus a benchmark and/or a peer universe. Investment vehicles should rank above the median over a rolling five-year period.

Section 68 Rebalancing.

- 68.1 Rebalancing.
 - a. <u>The VC/CFO will monitor CCIP and ask for a rebalance plan from the Treasurer and CIO on a reasonable basis to keep the segments (portfolios)</u> within permissible ranges. This plan will then be approved or disapproved by the VC/CFO, in consultation with the PAC. It is the intent of the Board that the asset allocation for the CCP remains within the permissible ranges and that the portfolio shall be rebalanced when the allocation deviates significantly from these ranges. Contributions to the CCP should be applied to, and payments by the CCP withdrawn from, asset classes in such a way so as to bring the asset allocation back toward its target ranges.
 - b. The minimum and maximum allocations should not be <u>deviated from exceeded</u>, except in unusual circumstances. <u>Rebalancing may occur before these limits</u>.
 - c. Noncurrent Segment funds accounting for less than or equal to 5% of the Pool's asset value may be withdrawn upon the request of the CFO with 3 business

days' notice. Withdrawals in excess of the 5% threshold will require up to 30 days' notice and 90 days for distribution.

Section <u>89</u> Reporting.

The Treasurer and CIO will prepare quarterly investment reports on the CCP, for their segment(s) of the CCIP, which will be submitted to the VC/CFO, published on the Office of System VC/CFO's website and provided to the FAI Committee and PAC. The reports will summarize asset allocation, liquidity, performance, and risk characteristics.

Section 910 Cash Segment - Cash Management and Relationships with Depositories.

- 910.1 The centralized Cash Segment will be maintained with the objective that all available cash and cash equivalents are invested and reported in accordance with applicable rules and regulations.
- 910.2 The VC/CFO is responsible accountable for the overall coordination and direction of banking relationships, to include investments, deposits, custody and other services with banking and similar financial institutions for the TTUS-system.
- 910.3 The system is authorized to maintain primary time and demand depository accounts with only those depositories recommended by the Chancellor <u>or VC/CFO/Chancellor</u> <u>designee</u> and approved by the Board resulting in an executed a master depository agreement. Master depository agreements will be executed in accordance with Regents' Rules Chapter 7 Fiscal Management Section 07.12 Contracting policies and procedures. Primary depositories will be selected based on competitive bids, and the bids will be reviewed by the <u>VC/CFO</u>. The Treasurer is authorized to select secondary depository accounts and <u>imprest</u> funds with approval from the VC/CFO as requested with an explicit business need. member institution CFO as requested with an explicit business need.
- .4 The Cash Segment will provide competitive and enhanced returns for each member — institution. Any and all depository fees assessed monthly through depository account — analysis statements will be charged directly to the respective member institutions.

Section 119 Selection of Broker/Dealers.

The Treasurer and staff shall engage with vetted Broker/Dealers for the Contingency Segment investments. The selection shall be recommended by the Treasurer and approved by the <u>VC/CFO</u>. The Treasurer will complete a due diligence review of any proposed Broker/Dealers prior to recommendation to the VC/CFO.

Section 112 Selection of External Investment Managers.

- 11.1 **Manager Selection.** The manager selection process should incorporate review and analysis of the following factors:
 - a. Ability of the firm to achieve return and risk objectives of the investment pool.
 - b. Length and quality of experience of key investment professionals.
 - c. Consistency of investment strategy and results.
 - d. Historical growth of, and future plans for, assets under management.
 - e. Confidence that past performance can be sustained in the future.
 - f. Existence of a clear, concise and effective decision-making process.
 - g. Risk management tools and systems.
 - h. Sufficient organizational depth and continuity of personnel.
 - i. Adequate reporting, administration and back-office support.

Section 1213 Responsibilities of Investment Managers.

- 1213.1 Invest the assets of the TTUS system with the care, skill, prudence, and diligence that a prudent professional investment manager, familiar with such matters and acting in like capacity, would use in the investment of such assets, consistent with the guidelines outlined herein.
- 1213.2 Adhere to the investment policies and guidelines prescribed by the TTUS system and act in the best interest of the TTUS system.
- 1213.3 Each investment manager shall have full investment discretion with regard to security selection, consistent with this policy and the manager's established guidelines.
- 1213.4 Make no material departures from the strategy for which the manager was hired.
- 1213.5 Inform the consultant and Treasurer <u>CIO</u> about all significant matters pertaining to the investment of TTU<u>S</u> system assets. These matters include the following:
 - a. Substantive changes in investment strategy or portfolio structure.
 - b. Significant changes in ownership, affiliations, organizational structure, financial condition and professional staffing of the investment management organization.
 - c. Any regulatory actions being pursued or taken against the firm or any of its employees.

- 1213.6 All investment managers must report their performance on a monthly or quarterly basis, and the reporting methodology must be in compliance with the standards outlined by the CFA Institute.
- 1213.7 Seek best price/execution when purchasing or selling securities at all times. Each investment manager must recognize that brokerage is an asset of the TTUS system, not the investment manager. Also, investment managers must disclose any affiliated brokerage relationships.
- 1213.8 Comply with CFA Institute Guidelines on Soft Dollar Standards.
- 1213.9 Vote all proxies after careful assessment of the issues involved, with particular emphasis on items that might reduce the economic value of stockholders' rights of ownership and thereby adversely impact the performance of the TTU<u>S's system's</u> assets.
- 12<u>13</u>.10 Meet with the <u>Treasurer CIO</u> and other investment staff on a regular basis, either in person or by teleconference.
- 12.11 Provide the number of new clients and clients that have terminated on a quarterly basis.
- 12.12 Securities or positions in a single company or issuer must not exceed 10% of the investment manager's portfolio measured at market value. However, money market funds and obligations issued by the U.S. federal government are exempt from this restriction.
- 12.13 Securities or positions in any one industry should not exceed 25% of the investment manager's portfolio at market value.
- 1213.1114 The market value of any single investment manager account may not exceed 10% of the applicable pool.
- 12<u>13</u>.15 Currency hedging decisions are at the discretion of the manager.

Section 143 Spending Policy.

- 143.1 The Board recognizes the need for distributions to institutional funds comprising the CCIP. Distributions are to be made on a monthly basis.
- 143.2 For the Cash Segment and Contingency Segment, funds to be distributed will be current income earned on an accrual basis. For the Noncurrent Segment, the rate to be used for the monthly distribution will be determined by the VC/CFO in consultation with the PAC.
- 143.3 For the Noncurrent Segment, a spending withdrawal or distribution outside of the monthly distribution may be called by the VC/CFO to 1) rebalance the CCIP

segments or 2) for unforeseen operational budgetary needs. Given the stated function of the Noncurrent Segment as a tertiary backup pool to the two liquid Cash Segment and Contingency segment, and as evidenced by the approved asset allocation policy, called spending, withdrawal or distribution may take up to 180 days from the initial notice to the CIO.

For the Noncurrent Segment, a spending withdrawal or distribution will be applied as it is managed on a total return basis. Distributions will be physically withdrawn out of the dedicated investment custodial account. With expected greater returns, the Noncurrent Segment earnings are relied on more heavily than the other CCP segments as a financial resource applicable to unrestricted operating budgets. In turn, it is crucial to generate a stable distribution of earnings consistent to projections set in the budgeting process. The annual spending percentage applied to average market value shall not exceed 6% nor be less than 2%. The initial annual spending percentage is set at 3% on an average of a fiscal year rolling 12-quarter ending market values and will be applied monthly, during the current quarter based on an annualized spending rate (set percentage divided by 12). Note: The same calculated 12-quarter market value will be used for a current fiscal quarter's spending calculation. The Treasurer will conduct an annual review of the investment policy to determine that it is consistent with the distribution target set in the budgeting process, recognizing the potential for short-term fluctuations in investment returns. The annual spending percentage set each fiscal year ahead of the budgeting process by the CFO, in consultation with the PAC, based on inflation expectations and expected return.

Section 154 Management Fee.

- 1<u>5</u>4.1 The CC<u>I</u>P will be assessed an investment management fee for expenses associated with the management of the pool.in accordance with the details below.
- 154.2 The fee will be assessed on a quarterly basis (fiscal year quarters), and average market value will be based on the average of a fiscal year 12-quarter ending market values of the total net asset value of the CCPeach segment.
- 14.3 The management fee rate shall be reviewed annually in conjunction with the preparation of annual operating budgets in consultation with the PAC. Any recommendation will be communicated during the annual budget process as outlined in Chapter 07.04 of the TTUS Regents' Rules.

Section 15 Securities Lending.

The CCP may not participate in securities lending unless approved by the CFO and PAC. Any authorization for securities lending in separate accounts must be reported in advance to the FAI Committee. Also, any such authorization shall be reported as an Information Agenda item at the next Board meeting. Commingled funds are exempt from this restriction.

CCP assets will be structured into three segments based on cash flow requirements to provide sufficient liquidity covering operating outflows as well as preserving the system's excellent credit ratings.

Dates Approved or Amended:

-- Initial adoption of policy statement...... TBD

ATTACHMENT



TTUS Chapter 09 – Investments and Endowments with proposed amendments (Finance Item 2.)

Chapter 09 -- Investments and Endowments

Date last revised: 11-17-2022

[see last page for list of amendments adopted]

09.00 Investment Programs.

09.00.1 The System components have two types of funds; operating funds and endowment funds. To facilitate the investment of system funds, the board established three investment programs, the Comprehensive Cash <u>and Investment</u> Pool "CCIP," the Long Term Investment Fund "LTIF" and the Mission Driven Investment Fund "MDIF." The purpose of the CCIP is to provide for the collective investment of all operating funds available for the day-to-day expenses of the system including payroll, scholarships, research, maintenance, and utilities. The purpose of the LTIF is to provide for the collective investment of all donor restricted and/or board restricted endowment and trust funds to be held long-term by the system. The purpose of the MDIF is to invest in assets that advance the overall mission of the University System.

09.01 Chapter definitions.

- 09.01.1 "LTIF" means the Long Term Investment Fund and is the investment vehicle for endowment funds, including quasi-endowments and trust funds.
- 09.01.2 "CCIP" means Comprehensive Cash <u>and Investment</u> Pool consisting of operating funds of the system and is the investment vehicle for the operating funds.
- 09.01.3 "MDIF" means the Mission Driven Investment Fund and is the investment vehicle for all approved mission directed driven investments.
- 09.01.4 "CFO" means the Vice Chancellor and Chief Financial Officer of the TTU system.

- 09.01.5 "CIO" means the Chief Investment Officer of the TTU system.
- 09.01.6 "Treasurer" means the director of the Office of Treasury of the TTU system.

09.02 **Roles and responsibilities.**

- 09.02.1 Vice Chancellor and Chief Financial Officer. The CFO is responsible for all financial affairs including the cash and investment management of the TTU System's operating and endowment funds as directed by the board rules and investment policy statements. The CFO may delegate investment and transaction responsibilities to the CIO and Treasurer.
- 09.02.2 Chief Investment Officer. The CIO is responsible for the day-today investment activities related to the LTIF, with reporting responsibilities and delegated authority as defined in the Investment Policy Statement for the Long Term Investment Fund.
- 09.02.3 Investment consultant/s. The investment consultant/s may assist the CIO and the Treasurer in developing and modifying policy objectives and guidelines, including the development of asset allocation strategies, recommendations on long-term asset allocation and the appropriate mix of investment manager styles and strategies. The consultant also may aid in investment manager searches and selection, and in investment performance calculation, evaluation, and analysis. The consultant shall provide timely information, written and/or oral, on investment strategies, instruments, investment managers and other related issues, as requested by the board, the CIO, or any investment officer. More than one consultant can be obtained. Any engagement with an investment consultant(s) will be at the direction of the CIO/Treasurer.
- 09.02.4 Treasurer. The Treasurer is responsible for the day-to-day investment activities related to the operating funds as outlined in the CCIP, with reporting responsibilities and delegated authority as defined in the Investment Policy Statement for the Comprehensive Cash <u>and Investment</u> Pool.
- 09.02.5 Investment managers. The duties and responsibilities of each of the investment managers retained by the board include:

- a. Managing the assets under its management in accordance with the policy guidelines and objectives expressed herein.
- b. Meeting or exceeding the manager specific benchmarks established by the CIO or Treasurer.
- c. Exercising investment discretion within the guidelines and objectives stated herein; and such discretion includes decisions to buy, hold or sell securities in amounts and proportions reflective of the investment manager's current investment strategy and as compatible with the investment objectives.
- d. Complying with all provisions pertaining to the investment manager's duties and responsibilities as a fiduciary, with fund assets being invested with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent professional investment manager, acting in a like capacity and familiar with such matters, would use in the investment of TTU system assets.
- e. Using best efforts to ensure that portfolio transactions are placed on a "best execution" basis.
- f. Exercising ownership rights, where available, through proxy solicitations, doing so strictly for the economic benefit of the LTIF or CCIP, as applicable.
- g. Meeting with the CIO or Treasurer at least annually or more frequently upon request; additionally, each investment manager shall report to the investment consultant, CIO, and/or Treasurer as outlined in the specific CCIP and LTIF investment policy statements.
- h. Acknowledging in writing to the CIO/Treasurer the investment manager's intention to comply with Section 09.02.4, *Regents' Rules*, as it currently exists or as modified in the future.
- 09.02.6 Bank custodian(s). The board shall approve one or more unaffiliated custodian bank(s) through a competitive bid process that will be responsible for performing the following functions:

- a. accept daily instructions from designated investment staff;
- b. advise designated investment staff daily of changes in cash equivalent balances;
- c. immediately advise designated investment staff of additions or withdrawals from the custodial account;
- d. notify investment managers of proxies, tenders, rights, fractional shares or other dispositions of holdings;
- e. resolve any problems that designated investment staff may have relating to the custodial account;
- f. maintain safekeeping of securities;
- g. collect interest and dividends;
- h. perform daily cash sweep of idle principal and income cash balances;
- i. process all investment manager transactions;
- j. collect proceeds from maturing securities;
- k. disburse all income or principal cash balances as directed;
- 1. provide monthly statements by investment account and a consolidated statement of all assets;
- m. work with the investment consultant and the TTU system accountant to ensure accuracy in reporting;
- n. manage the securities lending program; and
- o. provide written statements revealing monthly reconciliation of custody and investment managers' accounting statements.
- 09.03 **Comprehensive Cash** <u>and Investment</u> **Pool.** The CCIP consists of funds available for operating, non-operating and reserves not endowed by donors

and/or the board. The policies for the investment of funds in the CC<u>I</u>P shall be those outlined in the Investment Policy Statement for the Comprehensive Cash <u>and Investment</u> Pool.

- 09.04 **Long Term Investment Fund (Endowment).** The policies for the investment of funds for the LTIF shall be those outlined in the Investment Policy Statement for the Long Term Investment Fund.
- 09.05 **Mission Driven Investment Fund.** The policies for the investment of funds in the MDIF shall be those outlined in the Investment Policy Statement for the MDIF.
- 09.06 **Investment Fund Exceptions.** In rare circumstances, the board may acknowledge the need to accommodate placement of funds held within the CC<u>I</u>P and/or LTIF with a modification to asset allocation or placement of funds held in trust outside of the CC<u>I</u>P and LTIF. The board shall approve all such investment exceptions.

09.07 **Proxy voting.**

- 09.07.1 Responsibility for the exercise of ownership rights through proxy solicitations shall rest solely with the investment managers, who shall exercise this responsibility strictly for the economic benefits of the CCIP and/or LTIF. Investment managers shall annually report to the CIO standing policies with respect to proxy voting, including any changes that have occurred in those policies.
- 09.07.2 Additionally, investment managers shall provide a written annual report of the proxy votes for all shares of stock in companies held in the CCIP and/or LTIF investment program. These reports shall specifically note and explain any instances where proxies were not voted in accordance with standing policy.
- 09.08 **Directed commissions.** Investment managers shall use their best efforts to ensure that portfolio transactions are placed on a "best execution" basis. Additionally, arrangements to direct commissions should only be implemented by specific authorization of the CIO and/or Treasurer.
- 09.09 **Commingled funds.** The board, in recognition of the benefits of commingled funds as investment vehicles (i.e., the ability to diversify more extensively

than in a small, direct investment account and the lower costs that can be associated with these funds) may elect to invest in such commingled funds from time to time. The board recognizes that it cannot give specific policy directives to a commingled fund with pre-established policies; therefore, the board is relying on the CIO and/or Treasurer to assess and monitor the investment policies of any commingled funds used by the TTU system.

- 09.10 **Mineral leases.** It is the intent of the board to lease oil, gas, sulfur, ore, water, and other mineral interests of the TTU system for development whenever there is a demand that will reasonably ensure that they may be leased advantageously and it is in the best interest of the TTU system. All leases will be executed in accordance with the applicable laws and with rules and regulations adopted by the board that are not inconsistent with the provisions of law. The reason for leasing minerals is to obtain additional income to be used by the board for the administration of the TTU system, for payment of principal of and interest on revenue bonds and notes issued by the board, and for any other purpose that in the judgment of the board may be for the good of the TTU system.
 - 09.10.1 Lands under exclusive control of the board and owned by the state of Texas.
 - Leases will be negotiated with prospective lessees to obtain their best offer above the minimum outlined in Section 09.10.1.c, *Regents' Rules*.
 - b. Leases normally will be for oil and gas production only. Separate leases will be required for other mineral production, whether strip-mined or not.
 - c. The board may not sell a lease for less than the royalty and rental terms demanded at that time by the General Land Office of the State of Texas in connection with the sale of oil, gas, and other mineral leases of the public lands of this state. In addition, no bid or proposal shall be accepted that offers a royalty of less than one-quarter of production, a primary term greater than five years or a delay rental of less than \$5 per acre per year.
 - d. No state lands shall be sold unless the mineral rights are retained by the state, unless impractical.

- e. Use of TTU system standardized oil and gas lease or oil and gas and mineral lease forms will be required for all leases.
- f. Use of TTU system standardized division order forms will be required for all division orders.
- 09.10.2 Mineral and royalty interests derived from trusts and gifts.
 - a. Leases will be negotiated with prospective lessees to obtain their best offer above the minimums outlined in Section 09.10.2.c, *Regents' Rules*.
 - b. Leases will normally be for oil and gas production only. Separate leases will be required for other mineral production, whether strip-mined or not.
 - c. No proposal shall be accepted that offers a royalty of less than one-quarter of production, a primary term of more than five years or a delay rental of less than \$5 per year per mineral acre, beginning with the second year of the lease.
 - d. Use of TTU system standardized oil and gas lease or oil and gas and mineral lease forms will be required for all leases.
 - e. Use of TTU system standardized division order forms will be required for all division orders.
- 09.10.3 Approval. All leases and other documents relating to leasing shall be approved by the board, and then be signed by the chancellor.

Dates Approved or Amended:	
Comprehensive review of chapter 08-08-2014	• amendments throughout
Miscellaneous cleanup revisions 10-09-2015	• to §09.07.3
Amendments re: SITIF management 08-10-2018	• to §09.02 – to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF
Various amendments 12-13-2019	 to update SITIF references to the new STIF and ITIF; and to add language to handle exceptions to in- vestments requested by a donor
Various amendments 12-10-2020	 to §09.00, §09.01.2, §09.02, and §09.03replace STIF and ITIF references with the new CCP; to §09.02delete the roles and responsibility of the IAC; and to §09.02.1 and §09.02.2define/clarify the roles of the CFO and CIO
Various amendments 11-17-2022	 to §09.00.1 to add the Mission Driven Investment Fund (MDIF) as the third investment program; to §09.01.3 to add a definition for MDIF; to §09.05 to include clarification on the policies for the new MDIF; and various updates to section numberings throughout.

See also the document entitled "Comprehensive Reviews and Updates" for a more complete explanation of the amendments listed above.

ATTACHMENT



ASU OP 06.23, Tenure and Promotion Standards and Procedures with proposed revisions (Consent Item b.)

Status Active PolicyStat ID 16426858

Origination	05/2017	Owner	Tony Bartl
Last Approved	08/2024	Area	06 Academic Affairs - Faculty
Effective	08/2024	Tags	10.4
Last Revised	08/2024		SACSCOC, 10.6.a
Next Review	08/2027		SACSCOC,
			6.1 SACSCOC
			+ 4 more

OP 06.23 Tenure and Promotion Standards and Procedures

PURPOSE:

The purpose of this Operating Policy/Procedure (OP) is to establish the system, rules, and procedures by which tenure-track and tenured faculty members achieve tenure and rank promotions.

REVIEW:

The OP shall be reviewed every three years or as needed by the Provost and Vice President for Academic Affairs (PVPAA) and the Faculty Select Committee. This policy may be amended only by action of the Board of Regents of the Texas Tech University System.

POLICY/PROCEDURE

This policy describes the process by which members of the faculty employed in a full-time tenure-track position at Angelo State University apply for academic tenure and/or promotion. Consistent with ASU Operating Policies *Ethics and Standards of Conduct Policy, 52.02, Access for Individuals with Disabilities, 16.04,* and *Equal Employment Opportunity, 52.15,* and in line with federal and state law, faculty members are protected during this process from discrimination on the basis of race, religion, gender, sexual orientation, age, national origin, and/or disability. This policy is implemented upon approval of the Board of Regents. All tenure-track and tenured faculty members are subject to this policy's applicable provisions and procedures including those not addressed in any former policy. The tenure of faculty members who have attained tenure under prior policies at ASU shall not be adversely affected by amendments to this policy.

1. Expected Career Progression for Tenure-Track

Faculty Members

- a. Tenure-track faculty members are expected to follow a standard timeline for career progression which includes academic tenure and academic rank promotions.
 - Assistant Professor This is the initial rank to which tenure-track faculty members shall normally be appointed. Faculty members should be aware that Assistant Professors are employed on a probationary basis. The decision to reappoint the faculty member for the following academic year is based on a review of performance as outlined in Annual Performance Evaluation for Tenured and Tenure Eligible Faculty, OP 06.28.
 - Associate Professor No later than the beginning of the sixth year of credited service, faculty members must apply for tenure and promotion to Associate Professor unless an exception has been granted as provided in 1.c below. This faculty rank is awarded concomitantly with a successful tenure application.
 - 3. Professor This is the final tenured faculty rank. To be awarded, a faculty member must complete a minimum of four (4) years of creditable service at the rank of Associate Professor at Angelo State University. Application for this promotion may occur at the beginning of the fifth year of service at Angelo State University. Promotion to Professor is not guaranteed on the basis of time served. The application process for promotion to Professor must follow the same process as the application for tenure, and shall be awarded based on evaluation of merit according to the Departmental performance criteria.
- b. If a faculty member is hired with service credit from another institution, as outlined in 4.b.3 and 4.f.4.a & b, the faculty member shall begin the tenure and promotion timeline at the point after which that credit has been applied.
- c. Exceptions to the timeline above must be approved by the faculty member's Department Chair, College Dean, the PVPAA, and the President of the University.

2. Definition of the College Tenure and Promotion Committee (CTPC)

- a. The purpose of the CTPC is to evaluate candidates' applications and make an independent recommendation to the Dean of the College and the PVPAA.
 - Each CTPC shall include at least four (4) eligible tenured faculty members from that College.
 - 2. Department Chairs, Deans, and Associate Deans are ineligible to serve on the CTPC.
 - All departments within the College must be equally represented to the extent possible.
 - 4. If a department cannot provide the proper number of representatives to the CTPC, the Department Chair of the affected department may appoint a tenured faculty member from a department within that Department's College to serve a one-year term in order to create equal representation.

- If a College contains fewer than four (4) eligible faculty members, the Dean of the College shall invite eligible faculty members from a different College to serve a oneyear term.
- Members for the CTPC shall be elected from eligible candidates as defined in this section by all tenured and tenure-track faculty in each department. Each elected member shall serve for a two-year term. Department Chairs are eligible to vote, but Deans and Associate Deans are not.
- 7. The Dean of the College is responsible for organizing the election of the CTPC.
- No faculty member shall serve consecutive two-year terms on the CTPC, unless a consecutive term is necessary in order to meet the requirements of the CTPC as described in this section.
- The Dean of the College shall call the first CTPC meeting and give the committee charge, which shall include the election of a chair. The chair shall serve for one year only and an individual faculty member may not serve consecutive terms.
- 10. If a member of the CTPC is applying for promotion, it is the candidate's responsibility to notify the appropriate Department Chair and College Dean before the date by which promotion portfolios are submitted for consideration. The College Dean shall hold a special election to replace the candidate for a one-year term. If the candidate fails to give proper notice, the candidate shall be disqualified from consideration for promotion until the following year.

3. Process to Establish Department-Level Performance Criteria

- a. Each academic department shall establish, communicate, and periodically review specific and measurable performance criteria for its tenure-track and tenured faculty members. Each department, in collaboration with the Dean of the College, is responsible for ensuring that all performance criteria are specifically stated, measurable, and aligned with the stated mission of the Department, the College, and the University. These criteria shall be used as the standard for all performance evaluations described below.
- b. Each Department shall follow the general process below for the creation and establishment of these performance criteria. The Department may exercise reasonable discretion to modify the following process to account for unforeseen circumstances.
 - All tenured and tenure-track faculty members in the department shall be given the opportunity to participate in the process.
 - The Department Chair shall appoint a tenured faculty member to distribute the current performance criteria, solicit suggested revisions from the department tenured and tenure-track faculty members, organize those suggestions, and provide a summary report to the department's tenured and tenure-track faculty members.
 - 3. The Department Chair shall call a meeting of the tenured and tenure-track Department faculty to discuss and vote upon the list of suggested changes to departmental criteria. Suggestions that receive a majority of votes will be summarized by the Department Chair and submitted to the College Dean.

- The College Dean, in collaboration with the PVPAA, shall review the submitted criteria and either approve them or return them to the Department for further revision.
- This process shall continue until the College Dean and the PVPAA approve the suggested criteria.
- c. All performance criteria must be classifiable into one of three categories as defined below. The lists below are only guidelines that departments may use to guide the creation of their criteria. Acceptable criteria must be more specific and measurable than what is listed below.
 - Teaching This category includes criteria regarding student learning goals. These
 criteria may include (but are not limited to): evaluations of course content and
 delivery, demonstration of current knowledge in the discipline, new course design
 and implementation, construction of in-house textbooks and other instructional
 materials written for the purpose of enhancing ASU student learning, and/or student
 advising.
 - 2. Research and Creative Works This category includes criteria related to the development of new knowledge, product, and/or theory in the faculty member's discipline. By definition, work produced in this category must have demonstrable value to populations other than the University's students. A wide variety of activities may fit into this category, some of which may be (but are not limited to): peerreviewed print or electronic publications, non-print presentations, professional conference presentations, funded grant applications and reports, patents and other intellectual property, curatorships, and/or artistic productions and performances.
 - 3. Professional Service This category includes criteria related to organizational citizenship, defined as service behaviors which either support the internal functioning of the University or enhance the reputation of the University in the community and region. Activities that fit into this category may include (but are not limited to): Part-time administrative duties (such as serving as a Department Chair), University committee service of all types, consultations with external organizations (paid or unpaid), invited addresses, leadership in University symposia and workshops, active membership in professional organizations and societies, and community-based activities such as serving on nonprofit boards.
- d. Department Chairs are responsible for ensuring that performance criteria are published and available to all tenured and tenure-track faculty members in the Department.
- e. Department Chairs and College Deans shall be jointly responsible for reviewing these performance criteria to determine if they still align with the needs of students, needs of the department and the College, and the mission of the University. This review shall occur at least once every three years, but may occur more often if needed. If the criteria are determined to be in need of revision, the Department Chair shall initiate the department's review procedures after notifying the Dean of the College. *If revisions of the criteria are not completed and approved by the College Dean and the PVPAA before the last Friday before the fall semester begins, the current criteria shall remain in place, unamendable, for the duration of that academic year.*
- f. Revisions of department criteria may not unfairly disadvantage tenure-track faculty members by creating a situation where new standards cannot be reasonably achieved before the sixth-

year tenure evaluation.

4. Admission to Tenure: Timeline

- The only faculty appointments that may lead to tenure are: Assistant Professor, Associate Professor, and Professor.
- b. Admission to tenure is a six-year probationary process. Unless a faculty member has been granted permission to apply for tenure at an earlier date in accordance with Section 4(e) below, the University shall not recommend candidates for tenure prior to their sixth year of service (as calculated based on the conditions that follow):
 - The six-year timeline shall begin in September of the first academic year following the faculty member's appointment.
 - 2. This timeline cannot be reduced by previous appointments that were not tenureeligible.
 - 3. A faculty member hired in possession of tenure-eligible service time at another university may request that a maximum of three (3) years of service be applied toward the required six-year probationary timeline. Approval for this request must be obtained from the faculty member's Department Chair, College Dean, and the PVPAA. The PVPAA has the right to reduce the recommended credit to fewer than three (3) years.
- c. In certain circumstances, it may be necessary to consider awarding tenure to a faculty member at the time of hire. To do so, the candidate's application materials must follow the process below. The candidate may be employed before tenure is granted, however, employment does not guarantee tenure.
 - The candidate's tenure application materials shall be evaluated by the tenured faculty in the relevant department. After electing a chair, the tenured faculty must vote to approve or deny a recommendation of tenure.
 - The result of this vote shall be given to the (acting) Department Chair, who shall write either a concurring or dissenting opinion.
 - 3. The department's vote and the (acting) Department Chair's opinion shall be given to the College Dean, who shall write either a concurring or dissenting opinion.
 - The department's vote, the (acting) Department Chair's opinion, and the College Dean's opinion shall be given to the PVPAA, who shall make a recommendation to the President.
 - 5. The President shall make a recommendation to the Board of Regents who have the sole authority to confer tenure.
- d. All time accrued in full-time service at Angelo State University while employed in a tenureeligible position and at the appropriate rank shall count toward the six-year probationary period. Upon the request of the faculty member, the timeline may be suspended by the PVPAA after consulting with the faculty member's Department Chair and College Dean. If the request to suspend the timeline is not approved, the faculty member may file an appeal with their Department Chair or College Dean within 30 days of the PVPAA's decision. The appeal shall be heard by the PVPAA within 30 days of the appeal, and a decision shall be rendered within 14

days of the hearing. Only one such appeal shall be allowed.

- e. A faculty member may apply for permission to be evaluated for tenure and promotion before six years of service credit have been accumulated (hereafter called "early tenure"). Permission to apply for early tenure **does not** explicitly or implicitly mean that early tenure shall be granted. The process below shall be followed to potentially receive this permission:
 - The faculty member shall submit a formal request for permission to apply for early tenure to the Chair of the Department by March 1. This request shall include a letter from the faculty member that summarizes the arguments for granting permission and a complete and current curriculum vitae.
 - The Department Chair shall write a brief opinion of the faculty member's merit for early tenure and submit all materials to the College Dean by March 25.
 - 3. The College Dean shall write a brief opinion of the faculty member's merit for early tenure and submit all materials to the PVPAA by April 15.
 - 4. The PVPAA and the President of the University shall review the submitted materials and decide to either grant or deny permission to apply for early tenure.
 - The faculty member shall receive notification of this decision by May 1. If the request to be evaluated for early tenure is approved, that evaluation shall commence in the next academic year according to the schedule of events described in this policy, Section 4(f)(3).
- The process for admission to tenure candidacy shall conform to the following sequence of activities.
 - 1. Years One and Two All departments are required to conduct evaluations of tenuretrack faculty during Year 1 and at the beginning of Year 2 of their service. These evaluations are governed by the processes outlined in the University's annual faculty evaluation policy (see Annual Performance Evaluation for Tenured and Tenure Eligible Faculty, OP 06.28). The Department Chair must also meet with the faculty member as part of this process to discuss progress toward tenure and provide suggestions for improvement, according to the performance criteria set by each department.
 - 2. Year Three Each department shall conduct a review of its tenure-track faculty during the third year of the faculty member's probationary timeline using the department's performance criteria. This procedure must include a written assessment and recommendation regarding the faculty member's progress toward tenure. If a faculty member is employed in two departments unequally (i.e., 75% in one, 25% in the other), the department containing the majority of this workload shall be responsible for the evaluation. If the faculty member is employed in two departments equally, each department must conduct an independent evaluation according to this procedure. This evaluation shall follow the same timeline shown in Section 9 of this document, as far as it applies.
 - a. The Department Chair(s) shall organize a meeting of all tenured faculty members within the department(s) to evaluate third-year faculty members. The Department Chair(s) shall not participate in the meeting, but shall deliver a charge to the committee at the beginning of the first meeting.
 - b. The participating tenured faculty members must elect an ad hoc chair for

the meeting before evaluations begin.

- c. If there are fewer than three (3) tenured faculty members eligible to participate in this meeting, the Department Chair(s) and the College Dean(s) shall collaborate to invite tenured faculty members from outside the department to participate by contacting departments within the College.
- d. After deliberation, the tenured faculty shall vote by anonymous ballot as to whether the faculty member(s) are making successful progress toward tenure and should be retained based on department criteria of performance. The committee chair shall submit a set of meeting minutes, redacted for anonymity, which summarizes the meeting's activities. Votes of "NO" must be accompanied by substantive comments supporting the vote. If substantive comments are not provided, the vote will not be counted.
- e. The decision of the tenured faculty, including all ballots and any justification for negative votes, shall be submitted to the Department Chair(s) who shall write a concurring or dissenting opinion.
- f. The faculty decision and the Department Chair(s)'s opinion shall be submitted to the College Dean(s), who shall render a decision regarding renewal of the faculty member's employment. However, the PVPAA and the President retain the right to overrule this decision.
- Year Six In the spring semester of the tenure-track faculty member's fifth year of service, notification of tenure candidacy shall be sent from the office of the PVPAA to the faculty member.
- 4. Exceptions to the timeline shall be made for faculty members who were hired with years of service credited to them as outlined in this section.
 - a. If one (1) year of service was credited, the evaluations at Years One and Two and the Third-Year evaluation shall correspond to Years 2, 3 and 4 of credited service.
 - b. If two (2) or three (3) years of service were credited, the faculty member shall receive a Year One and a Third-Year Review; the Year Two evaluation shall be omitted.

It is the sole responsibility of the faculty member to prepare a portfolio in support of his/her candidacy according to the guidelines and timeline described in Sections 7 and 10 of this document, including any adjustments to the timeline approved by the PVPAA and the President of the University. If a faculty member is employed in two departments unequally (i.e., 75% in one, 25% in the other), the department containing the majority of this workload shall be responsible for the evaluation. If the faculty member is employed in two departments equally, *each department* must conduct an independent evaluation according to this process.

The tenure candidate must receive copies of all documents that become part of the candidate's portfolio as it moves through the process. This includes copies of signature forms from faculty committees and letters from Department Chair(s) and Dean(s). However, the original materials will not

be returned to the faculty member.

The tenure candidate may elect to withdraw a portfolio **at any time before it reaches the office of the PVPAA**.

The candidate's documentation shall be evaluated according to the process described in Section 6.

5. Promotion to Professor: Timeline

An application for promotion to Professor may be submitted by a tenured Associate Professor no earlier than at the beginning of the fifth year of service at that rank *and* according to submission deadlines published annually by the office of the PVPAA.

It is the sole responsibility of the faculty member to prepare a portfolio in support of his/her application for promotion. If a faculty member is employed in two departments unequally (i.e., 75% in one, 25% in the other), the department containing the majority of this workload shall be responsible for the evaluation. If the faculty member is employed in two departments equally, each department must conduct an independent evaluation.

The promotion candidate must receive copies of all documents that shall become part of the candidate's portfolio as it moves through the process. This includes copies of signature forms from faculty committees and letters from Department Chair(s) and Dean(s).

The promotion candidate may elect to withdraw a portfolio at any time **before it reaches the office of the PVPAA**.

The applicant's documentation shall be evaluated according to the process outlined in Section 6.

6. Evaluation Process for Tenure and Promotion Decisions

- a. Department Evaluation the appropriate Department Chair(s) shall organize a meeting of the tenured faculty of the department to vote on the candidates' portfolios. If there are no tenured faculty members in the Department, tenured faculty members from other Departments within the College shall be appointed by the College Dean.
 - The Department Chair(s) shall not participate in the meeting or vote regarding the portfolios, but shall deliver a charge to the committee at the beginning of the first meeting. It is permissible to allow tenured faculty members to evaluate the portfolios individually in preparation for this meeting.
 - 2. An ad hoc chair shall be chosen by the tenured faculty from among those members present before evaluating portfolios.
 - 3. A vote by anonymous ballot shall be conducted where each tenured faculty member shall vote to approve or deny tenure.
 - 4. The ad hoc chair shall tabulate the results, witnessed by the members of the committee, and complete the required form indicating the decision made (approve, deny, or tie). The committee chair shall submit a set of meeting minutes, redacted

for anonymity, which summarizes the meeting's activities. Votes of "NO" must be accompanied by written substantive comments supporting the vote. If substantive comments are not provided, the vote will not be counted.

- The required forms, ballots, and justifications shall be submitted to the appropriate Department Chair(s) to complete this step. The Department Chair(s) shall also provide copies of forms and justifications to the candidate.
- b. Department Chair Evaluation the Department Chair(s) shall review the decision of the tenured faculty for each portfolio and then write either a concurring or dissenting opinion regarding each candidate. This opinion shall be inserted into the appropriate tabs in each portfolio, a copy of which shall be distributed to the candidate. The portfolio shall then be submitted to the appropriate CTPC(s) for review.
- c. CTPC Evaluation the CTPC(s) shall review the decision of the tenured faculty and the Department Chair(s) for each portfolio and then vote to approve or deny tenure based on its own evaluation of the portfolio against the relevant department performance criteria. Committee members may not participate in this meeting via teleconference. The College Dean may not participate in the proceedings of the CTPC.
 - 1. The vote shall be anonymous, and all votes shall be tallied in the presence of the committee members.
 - The committee chair shall submit a set of meeting minutes, redacted for anonymity, which summarizes the meeting's activities. Votes of "NO" must be accompanied by written substantive comments in support of the vote. If substantive comments are not provided, the vote will not count.
 - The CTPC chair(s) shall complete the signature forms and collect the ballots and any necessary justifications, which shall all be submitted to the College Dean(s) for review.
- d. College Dean Evaluation the College Dean(s) shall review the decision of the tenured faculty, the Department Chair(s), and the CTPC(s) for each portfolio and then write either a concurring or dissenting opinion regarding each candidate. This opinion shall be inserted into the appropriate tab in each portfolio, a copy of which shall be distributed to the candidate. The College Dean(s) must also provide a copy of the CTPC forms and justifications to the candidate at this time. The portfolio shall then be submitted to the PVPAA for review.
- e. PVPAA Evaluation the PVPAA shall review the decision of the tenured faculty, the Department Chair(s), the CTPC(s), and the College Dean(s) for each portfolio. The PVPAA shall add a memo indicating approval or disapproval of each candidate for inclusion into the portfolios, and then submit all documentation for the President's approval.
- f. President's Evaluation the President shall make recommendations for tenure and /or promotion to the Board of Regents based on personal judgment of the candidate's portfolio and also on the results of the evaluative process described above. Only the Board of Regents may award tenure.

7. Guidelines for the Construction of Tenure and Promotion Portfolios

All tenure and promotion portfolios shall be contained in a hardcover binder with tabs separating each section from the others. It is the responsibility of the faculty member to provide enough plastic page covers to accommodate the letters and other documentation that will be added during the evaluation process. No other documentation beyond what is listed below shall be considered during portfolio evaluations.

All tenure and promotion portfolio materials shall be gathered by the faculty member except where indicated in the Tenure and Promotion Portfolio Guidelines section listed below. No other documentation beyond what is listed below shall be considered during portfolio evaluations. All materials must be assembled and submitted in electronic (digital) format. Faculty will abide the policies and procedures for electronic assembly and submission as determined by their College.

The original Any documentation generated by the tenure and promotion process, including ballots, meeting minutes, inserted letters, and all faculty-submitted materials shall be <u>electronically</u> archived in the office of the PVPAA, which may be obtained by the faculty member upon request. Faculty members may request from the PVPAA access to the original documentation.

Tenure and Promotion Portfolio Guidelines

- a. The tab identifying the firstSection I: Forms. This section shall be labeled "Forms" should contain the following materials. The following items must be included:
 - <u>The PVPAA letter indicating tenure eligibility for probationary faculty or a signed</u> form indicating the applicant has completed four (4) years of credited service at the <u>rank of Associate Professor and is therefore eligible for promotion to the rank and is</u> <u>therefore eligible for promotion toof</u> Professor <u>inserted by the faculty member</u>.
 - An empty plastic cover to allow for the insertion of the <u>The</u> completed Departmentlevel recommendation form <u>inserted by the chairperson of the Department Tenure</u> and Promotion Committee.
 - 3. An empty plastic cover to allow for the insertion of the <u>The</u> completed <u>CTPCCollege</u>-level recommendation form <u>inserted by the chairperson of the College</u> <u>Tenure and Promotion Committee.</u>
- b. The tab identifying the second section shall be labeled "Department Criteria". It is the responsibility of the faculty member to insert a copy of the approved Departmental performance criteria into this section so that they may be referenced during the review process.
- c. The tab identifying the third section shall be labeled "PVPAA's Memo".
- d. The tab identifying the fourth section shall be labeled "Dean's Letter".
- e. The tab identifying the fifth section shall be labeled "Department Chair's Letter and Annual Evaluations". In addition to the Department Chair(s) signed letter, an official, signed copy of each annual faculty evaluation must be included.
- f. Section 2: Department Criteria. This section should contain the list of departmental

performance criteria inserted by the faculty member for reference during the review process.

- g. <u>Section 3: PVPAA Memo. This section should contain the PVPAA's Memo inserted by the Dean</u> of the faculty member's College.
- h. <u>Section 4: Dean's Letter. This section should contain the Dean's Letter inserted by the Dean of</u> the faculty member's College.
- i. <u>Section 5: Department Chair's Letter and Annual Evaluations. This section should contain the</u> <u>following materials inserted by the Department Chair.</u>
 - 1. The completed Department Chair's letter inserted by the Department Chair.
 - 2. The faculty member's annual evaluations for the appropriate period of time inserted by the Department Chair.
- j. The tab identifying the sixth section shall be labeled "Section 6: Curriculum Vitae". This section shall include a complete version of the candidate's CV, including work that was performed at another university for candidates hired with service credit.
- k. The tab identifying the seventh<u>Section 7: Teaching Effectiveness. This</u> section shall be labeled <u>"Teaching Effectiveness"</u>should contain the following materials. The following items must be included:
 - A personal essay describing the ways in which the candidate's teaching knowledge and skills have developed positively during the relevant period, how this has translated into outcomes, and how these outcomes meet the Department's performance criteria, including qualitative evidence of teaching and advising effectiveness beyond what is reflected in the IDEA reports. The essay is to be inserted by the faculty member.
 - Summary The summary course evaluation reports evaluations (IDEA reports surveys) for all classes courses taught at ASUAngelo State University during the relevant period of time (i.e., the probationary period or period since the time of last promotion) inserted by the Department Chair.
 - 3. A personal essay describing the ways in which the candidate's teaching knowledge and skills have developed positively during the relevant period, how this has translated into outcomes, and how these outcomes meet the Department's performance criteria. The essay should reference qualitative evidence of teaching and advising effectiveness beyond what is reflected on the IDEA reports.
- The tab identifying the eighthSection 8: Scholarly Activity. This section shall be labeled "Scholarly Activity" should contain the following materials. The following items must be included:
 - A list of all specific scholarly works and achievements completed during the candidate<u></u>'s relevant period (<u>i.e., the</u> probationary period or period since <u>the time of</u> last promotion) <u>inserted by the faculty member</u>.
 - 2. A personal essay describing the ways in which the candidate⁴'s scholarly activity has developed positively overduring the relevant period, how this has translated into outcomes, and how this work meets these outcomes meet the Department⁴'s performance criteria. The candidate should narratively describe the development of his/her-scholarly activities so that the reader may see how the activity reflected in

the <u>CVCBV</u> has contributed to the candidate's <u>professional personal</u> growth. <u>The</u> essay is to be inserted by the faculty member.

- m. The tab identifying the ninth tab shall be labeled "Section 9: Professional Service". The This section should contain the following items must be included: materials.
 - A dated list (bulleted or numbered) of service activities completed during the candidate's relevant period (<u>i.e., the</u> probationary period or period since <u>the time of</u> last promotion) <u>inserted by the faculty member</u>.
 - A personal essay describing in detail these relevant service activities, how these
 activities have contributed to the mission of the University and to the candidate²/₂s
 professional development, and how these activities meet the Department²/₂s
 performance criteria. <u>The essay is to be inserted by the faculty member</u>.

8. Termination Rules and Procedures for Grievance

- a. Faculty may be involuntarily separated from their employment with the University in the following ways:
 - Termination with immediate effect this is defined as an immediate revocation of the current employment appointment for a non-tenured tenure-track faculty member.
 - Non-reappointment this is defined as the University's refusal to offer further employment to a non-tenured tenure-track faculty member beyond the end of the current employment appointment.
 - 3. Denial of tenure this is defined as termination as a result of a failed tenure candidacy. The tenure-track faculty member shall receive a terminal appointment for the next academic year before the termination takes full effect.
 - Revocation of tenure and termination with immediate effect this is defined as an immediate revocation of both tenure status and employment with the University for a tenured faculty member.
- b. Tenure-track faculty members who are denied tenure with the University may appeal according to the process outlined in *Faculty Non-Reappointment and Tenure Revocation, OP 06.29*.
- c. The revocation of tenure by the University from a tenured faculty member shall automatically initiate a review process as outlined in *Faculty Non-Reappointment and Tenure Revocation*, *OP* 06.29.

9. Procedures for Policy Review and Revision

This section describes the procedure by which this operating policy may be amended. No other amendments may be made outside of this procedure.

a. The Faculty Select Committee (FSC) shall consist of two (2) members from each undergraduate college, elected by the tenured and tenure-track faculty in an election administered by the office of the PVPAA and the President of the Faculty Senate. The representatives from each college may not come from the same department. The election must be designed such that a remedy exists in case the election results violate this rule.

- b. The office of the PVPAA is responsible for calling the initial meeting of the Faculty Select Committee (FSC) to begin the revision process.
- c. The chair of the FSC shall convene the committee regularly to review the current operating policy and make a list of recommendations for revision, which shall then be submitted to the office of the PVPAA.
- d. The initial list of recommendations shall be submitted for review to the Faculty Senate through the office of the PVPAA. The Faculty Senate shall produce a list of suggested changes, attach this list to the original recommendations, and return the documents to the PVPAA.
- e. All documentation shall be submitted for review to the Department Chairs through the office of the PVPAA. The Department Chairs shall produce a list of suggested changes and attach this list to the original recommendations and the suggestions of Faculty Senate. All documentation shall then be returned to the office of the PVPAA.
- f. All documentation shall be submitted for review to the Deans' Council through the office of the PVPAA. The Deans' Council shall produce a list of suggested changes and attach this list to the original recommendations, the suggestions of the Faculty Senate, and the suggestions of the Department Chairs. All documentation shall then be returned to the office of the PVPAA.
- g. The office of the PVPAA shall return all lists of suggested changes to the chair of the FSC. The FSC shall meet to integrate the suggested changes into a new draft, which shall be returned to the office of the PVPAA and cycled through the approval sequence described in this section.
- h. When a draft of the policy has been approved by all stakeholders, it shall be submitted to the President of the University for approval and then to the Board of Regents.
- i. If, after three (3) complete cycles of revision, a consensus has not been reached regarding revisions to this policy, the PVPAA shall appoint an *ad hoc* committee composed of three (3) representatives from the Faculty Senate and three (3) representatives from the Deans' Council to reach consensus.

10. Generic Calendar for Tenure/Promotion Workflow

- a. The University shall evaluate faculty members for tenure and/or promotion according to the following timeline if at all possible.
- b. The office of the PVPAA may make reasonable adjustments to this timeline to account for unforeseen circumstances that make it untenable. Any adjustments made shall apply to all candidates under evaluation and may not be used to selectively advantage or disadvantage a particular candidate. Adjustments must also be approved by the President of the University.

Process Step	Target Date	2017-2018 (Example)
Portfolio Submitted	3 rd Friday, Fall Semester, 5pm CST	September 15, 2017
Department-Level Evaluation Completed	6 th Friday, Fall Semester, 5pm CST	October 6, 2017

Generic Tenure/Promotion Calendar

Chair's Recommendation Completed	8 th Friday, Fall Semester, 5pm CST	October 20, 2017
CTPC-Level Evaluation Completed	10 th Friday, Fall Semester, 5pm CST	November 3, 2017
College Dean's Recommendation Completed	12 th Friday, Fall Semester, 5pm CST	November 17, 2017
PVPAA's Recommendation Completed	15 th Friday, Fall Semester, 5pm CST	December 8, 2017
President's Recommendations Sent to BoR	In time for March BOR Meeting	January, 2018

Link A: Tenure/Promotion Portfolio Requirements

Link B: Form 1, Candidate Eligibility

Link C: Form 2, Department Recommendation

Link D: Form 3, College Committee on Tenure and Promotion Recommendation

Link E: IDEA Course Summary Report

Approval Signatures

Step Description	Approver	Date
Final Administrative Approval	Christena Parks: Curriculum & Policy Coordinator	08/2024
President Approval	Ronnie Hawkins: President	08/2024
Provost and Vice President for Academic Affairs	Donald Topliff: Provost & Vice President for Academic Affairs	08/2024
Initial Policy Review Representative	Christena Parks: Curriculum & Policy Coordinator	08/2024
	Tony Bartl	08/2024

Tags

10.4 SACSCOC, 10.6.a SACSCOC, 6.1 SACSCOC, 6.2.a SACSCOC, 6.3 SACSCOC, 6.4 SACSCOC, Board of Regents approval required

ATTACHMENT

4

TTU Office of Research Commercialization Annual Report, FY 2024 (Information Item 13.)



Office of Research Commercialization Annual Report

Fiscal Year 2024

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ORC Executive Summary

Fiscal Year 2024 marked another exceptional year of growth for the commercialization efforts across the Texas Tech University System (TTUS). The Office of Research Commercialization (ORC) continued to prioritize partnerships with industry to translate TTUS research into impactful commercial applications. For the third consecutive year, the ORC executed over 30 technology license agreements—a nearly 50% increase compared to previous years. Patent filings also reached a historic high, reflecting a surge in invention disclosures by TTUS faculty, spurred by increased research expenditures.

Although revenue generated in FY24 was down, this decline is attributable to the challenging investment climate faced by our industry partners. In response, the ORC implemented innovative, flexible deal structures, including deferred revenue models, positioning the office for future growth.

To accommodate the rapid growth in research activity, FY24 also saw strategic expansion and restructuring within the ORC. Our patent portfolio has expanded by over 300% in recent years, justifying the creation of a new Managing Director for Intellectual Property position. Likewise, to meet increasing demand, we onboarded two new licensing associates to manage the growing level of faculty engagement generated by the higher rate of inventions disclosed and increased industry and startup engagement. With the ongoing support of TTUS leadership and stakeholders, the ORC is well-positioned to scale further.

Faculty engagement remains the cornerstone of our work. This year, we further expanded our efforts to involve faculty, staff, and students in the commercialization process, fostering a more inclusive innovation ecosystem. Notably, our ongoing tracking of inventor demographics, now in its third year, revealed a rise in inventions led by female faculty. In addition, ORC staff continued to play a central role in the TTUS community by sponsoring workshops, judging competitions, and maintaining a robust student internship program in collaboration with the School of Law and the Health Sciences Center. Following last year's comprehensive campaign to engage every department at TTU and HSC, we saw continued growth in invention disclosures, a trend we aim to extend through a new university-wide campaign next year.

Looking ahead, the ORC anticipates sustaining its strong licensing activity in FY25, with over 30 new deals projected. As research activity continues to rise, we expect corresponding increases in invention disclosures, further fueling patent filings and licensing opportunities.

Invention Disclosures, Provisional Patent Applications Filed, and US Issued Utility Patents are included in Appendices A, B, and C. Total yearly metrics across the Texas Tech University System are in Table 1 below.

TTUS Yearly Metrics	<u>FY21</u>	<u>FY22</u>	<u>FY23</u>	FY24
Invention Disclosures	103	110	122	131
Provisional Patent Applications	34	40	50	58
Non-Prov. and International Filings	100	68	97	68
US Issued Patents	19	25	22	19
Licenses Signed	20	36	30	31
License Revenue	\$ 564,450.00	\$ 1,198,875.00	\$ 1,001,440.54	\$ 702,231.00

Table 1: Texas Tech University System Annual Metrics



Office Efforts Over the Year

The Texas Tech University System's technology transfer efforts further expanded in FY24, continuing strong benchmarks and establishing TTUS as a leader among peer institutions. For the third consecutive year, the Office of Research Commercialization negotiated and executed over 30 new license agreements with industry to commercialize TTUS technologies. This increase in licensing activity directly reflects the steady growth of the research enterprise, with invention disclosures rising nearly 10% year over year. As a result, provisional patent filings have surged by 70% over the past four years, bringing TTUS patent activity to an all-time high. Although annual revenue fell 25% short of projections, almost \$400,000 in deferred revenue is expected to contribute to a strong financial performance in FY25. Faculty engagement remains at the core of these efforts, with new research initiatives serving as the engine for the growing commercialization efforts across TTUS.

The ORC made concerted efforts not only to engage inventors but to celebrate their achievements. In FY24, the sixth annual <u>Inventor Celebration</u> event, in partnership with the National Academy of Inventors (NAI), honored TTUS inventors. TTUS issued 19 U.S. utility patents during the year, securing a spot once again on NAI's list of the top 100 U.S. universities by issued patents. Inventors were recognized with plaques for their achievements, and additional awards were presented for emerging inventors, the most disruptive technology, the deal of the year, and the startup licensee of the year. The ORC also sponsored a panel at the NAI annual conference, leading a discussion on "Campus to Commerce" and showcasing impactful technologies emerging from university labs. Two <u>TTUS researchers</u>, Dr. Werner Kuhr from TTU and Dr. Hemachandra Reddy from HSC, were inducted as Fellows of the academy, while four others were recognized as Senior Members. The TTUS chapters also received recognition as finalists for the NAI <u>Chapter of the Year</u>.

Beyond faculty, the ORC also deepened engagement across the broader campus community. A Lubbockwide workshop was hosted on the intellectual property implications of AI, as well as a two-day workshop on the commercialization of life science research. Co-hosted with a pharmaceutical company, this event was attended by faculty from TTU, HSC, and HSC El Paso. The ORC also strengthened its partnership with the STEM MBA program, providing technologies for students to develop commercialization plans in collaboration with faculty inventors.

External engagement continued to grow in FY24. The ORC participated in the TechConnect World Innovation Summit, promoting technologies from TTUS inventors like Derek Johnston, Dr. Dimitri Pappas, and Dr. Gordon Christopher. These inventors showcased their high-frequency transmission spectroscopy system. Other notable presentations included an automated bleed control task trainer developed by Dr. Brittany Bankhead and M.D. candidate Julie Chugh, and a long-wave infrared phase modulator by Dr. Myoung-Hwan Kim and PhD candidate Zachary Brown. The ORC also participated in BioNTX, resulting in a TTU technology and startup being selected to present at the annual meeting. HSC researchers continued their engagement in the Texas Medical Center Innovation Act Summit, with Dr. Nadia German graduating this past year and Dr. Hongjun Liang being accepted into the latest cohort.

The ORC staff remained active as leaders within the broader technology transfer community. Team members serve on the Board of Directors for the Texas Intellectual Property Alliance and championed Texas' first-ever governor's proclamation of <u>IP Awareness Month</u>. They also continue to serve in key board and committee roles within organizations like AUTM, the Licensing Executives Society, and the State Bar of Texas. In a notable invitation, staff participated in an Army roundtable in Washington, D.C., offering input on improving SBIR and technology transfer processes within the Department of Defense.



Marketing remained a key focus in FY24, driving greater engagement with external stakeholders and industry partners and necessitating the addition of a new part-time marketing staff member for the office. The <u>ORC's LinkedIn</u> presence saw a significant increase in followers (528) and an 8.7% rise in engagement, with posts reaching over 100,448 impressions. Campaigns like #MeetTheInventor garnered the highest engagement, helping to expand the ORC's network with industry leaders and collaborators. Strategic marketing through platforms like In-Part and Flintbox resulted in more direct industry engagement than ever before.

Investor engagement also grew, particularly with venture studio participation. A full day of meetings was hosted for <u>Research Bridge Partners</u>, allowing researchers to present their technologies and receive direct feedback from industry and investors. TTUS researchers also participated in <u>Chevron Studio</u> and <u>Rose</u> <u>Rock Bridge</u> venture programs, while an international veterinary training model company visited the School of Veterinary Medicine to demo faculty-developed prototypes. Additional venture studio and industry visits are planned for FY25.

The broader TTUS innovation ecosystem continued to thrive, with stakeholders across the ORC, Innovation Hub, and Institute for Small Business collaborating on new programming and resource sharing. Cross-disciplinary funding proposals were submitted to agencies like the National Science Foundation and the Economic Development Administration, with plans for a philanthropic campaign through TTU Institutional Advancement in FY25. This initiative aims to evergreen Innovation Hub programming and expand proof-of-concept funding for TTUS researchers.

Intellectual Property Portfolio

The TTUS intellectual property (IP) portfolio is in a healthy and well-managed state. Despite substantial growth in the portfolio, legal budgets have been accurately projected, communicated, and effectively managed. This year, the ORC took steps to quantify the historical growth of the portfolio and introduced measures to actively manage it moving forward. Since the responsibility for managing the IP portfolio was absorbed by the ORC director, the portfolio has grown by 340%, in alignment with increased research activity, while keeping the annual budget spend to only double its original size.

Due to the significant growth and the need for active management – especially for cases licensed to industry – the office successfully secured approval from the TTUS leadership to establish a Managing Director of IP role within the ORC. This new role, assumed by Jennifer Souter in January, ensures that the growing portfolio continues to be closely managed in collaboration with the Assistant Vice President for Research Commercialization. One of the first major initiatives was to oversee a successful audit of all licensed IP, which resulted in necessary restructuring of multiple license agreements. A comprehensive audit of unlicensed IP is currently underway, with external consulting firms assisting, and is expected to be completed this year.

Engagement between inventors and the ORC has never been stronger. Inventors are now more actively involved in the patenting process, reviewing drafts and collaborating with ORC staff to strengthen the IP position in ways that align with their ongoing research. Additionally, a new law firm was onboarded this year to support the growing legal workload.

Key metrics, such as patent abandonment rates and conversion rates from provisional applications to full patents, remain stable, allowing the ORC to effectively project and manage legal budgets. While TTUS's legal spending remains steady, it will likely need to increase as research activity and the IP portfolio continue to grow. Provisional patent filings (the first step in the patent process) have risen by nearly 50% over the past two years. This increase will require more legal resources in the later stages of the patent



lifecycle. However, through the ongoing audit of unlicensed IP, the ORC aims to reallocate legal spend efficiently and mitigate the need for a significant increase in the legal budget.

		F	FY20	FY21	FY22	FY23	FY24
Provisional Patent							
Applications Filed	In-House		11	8	4	13	4
11	External Cour	nsel	28	26	36	37	54
	Total	1501	20 39	20 34	40	50	58
	Tutai		39	54	40	30	
Full Patent							
(Non-Provisional)							
Applications Filed	PCT		20	24	19	25	27
	US Utility		7	4	4	6	7
	US National						
	Stage		24	22	18	17	17
	International						
	National Stag	e	28	36	14	38	10
	Continuation/						
	Divisional		7	14	12	10	7
				0	12		
	Design Patent		0	•	-	1	0
	Copyright (n/	c)	0	0	0	0	0
	Total		86	100	68	97	68
Table 2: TTUS Patent Filin	ngs by Type						
	г	EV20	FY21	FY22	EV/	22	FY24
	ſ	FY20	FY21	F Y 22	FYZ	23	FY24
Provisionals							
Converted							
(from previous FY)	2	25(61%)	24 (61%) 20 (59	%) 29 ((72%)	33 (67%)
Provisionals Refiled							
(from previous FY)	2	2(5%)	7 (18%)	5 (15%	6) 3 (8	3%)	7 (14%)
	-	()	()	- (, - (0	-)	()
Provisionals							
Abandoned		4 (2 40/)	0 (010()	0 (0 (0			10 (200)
(from previous FY)	1	4 (34%)	8 (21%)	9 (26%	6) 8(2	20%)	10 (20%

Tables 2 and 3 below contain detailed breakouts of the TTUS patent filings.

 (from previous FY)
 14 (32)

 Table 3: TTUS Patent Lifecycle Conversion Rates



Institutional Engagement and Metrics

Table 4 below indicates yearly metrics broken out by TTUS institution. Additional departmental metrics are included in Appendix D.

FY24								
		Percent						
	Invention	Total	Provisional Patent	Non-Provisional		Licenses		Percent
	Disclosures	Disclosures	Applications	Applications	Issued US Patents	Signed	License Revenue	Revenue
TTU	73	56%	41	41	9	16	\$ 547,955.00	78%
TTU HSC	29	22%	12	13	7	8	\$ 145,506.00	21%
TTU HSC El Paso	6	5%	2	4	2	0	\$ 7,770.00	1%
Joint TTU/HSC	6	5%	3	9	1	5		
Angelo State	0	0%	0	1	0	2	\$ 1,000.00	0%
Midwestern	1	1%	0	0	0	0	\$-	0%
External/Student	16	12%						
Totals:	131		58	68	19	31	\$ 702,231.00	

FY23								
	Ì	Percent						
	Invention	Total	Provisional Patent	Non-Provisional		Licenses		Percent
	Disclosures	Disclosures	Applications	Applications	Issued US Patents	Signed	License Revenue	Revenue
TTU	61	50%	32	45	14	10	\$ 728,421.00	73%
TTU HSC	30	25%	9	29	7	9	\$ 174,882.00	17%
TTU HSC El Paso	10	8%	5	12	1	4	\$ 98,138.00	10%
Joint TTU/HSC	6	5%	3	11		6		
Angelo State	0	0%	1	0	0	1	\$-	0%
External/Student	15	12%						
Totals:	122		50	97	22	30	\$ 1,001,441.00	

FY22								
		Percent						
	Invention	Total	Provisional Patent	Non-Provisional		Licenses		Percent
	Disclosures	Disclosures	Applications	Applications	Issued US Patents	Signed	License Revenue	Revenue
TTU	58	53%	27	54	15	26	\$ 1,029,350.00	86%
TTU HSC	27	25%	6	11	7	7	\$ 121,125.00	10%
TTU HSC El Paso	6	5%	3	5	3	0	\$ 48,400.00	4%
Joint TTU/HSC	7	6%	4	2		3		
Angelo State	1	1%	0	0	0	0	\$-	0%
External/Student	11	10%						
Totals:	110		40	72	25	36	\$ 1,198,875.00	

Table 4: Yearly Commercialization Metrics by TTUS Institution

Texas Tech University

Texas Tech University continued its upward trajectory in FY24, with faculty leading or jointly contributing to 79 invention disclosures, filing 44 provisional patent applications, and 50 non-provisional patent applications. TTU also executed 21 license agreements, including 6 for technologies jointly developed with HSC, generating \$548,000 in licensing revenue – accounting for over half of all new inventions disclosed and 78% of total revenue received. The Whitaker College of Engineering was the most active in generating new intellectual property, responsible for 33% of TTUS invention disclosures, followed by the College of Arts and Sciences at 9%, and the Davis College of Agricultural Sciences and Natural Resources at 8%.



This year, the TTU Intellectual Property Review Committee was restructured to include different membership levels, such as junior faculty, and to enhance decision-making processes. Notably, the first licensing agreement for a technology developed within the CASFER NSF Engineering Research Center was executed with an international industry partner. Collaboration between TTU and HSC also increased, with a significant industry agreement finalized in the field of nuclear energy, aimed at advancing research across the entire TTU System.

Quarterly reporting of IP activity to TTU colleges continued, with Deans' annual review meetings now part of the process. The ORC also strengthened its partnership with the Office of Research Services and other OR&I offices, creating regular reports on faculty funding awards, proposals involving IP, and departing faculty, all of which are essential for IP portfolio management and maintaining engagement with inventors.

TTU Health Sciences Center

Texas Tech University Health Sciences Center had a standout year in FY24, with faculty contributing to 35 new invention disclosures (including 6 joint disclosures with TTU), filing a record 15 provisional patent applications (3 joint), and executing 13 new license agreements (5 joint). HSC consistently accounts for one-fourth of TTUS's new invention activity, with the School of Medicine and the School of Pharmacy being the most active, responsible for 16% and 6% of TTUS invention disclosures, respectively.

The ORC maintained its close relationship with HSC's satellite campuses, involving faculty from other campuses in patent filing decisions through HSC faculty committees, and quarterly reporting to Deans. The HSC Intellectual Property Review Committee was also restructured to increase participation and bring in new members, including junior faculty. Additionally, the ORC continued its partnership with the Graduate School of Biomedical Sciences, welcoming a new graduate intern in technology transfer to the team.

TTU HSC El Paso

Texas Tech University Health Sciences Center at El Paso, along with the Paul L. Foster School of Medicine, contributed six new invention disclosures and two new provisional patent application filings in FY24. While there was a dip in new licensing activity with no new agreements executed, a major existing agreement was successfully restructured and renegotiated, laying the groundwork for renewed activity in FY25. ORC staff also continued to sponsor and participate in the annual Research Symposium last April, where El Paso faculty were recognized for their patents and commercialization achievements.

Angelo State University

Engagement with Angelo State University continued, with their first non-provisional patent application filed and licensed to an ASU startup company who completed Hub programming. ORC staff continues to host workshops with the ASU Small Business Development Center and regularly work with teams participating in local programs.

Midwestern State University

The ORC was excited this year to receive the first ever invention from Midwestern State University faculty since joining TTUS. The ORC continues to engage with MSU research primarily with sponsored project IP review and planning.



FY25 Planning and Projections

The Office of Research Commercialization continues to scale its commercialization efforts across the Texas Tech University System. While FY24 saw a decrease in retained revenue over the past two years, much of the deferred revenue is expected to be collected in FY25, which will bolster future operations. Although measures were taken to tighten operating expenses this year, maintaining these efficiencies will be challenging as the team grows. Nevertheless, FY25 is projected to be a strong year. Although revenue plateaued in FY24 (and ultimately declined), the ORC anticipates a significant revenue increase over the next few years, driven by the growing number of active license agreements and new technologies being brought to market. A detailed breakdown of historical revenue and FY25 projections is provided below in Table 5.

Revenue Received				
		Reimbursed	Disbursed (system,	Retained for
	Total Revenue	Legal	inst., dept., inventor)	Operations
FY22	\$ 1,191,024.00	\$ 378,744.00	\$ 568,596.00	\$ 243,684.00
FY23	\$ 1,001,440.54	\$ 496,052.67	\$ 340,521.63	\$ 164,866.24
FY24	\$ 702,230.82	\$ 271,202.67	\$ 286,009.34	\$ 145,018.81
FY25 (projected)	\$ 1,218,706.00	\$ 550,337.00	\$ 467,858.30	\$ 200,510.70

Table 5: Historical Annual Revenue and FY25 Projections

Looking ahead, the ORC will continue its commitment to fostering an inclusive commercialization process by actively engaging and supporting faculty throughout their research and commercialization journeys. With a built-out team, faculty across TTUS will benefit from proactive engagement and assistance to ensure their research has the best chance of commercial success.

As highlighted in previous years, one of the remaining gaps in TTUS's technology transfer ecosystem is the lack of a proof-of-concept fund. Such a fund would provide critical support for early-stage technologies, helping researchers advance their innovations and strengthen the value of TTUS intellectual property. While existing programs offer prototype funding and startup acceleration, a dedicated fund for translational research would enable researchers to bridge the gap between discovery and commercialization. Over the next year, the ORC will work closely with the broader innovation ecosystem to address this need. We believe this funding will yield a significant return on investment and is particularly crucial for TTU as it strives for AAU status. In alignment with a proof-of-concept review process, the ORC will aim to build out an industry advisory board soon.

Overall, FY24 was a successful year for the ORC and TTUS inventors, with commercialization activity continuing to scale rapidly. The ORC looks forward to building on this momentum in the coming years, maintaining active engagement with faculty and other stakeholders to further elevate TTUS's innovation ecosystem.

Cameron P. Smith Assistant VP, Research Commercialization



Appendices

Appendix A

FY24 Invention Disclosures

Invention Number	Invention Title	Inventors	Institution	Disclosure Date	Disclosure Status
2024-001	A Nanofilm Indicator for Monitoring and Recording Subzero Temperatures	Md Nayeem Hasan Kashem Wei Li	TTU	Sep 6, 2023	Active
2024-002	HYPERSIM Implementation of EPRI K1 Distribution System for Real- Time Simulation and Testing of Distributed Energy Resources	Stephen B. Bayne Manohar Chamana Laura Andrea Ward	TTU	Sep 12, 202.	3 Archived
2024-003	Frozen Sample Basket Trial	Lindsay Penrose Samuel D Prien	HSC	Sep 12, 202.	3 Related to
2024-004	Biometric Secured Firearm Magazine	David Shane Harper	HSC	Sep 13, 202	3 Archived
2024-005	Ammonia Absorbents Using Salts in Metal Fiber Matrix	Sufian Bin Humayun Mahdi Malmali Alon McCormick Tejas Nivarty Jeff Schott	TTU, Joint	Sep 14, 202	3 Active
2024-006	VirusPredictor: XGBoost-Based Software to Predict Virus-Related Sequences	Dawei Li	HSC	Sep 14, 202	3 Archived
2024-007	Acetylcholinesterase Inhibition from N-(phosphonomethyl) Glycine (Glyphosate)	Jan Halame k Lenka Halamkova Ashley B. Newland	TTU	Sep 18, 202.	3 Active
2024-008	Dnase1L3 with Reduced Antigenicity	Lauryn E. Evans Angelica M. Infante Peter Keyel Roger B. Sutton Johanna Villarreal	Joint	Sep 18, 202	3 Archived
2024-009	Riot Protection Helmet (Law Enforcement) Helmet	Suman K. Chowdhury Weilong Cong Gregory B. McKenna Michelle L. Pantoya	TTU	Sep 19, 202	3 Archived
2024-010	System and Method for Reducing the Size of Area of Review (AoR) Using the Electrical Submersible Pump (ESP)	Nachiket B. Arbad Hossein Emadi Marshall C. Watson	TTU	Sep 20, 202	3 Active
2024-011	Single-Core Mixed-Pressure Hybrid Electric Turbine Engine	Wolduamlak Ayele Guilherme D. Fernandes	TTU	Sep 25, 202	3 Archived
2024-012	Rapid Fentanyl Sensing Via Biochemical Assay	Victor Maldonado Emilynn Banks Jan Halamek	TTU	Sep 25, 202	3 Active
2024-013	Data Logging Respiratory Sensor Integration Block	Robert V. Duncan Laszlo M. Hunyadi Shelby L. Lacouture Cuikun Lin Noah K. Plues Annette Sobel Emily Ann Sundman	TTU	Sep 29, 202.	3 Related to
2024-014	Institutional Space Planning System: Facitlities Inventory Tracking Application, Interactive GIS Map Application, and Automated Space Planning Application.	Brandon Hennington Lissa Munoz	TTU	Sep 29, 202.	3 Active
2024-015	Uniket Market Place	Andy Rhinezor Epie	External	Oct 1, 2023	Archived
2024-016	Web Application LIS Simulator	Tammy Carter Koy Kubala	HSC	Oct 1, 2023	Under Option
2024-017	Ad36E4orf1 Peptide Fragments as Anti-Diabetic Agents	Nikhil V. Dhurandhar Vijay Hegde	TTU	Oct 9, 2023	Exclusive License
2024-018	Portable Off-Grid Solar Power Generator in Enclosed Trailer	Paul Colombo David Haering, III	External	Oct 6, 2023	Archived
2024-019	Development Of An Antibiotic Combination Which Can Function As An Eye Drop To Block Bacterial Infection After Eye Surgery or Intraocular Treatment	David L. McCartney Ted W. Reid Phat L. Tran	HSC	Oct 9, 2023	Archived

Invention Number	Invention Title	Inventors		Disclosure Date	Disclosure Status
2024 020	MELDOCDAM	John Ciubuc Hassan Khalid	USC	0-+0-2022	IDDC
2024-020	MELDOGRAM	Sarah K. Kiani Simon C. Williams	HSC	Oct 9, 2023	IPRC
2024-021	Equine Primary Lymphatic Endothelial Cells Derived from Equine Lymph Node	Tomas Lugo Stephanie Myers	TTU	Oct 11, 2023	Non Exclusive License
		Annelise Nguyen Matt Batchelder			License
2024-022	3D Printed Biodegradable Pre-Seeded Grow Mat	Gabriella A. Hale Gary Mark Hale Brad Jackson	TTU	Oct 15, 2023	Archived
2024-023	Biocompatible and Biodegradable Polymer Nanodiscs as Lipoprotein- Mimicking Nanocarriers with High Stability and Long Circulation Time for Drug Delivery	Hongjun Liang	HSC	Oct 15, 2023	Active
2024-024	GO THRIFT	Luke Weil	External	Oct 24, 2023	Archived
2024-025	KLF2 Agonists for Treatment of Acute and Chronic Covid Pathogenesis and Associated Fibrosis	Bhaskar C. Das Hiranmoy Das	HSC	Oct 25, 2023	Related to
2024-026	Utilization of Meat Exudate as a Natural Flavor Enhancer	Tyson Brown Jerrad F. Legako	TTU	Oct 25, 2023	Active
2024-027	Designing a Reverse SLAM (Simultaneous Localization and Mapping) System	Ron Banister	HSC	Oct 29, 2023	Archived
2024-028	Wireless Defibrillator with Augmented Reality Tablet Instruction System	Ron Banister	HSC	Oct 29, 2023	Archived
2024-029	Recyclable 3D Printed Wireless Video Laryngoscope with 4K Chip Integration	Ron Banister	HSC	Oct 29, 2023	Archived
2024-030	A Design of An Artificial Catalytic Generator for In-Situ Hydrogen Production from Petroleum Reservoirs	Keju Yan Qingwang Yuan	TTU	Nov 7, 2023	IPRC
2024-031	Method for Developing De Novo Transgenic and Gene-Edited Shoots Without Tissue Culture	Luis Rafael Herrera-Estrella Arjun Ojha Kshetry Gunvant Patil	TTU	Nov 12, 2023	Active
2024-032	System and Methods for Optimization of the Human Brain to a Target State Using Auditory Binaural Beats Guided by Continuous Single- Electrode Electroencephalography Feedback	Jessica Blume Chathurika Dhanasekara Chanaka N. Kahathuduw a	HSC	Nov 12, 2023	Under Option
2024-033	Fast Startup Baseband Amplifier for Biomedical Sensing	Aaron B. Carman Changzhi Li	TTU	Nov 12, 2023	Active
2024-034	Reconfigurable Feedforward Clutter-Canceling Frequency-Modulated Continuous Wave Radar System.	Aaron B. Carman Changzhi Li	TTU	Nov 12, 2023	Active
2024-035	Placenta-Targeted Nanoparticles or Conjugates and Methods of Use Thereof	George I. Henderson Sambantham Shanmugam Shu Wang	HSC	Nov 2, 2023	Active
2024-036	Simple and Dynamic Scale(SDS)	Hazera Binte Sufian Khurshidul Zahid	TTU	Nov 1, 2023	Archived
2024-037	A Tool for Dietary Assessment: A Weighing Table or Pad Placed on the Table Complemented with a Weighing Chair or Pad Placed on the Chair	Hazera Binte Sufian Khurshidul Zahid	TTU	Nov 1, 2023	Archived
2024-038	Development of Regenerative Therapy for Corneal Endothelial Dystrophies and Injuries Targeting KLF2	Bhaskar C. Das Hiranmoy Das	HSC	Nov 15, 2023	Related to
2024-039	The Automatic Bar Spotter (ABS): A New Type of Powerlifting Squat Rack That Can Catch a Loaded Barbell in the Event of a Set Failure, Used to Reduce the Incidence of Accidents in the Gym	Matthew Lee Collin Plowden Kyle Ruiz Daniel Van Praag	External	Nov 17, 2023	Archived
2024-040	Protective Effects of SSRI, Citalopram in Mutant APP and Mutant Tau Expressed Dorsal Raphe Neurons in Alzheimer's Disease	Arubala P. Reddy P. Hemachandra Reddy Neha A. Sawant	Joint	Nov 21, 2023	Archived
2024-041	U-Tube Simulation Intubation and Assisted Breathing Device	Mallory Prucha	TTU	Nov 29, 2023	IPRC
2024-042	Biodegradable Mulch and Bale Wrap	Seshadri S. Ramkumar	TTU	Nov 30, 2023	Archived
2024-043	Regulation of Retinoid-Mediated StAR Transcription and Steroidogenesis in Hippocampal Neuronal Cells: Implications for StAR in Protecting Alzheimer's Disease	Pulak Manna P. Hemachandra Reddy	HSC	Dec 7, 2023	Archived
2024-044	Optimal Power Outage Restoration in Distribution Systems Assisted by State Estimation	Stephen B. Bayne Manohar Chamana	TTU	Dec 8, 2023	Active
	Improved Method for Freeze-Drying Bacteria	Konrad Erich Kork Schmitt Wyatt E. Paulishak	HSC	Dec 10, 2023	IPRC
2024-045	Improvou niourou for Produce Drying Buotonia	Laurence M. Wood		2025	

Invention Number	Invention Title Inventors			Disclosure Date	Disclosure Status
2024-047	Novel Autophagy Inducing Recombinant BCG Vaccine for Tuberculosis	Subramanian Dhandayuthapani Chinnaswamy Jagannath	HSC-EP	Jan 16, 2024	Non-Enabling
2024-048	Timber-Dowel Reciprocal Lattice System	Tahmures Ghiyasi Cole D. Howell Edgar H. Montejano	TTU	Jan 22, 2024	Active
2024-049	Composition and Thereof of Pan EphB Tyrosine Kinase Forward Signaling Inhibitors in EphB-Associated Diseases	Sina Mostafavi Harrison Benson Heba A. Ewida Mahmoud Salama Ahmed Syed M. Tareq Jenny L. Wilkerson	HSC	Jan 30, 2024	Active
2024-050	Halicin's Emergence as a Promising Cancer Treatment	Mohamed Ashraf Eltokhy Shreyas R. Gaikwad Morgan Elizabeth Moore	HSC	Feb 5, 2024	Archived
2024-051	A Pneumatic Pillow That Can Either Inflate Under the Patient's Head or Shoulders to Achieve Optimal Positioning for Intubation	Sanjay K. Srivastava Patrick Popieluszko	HSC-EP	Feb 5, 2024	Archived
2024-052	Health Literacy Assessment Tool	Carol Boswell Sharon Cannon Joyce Miller	HSC	Feb 9, 2024	Archived
2024-053	Apparatus and Methods for Automatically Detecting Medial Artery Calcification in Anatomic Tomographic Slices of a Volume of a Body Region	Dale A. Miles	External	Feb 9, 2024	Archived
2024-054	Thermally Conductive and Extrudable Absorbents	Mahdi Malmali	TTU	Feb 15, 2024	Related to
2024-055	New Class of LCF Nuclear Reactors	Robert V. Duncan	TTU	Feb 20, 2024	Active
2024-056	Equine Podiatry Trainer / Hoof Care Trainer	Britt Conklin Guy Gilbert	TTU	Feb 18, 2024	Archived
2024-057	Generalized Brunauer-Emmett-Teller Isotherm for Mixed-Gas Multilayer Adsorption Equilibria	Chau-Chyun Chen Usman Hamid	TTU	Feb 20, 2024	Active
2024-058	Bioprinted Bone-on-Chip	Marina Curcic Mohamed Ashraf Eltokhy Shreyas R. Gaikwad Sanjay K. Srivastava	HSC	Feb 23, 2024	IPRC
2024-059	Application of Raman Spectroscopy Combined with Machine Learning for Use in Chronic Wasting Disease (CWD) Surveillance	Warren Conway Lenka Halamkova	TTU	Feb 26, 2024	Archived
2024-060	Human Adenovirus-36 (Ad36) Infection Improves Glycemic Control in Mice via the Product of Its E4orf1 Gene (Termed Insparin)	Nikhil V. Dhurandhar Vijay Hegde	TTU	Mar 4, 2024	Exclusive License
2024-061	All Natural FDA Defined "Mayonnaise"	Richard S. Meyer	TTU	Feb 29, 2024	Assess
2024-062	Insert Developments for Filter Piece in Face Coverings	Mirza M.O. Khyum Seshadri S. Ramkumar	TTU	Mar 5, 2024	IPRC
2024-063	Nested Liquid-Cooled Helmholtz Coil Design for High Strength, Uniform, and High Frequency Magnetic Field Generation	Zhaoda Du Xiaolong Liu Arjun Sharma Evan Watts	TTU	Mar 8, 2024	Assess
2024-064	Natural Frequency Informed Finite Element Modal Analysis Method for Determining the Elastic Properties of Solid Structures	Jingfei Liu	TTU	Mar 12, 2024	Archived
2024-065	A Sorghum Gamma Kafirin Mutant with Improved Protein Digestibility in the Grain	Yinping Jiao	TTU	Mar 12, 2024	Active
2024-066	Solid Energetics Rotating Detonation Engine	Paul Egan Frank Muniz	TTU	Mar 13, 2024	Archived
2024-067	Method of Rapidly Diagnosing and Mitigating Contact Loss in Electrochemical Systems with Solid-Solid Interfaces	Zeeshan Ahma d	TTU	Mar 13, 2024	Archived
2024-068	Ultrawide Bandgap Semiconductors for Extrinsic Photoconductive Switching Devices	Hongxing Jiang Jing Li Jingyu Lin Weiping Zhao	TTU	Mar 15, 2024	Active
2024-069	Frequency-Division Multi-View Beamforming Radar Architecture for Biomedical Sensing.	Kenneth Balogh Syed Doha Uddin Davood Khoozan Changzhi Li Vikram Ramprakash Christopher Williams	TTU	Mar 18, 2024	Active
2024-070	Design of a Novel Dual Vortex Based Vertical Axis Wind Turbine	M. Salim Azzouz Danielle Egwim Elizabeth Horn Pranaya Pokharel Jada Rhodes	MSU	Mar 22, 2024	Archived

Invention Number	r Invention Little Inventors		Institution	Disclosure Date	Disclosure Status
2024-071	Identification of Volatile Molecules Associated with Infectious Disease in Swine and Other Species	¹ John J. McGlone	TTU	Apr 2, 2024	Active
2024-072	WindOvers	Michelle Pearson	TTU	Apr 4, 2024	Archived
2024-073	Popeye the Pug	Guy Gilbert Trista Mills	TTU	Apr 4, 2024	Assess
2024-074	Perceived Respiratory Effort in Dogs	Mallory T. DeChant Nathaniel J. Hall Alex Moesta	TTU	Apr 5, 2024	Assigned
2024-075	GeneHub: A Digital Tool for Healthcare Solutions	Tetyana L. Vasylyeva	HSC	Apr 8, 2024	Archived
2024-076	Closed-Loop Optical Neuromodulation System (CLONE) for the Monitoring and Management of Hot Flashes	Deborah Clegg Pallavi Dubey Alok K. Dwivedi	HSC-EP	Apr 9, 2024	Active
2024-077	A Plant-Based Edible Vaccine for Management of Peanut Allergy	Gunvant Patil Akhilesh K. Shakya	TTU	Apr 18, 2024	Archived
2024-078	Dathway AI Solution	Michael Tomori	TTU	Apr 26, 2024	Archived
2024-079	Automatic Portable Pill Dispenser	Pranathi Bingi Paul Egan Frank Muniz Jeena Poudel	Joint	Apr 28, 2024	Under Option
2024-080	New Members of Chirality Family - Turbo and Staircase Chirality	Guigen Li	TTU	Apr 29, 2024	Active
2024-081	Computational Brain Muscle Interface Model to Analyse Mechanical Impacts	Hossein Bahreinizad Suman K. Chowdhury Mehedi Hasan Gustavo Paulon Senjuti Rahman Felipe Zambrini Santos Leonardo Wei	TTU	May 1, 2024	Assess
2024-082	Fresh Tissue Support Container	Keith Bishop Kate Serralde	HSC	May 7, 2024	Archived
2024-083	Coated Junctional Epithelium Targeter	Seth Boese Harvinder S. Gill David Syn	TTU	May 8, 2024	Passive
2024-084	Disintegrable Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Active
2024-085	Pocketed Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Related to
2024-086	Reservoir Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Related to
2024-087	Applicator and Container for Junctional Epithelium Targeter	Harvinder S. Gill Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Related to
2024-088	Swellable Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Related to
2024-089	Surface Microtopography to Manipulate Coatings on Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 8, 2024	Related to
2024-090	Tissue Sample Template	Scott Boyer Paul Egan Ethan Le Alan W. Pang	Joint	May 9, 2024	Active
2024-091	Design and Synthesis of Novel Sulfonamide-Based Simple and Vital Aromatic Amines as Anticancer Agents	Crystian Zamora Sanjay K. Srivastav a	HSC	May 13, 2024	IPRC
2024-092	Design and Synthesis of Novel Strifluoromethyl-Thiazolidine Functions as Anticancer Agents	Sanjay K. Srivastava	HSC	May 13, 2024	IPRC
2024-093	Formulations for Coating Junctional Epithelium Targeter	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	TTU	May 15, 2024	Related to
2024-094	Automatic Bar Spotter (ABS)	Matthew Lee Collin Plowden Kyle Ruiz Daniel Van Praag	TTU	May 16, 2024	Archived

Invention Number	¹ Invention Title Inventors		Institution	Disclosure Date	Disclosure Status
2024-095	Use of 2,5-Dihydroxybenzaldehyde to Inhibit A. Flavus Colonization and Leslie Commey Aflatoxin Contamination of Peanut		TTU	May 17, 2024	IPRC
		Venugopal Mendu			
2024-096	Possible Anti-Inflammatory Effect of SGLT-2 Inhibitors via Modulation of the Lectin Complement Pathway: Amelioration of Neuroinflammation and Mild Cognitive Impairment in Metabolic Dysfunction	Yehia S. Mechref	TTU	May 20, 2024	Assess
2024-097	Pet Food Probiotics for Health and Safety	Mindy Brashears Kendra K. Nightingale	TTU	May 27, 2024	Exclusive License
2024-098	Recycling Wind Turbine Blades to Asphalt	Paul Egan Tewodros Ghebrab Priya Gill Rajesh Shrikant Khare Samantha Kristufek Pawel Polaczyk	TTU	May 22, 2024	Active
2024-099	GeroVitro for Treatment of Taupathy, Dementia, Alzheimer's Disease, and Mild Cognitive Impairment	Kumuda C Das	HSC	May 24, 2024	Non-Enabling
2024-100	TimeTrack AI software	Panashe Siachitema	TTU	May 30, 2024	Archived
		Grant Ashcraft Luke Blevins Scott Boyer		May 30,	
2024-101	Compression Forceps for Bunionectomy	Brandon Darby Heavenley Shyann Nicole Gorley Jerry Grimes Brianna Hooper Rory Maguire	Joint	2024	Archived
2024-102	Machine Learning-Based Tool, "GridBids," for Electricity Demand, Variable Renewable Energy Output, and Electricity Price Forecasting	Suhas U. Pol Ashish Sedai	TTU	Jun 2, 2024	Under Option
2024-103	Infrared Emissive Conjugated Polymers, Composites, and Compositions	Nikita Gill Robert Posey Joshua Tropp	TTU	Jun 3, 2024	Active
2024-104	Novel Method and System for Measuring Message Effectiveness and Online Biometrics Using a Webcam	Brian Davis Justin Keene	External	Jun 12, 2024	Archived
2024-105	Mission-Adaptive, Modular Law Enforcement Helmet	Hossein Bahreinizad Suman K. Chowdhury Shuang Jin Gregory B. McKenna Gustavo Paulon Felipe Zambrini Santos Sudeesh Subramanian	TTU	Jun 24, 2024	Assess
2024-106	High Pasteurized Fruit/Food Products	Richard S. Meyer	TTU	Jun 25, 2024	Assess
2024-107	Natural Deodorant and Other Bodycare Products	Susan Penner	External	Jun 27, 2024	
2024-108	A Decorative Lighting System with Integrated Upper Room UVGI Array		External	Jul 8, 2024	Archived
2024-109	Phone and Tablet Case	Armani Williams	External	Jul 10, 2024	Archived
2024-110	Methods and Tools for Predicting Cardiometabolic Health by Combining Blood T2 Parameters with Other Measures	Erin B. Campbell David P. Cistola	HSC-EP	Jul 9, 2024	Active
2024-111	Fully Automated Laboratory Testing Kiosk	Alok K. Dwivedi Michelle Coffey	External	Jul 10, 2024	Archived
2024-112	BarnBook	Alyssa Bouren	External	Jul 10, 2024	Archived
2024-113	Staged Methods and Systems for the Valorization of Sludge and Biosolids	Eshan Abbasi	TTU	Jul 15, 2024	Related to
2024-114	ElevatED Insights	Jessica J. Gottlieb	External	Jul 16, 2024	Assess
2024-115	Virtual Telehealth Diagnosis & Screening of Musculoskeletal Injuries Through Camera of Smart Devices and Computer	Sucheshna Patil	External	Jul 18, 2024	Archived
2024-116	Strike Guard: Protection for Baseball Umpires, with a Convenient Built- In Ball and Strike Counter	Christopher Tobin	External	Jul 23, 2024	Archived
2024-117	Pollen Mediated Skin Allergen Challenge: An Alternate for Peanut	Akhilesh K. Shakya	TTU	Aug 2, 2024	Archived
2024-118	Allergy Diagnosis Multivalent Display of a Coccidioides SPP Antigen, Ag2-PRA-rCsa, on the N-Terminus of MS2 and PP7 Bacteriophage Virus-Like Particles	Milad Kheivari Hong Liu	TTU	Aug 5, 2024	
2024-119	A Novel Hybrid Flow-Batch Reactor for PFAS Thermal Treatment	Ebenezer V. Tumban George Ashraf Tharwat Haleem Hana	TTU	Aug 7, 2024	IPRC
2021 II <i>)</i>		Yuexiao Shen		1145 7, 2024	

Invention Number	Invention Title	ion Title Inventors		Disclosure Date	Disclosure Status
2024-120		Vishal Bandaru Rafael Cacao	Joint	Aug 8, 2024	Non-Enabling
	Lengin of Hospital Stay for Burn Patients	Alan W. Pang		8	
2024-121	A Novel Treatment of Obstructive Sleep Apnea Using Continuous Wave Interferometry Radar	Changzhi Li Christopher Williams Geyang Xu Ke Tom Xu	Joint	Aug 13, 2024	Archived
2024-122	Innovative Cryogel for Specific Autoantibodies Removal in Rheumatoid Arthritis Treatment	Akhilesh K. Shakya	TTU	Aug 14, 2024	IPRC
2024-123	Small Molecule Ligands Inhibitors for the Phosphorylated Tau at Ser23	Pradeep Kiran Jangampalli Adi	HSC	Aug 9, 2024	Archived
2024-123	(Ser 285) and Tyr44 (Tyr 310) in Alzheimer's Disease	P. Hemachandra Reddy	lise	Aug 9, 2024	Archiveu
	Discrete Wind Gust Analysis process and methodology.	Anthony L. Masias		Aug 20, 2024	Archived
2024-124		Matt B. Phelps C. Justin Van De Wiele	External		
2024-125	PEGylation of Therapeutic Proteins	Jon McCord Roger B. Sutton	HSC	Aug 21, 2024	IPRC
2024-126	Targeting Hormone Levels in the Brain	Deborah Clegg	HSC-EP	Aug 22, 2024	Active
2024-127	Aerobic Oxidation of Olefins to Make Polyether Polymers	Anthony F Cozzolino Thomas Ericson Mahshad Karimi Sheima Khatib	TTU	Aug 25, 2024	IPRC
	The ptxD/Phosphite System Activates the Plant Defense Responses and	Luis Rafael Herrera-Estrella		Aug 22	
2024-128	Improves Glycine max Performance Under Abiotic Stresses	Damar Lizbeth Lopez-Arredondo Francisco Perez-Zavala	TTU	Aug 23, 2024	Active
2024-129	The Bailoo Water 'Maze' (BWTM) Apparatus and Protocol: An Optimized, Reliable, and Repeatable Method for Screening Learning, Memory, and Aspects of Executive Functioning in Laboratory Mice	Jeremy D Bailoo	HSC	Aug 29, 2024	Assess
2024-130	Strings to Target Junctional Epithelium	Harvinder S. Gill Rohan Suresh Ingrole	TTU	Aug 29, 2024	Assess
2024-131	Devices for Targeting Multiple Gum Pockets	Harvinder S. Gill Rohan Suresh Ingrole	TTU	Aug 29, 2024	Assess

Appendix B

FY24 Provisional Patent Applications Filed

Intellectual Property Tracking Code	Inventions	Intellectual Property Title	Application Date	Application No.	Inventors	Туре
2022-067-01	2022-067	Methods and Protocols to Develop Food-Induced Allergic and Anaphylactic Responses in a Porcine Animal Model	Nov 1, 2023	63/595,130	Harvinder S. Gill Akhilesh K. Shakya Lazar Nesovic Brittany L. Backus	Provisional
2022-087-02	2022-087	Customized Medical Support	Feb 7, 2024	63/550,813	David Shane Harper	Provisional
2022-110-01	2022-110	Fascial Elevator for Surgical Cautery Tools	Sep 21, 2023	63/539,771	David Shane Harper	Provisional
2023-008-01	2023-008	Bi-Enzymatic-Assay for Detection of Organophosphate Nerve Agents	Jan 18, 2024	63/622,350	Jan Halame k Emilynn Banks	Provisional
2023-013-02	2023-013	Blister Pack with Needles for Drug Delivery	Nov 20, 2023	63/601,161	Jeremy Marston Chase Z. Zitterkopf	Provisional
2023-014-02	2023-014	Method and Apparatus for Measuring Inhibin A and Inhibin B and Algorithms for their Use as Biomarkers	Apr 25, 2024	63/638,491	Stephen J. Usala Harvinder S. Gill Gaurav Joshi Logan Wilks David Vineyard	Provisional
2023-015-02	2023-015	Treatment of Retinal Degeneration with Minocycline and Minocycline Analogs	Oct 24, 2023	63/545,491	Ted W. Reid Susan E. Bergeson	Provisional
2023-018-02	2023-018	Device, Methods, and Systems for Electrochemical Fixation of Nitrogen	Jun 10, 2024	63/658,202	Robert V. Duncan Cuikun Lin Christopher W. Carty Ian N. Jones	Provisional
2023-027-01	2023-027	Protective Wipes	Sep 6, 2023	63/580,806	Seshadri S. Ramkumar Bunmi K. Olopade	Provisional
2023-028-01	2023-028	KLF2 is a Novel Target for Covid Therapy	Oct 27, 2023	63/593,670	Hiranmoy Das	Provisional
2023-031-02	2023-031	Equine Naso-Gastric Tube Model and Related Methods	Mar 20, 2024	63/567,588	Luis Angel Morales Luna	Provisional
2023-032-01	2023-032	Herbicide Resistant HPPD Variants Identified via Directed Evolution	Sep 30, 2023	63/541,802	Huazhong Shi Hongjia Qian	Provisional
2023-037-02	2023-037	Humeral Nail Design and Related Methods	Feb 10, 2024	63/552,112	Brendan J. Mackay Jason N. Chen Caleb P. Gottlich	Provisional
2023-053-01	2023-053	Pharmacological Agonist of KLF2 Reduces Osteoclast Differentiation and Inflammation	Oct 27, 2023	63/593,641	Hiranmoy Das Bhaskar C. Das	Provisional
2023-056-01	2023-056	Systems and Methods for Directing a User to Manage a Wound in a Subject	Mar 6, 2024	63/562,160	Brittany Bankhead-Kendall Julie Chugh Peter Keller Richard Gale	Provisional
2023-059-02	2023-059	System And Method For Analyzing Wind Fields During Landfalling Tropical Cyclones	Aug 22, 2024	63/686,136	Brian D. Hirth Brian C. Ancell John L. Schroeder	Provisional
2023-060-01	2023-060	System and Method for Behavioral Analysis of Suspicious Events and Malicious Applications in Computer Systems	Sep 19, 2023	63/583,737	Tyler J. Wixtrom Akbar Siami Namin Tommy Dang Huyen Nguyen	Provisional
2023-073-01	2023-073	mRNA therapy for Congenital Nephrotic Syndrome (CNS)	Oct 30, 2023	63/546,470	Tetyana L. Vasylyeva Md Saimul Islam	Provisional
2023-083-01	2023-083	Metal Hydride and Metal Hydroxide Production in Sealed Environments	Feb 9, 2024	63/551,878	Robert V. Duncan Cuikun Lin Christopher W. Carty Andrew K. Gillespie Ian N. Jones Sandeep Puri	Provisional

Intellectual Property Tracking Code	Inventions	Intellectual Property Title	Application Date	Application No.	Inventors	Туре
2023-086-01	2023-086	Indacaterol for Treatment of Diet-induced Obesity	Jan 12, 2024	63/620,574	Prasanth V. Chelikani Mahmoud Salama Ahmed Heba A. Ewida	Provisional
2023-088-01	2023-088	Genome Editing of Plant JAZ Genes for Pathogen Resistance	Sep 1, 2023	63/536,317	Christopher Dale Rock Sunitha S. Sukumaran	Provisional
2023-093-01	2023-093	Gas Separation and Purification	Mar 12, 2024	63/564,166	Robert V. Duncan Cuikun Lin Andrew K. Gillespie Ian N. Jones	Provisional
2023-095-01	2023-095	Magnetic Flow Cytometry with Enhanced Multiplicity	Mar 7, 2024	63/562,374	Kai Wu Jian-Ping Wang Vinit Kumar Chugh	Provisional
2023-096-01	2023-096	Methods and Systems for Recovery of Rare Earth Elements from Magnets	Jan 9, 2024	63/619,024	Gerardine G. Botte Christian Alvarez Pugliese	Provisional
2023-111-01	2023-111	Methods and Systems for Engineering C-Band Telecom- Wavelength Quantum Defects	Sep 25, 2023	63/585,111	Hongxing Jiang Jing Li Jingyu Lin Musab Almohammad Zaid Alemoush	Provisional
2023-112-04	2023-112	Organo-Selenium Compounds that Confer Antimicrobial Properties and Can Attach to Cellulose-Based Fibers and Textiles		63/681,965	Ted W. Reid Noureddine Abidi Phat L. Tran Nick B. Bergfeld	Provisional
2023-119-01	2023-119	Electrical Reflectance Method for Solution, Nanoparticle, and Cell Analysis and Identification	Jan 11, 2024	63/619,881	Dimitri S. Pappas Gordon Christopher Derek Johnston	Provisional
2024-001-01	2024-001	Colorimetric Polymer Time-Temperature Indicators	Apr 23, 2024	63/633,600	Wei Li Md Nayeem Hasan Kashem	Provisional
2024-005-01	2024-005	Ammonia Absorbents Using Salts in Metal Fiber Matrix	Dec 5, 2023	63/606,286	Mahdi Malmali Alon McCormick Tejas Nivarty Jeff Schott Sufian Bin Humayun	Provisional
2024-007-01	2024-007	Acetylcholinesterase Inhibition from N- (Phosphonomethyl) Glycine (Glyphosate)	Feb 22, 2024	63/556,467	Jan Halame k Ashley B. Newland Lenka Halamkova	Provisional
2024-010-01	2024-010	System and Method for Increasing a Storage Capacity of an Underground Storage Reservoir Using an Electrical Submersible Pump	Jan 10, 2024	63/619,362	Marshall C. Watso n Hossein Emadi Nachiket B. Arbad	Provisional
2024-012-01	2024-012	Rapid Fentanyl Sensing via Biochemical Assay	Feb 22, 2024	63/556,480	Jan Halame k Emilynn Banks	Provisional
2024-017-01	2024-017	Ad36E4orf1 Peptide Fragments as Anti-diabetic Agents	Oct 10, 2023	63/543,338	Nikhil V. Dhurandhar Vijay Hegde	Provisional
2024-023-01	2024-023	Polymer Nanodiscs as Novel Nanocarriers for Anticancer Drug Delivery	Mar 23, 2024	63/569,130	Hongjun Liang	Provisional
2024-026-01	2024-026	Meat Exudate as a Natural Flavor Enhancer	Jun 10, 2024	63/658,269	Jerrad F. Legako Tyson Brown	Provisional
2024-031-01	2024-031	Method for developing de novo transgenic and gene- edited shoots without tissue culture	Aug 27, 2024	63/687,694	Luis Rafael Herrera-Estrella Gunvant Patil Arjun Ojha Kshetry	Provisional
2024-032-01	2024-032	Auditory Binaural Beats Guided by Electroencephalography Feedback	Apr 1, 2024	63/572,772	Chanaka N. Kahathuduwa Jessica Blume Chathurika Dhanasekara	Provisional
2024-033-01	2024-033	Fast Startup Baseband Amplifier	Jan 19, 2024	63/622,700	Changzhi Li	Provisional
2024-034-01	2024-034	Clutter Cancellation and Other Related Methods	Mar 8, 2024	63/562,995	Aaron B. Carman Changzhi Li Aaron B. Carman	Provisional
		Placenta-Targeted Nanoparticles or Conjugates and			Shu Wang	
2024-035-01	2024-035	Methods of Use Thereof	Jul 5, 2024	63/668,025	George I. Henderson Sambantham Shanmugam	Provisional
2024-044-01	2024-044	Optimal Power Outage Restoration in Distribution Systems Assisted by State Estimation	Jun 12, 2024	63/659,164	Stephen B. Bayne Manohar Chamana Konrad Erich Kork Schmitt	Provisional
2024-048-01	2024-048	System and Method for Designing and Fabricating a Three-Dimensional Reciprocal Lattice Structure	Jun 21, 2024	63/662,495	Sina Mostafavi Tahmures Ghiyasi	Provisional

Intellectual Property Tracking Code	Inventions	Intellectual Property Title	Application Date	Application No.	Inventors	Туре
					Edgar H. Montejano Cole D. Howell	
2024-049-01	2024-049	Pan EphB tyrosine kinase forward signaling inhibitors in EphB-associated diseases	Aug 20, 2024	63/684,938	Mahmoud Salama Ahmed Heba A. Ewida Syed M. Tareq Jenny L. Wilkerson Harrison Benson	Provisional
2024-055-01	2024-055	A Nuclear Energy Cycle and Related Methods	Mar 22, 2024	63/568,731	Robert V. Duncan	Provisional
2024-057-01	2024-057	System and Method for Generalized Brunauer-Emmett- Teller Isotherm for Single and Mixed-Gas Multilayer Adsorption Equilibria	Jun 30, 2024	63/666,190	Chau-Chyun Chen Usman Hamid	Provisional
2024-060-01	2024-060	DLG1 to Enhance Insuilin Signaling Pathway	Jun 18, 2024	63/661,183	Nikhil V. Dhurandhar Vijay Hegde	Provisional
2024-065-01	2024-065	A Sorghum Gamma Kafirin Mutant with Improved Protein Digestibility in the Grain	Mar 25, 2024	63/569,631	Yinping Jiao	Provisional
2024-065-02	2024-065	A Sorghum Gamma Kafirin Mutant with Improved Protein Digestibility in the Grain	Mar 26, 2024	63/570,107	Yinping Jiao	Provisional
2024-068-01	2024-068	Photoconductive Switches Based On AlN And BN Ultrawide Bandgap Semiconductors	Mar 19, 2024	63/567,043	Jingyu Lin Hongxing Jiang Jing Li Weiping Zhao	Provisional
2024-069-01	2024-069	System and Method for Target Isolation and Enhancement Through Coherent Multi-View Vital- Doppler Detection	Jul 26, 2024	63/676,140	Changzhi Li Syed Doha Uddin Christopher Williams Kenneth Balogh Davood Khoozan Vikram Ramprakash	Provisional
2024-076-01	2024-076	Closed-Loop Optical Neuromodulation System ("CLONE") for the Monitoring and Management of Hot Flashes	-		Alok K. Dwivedi Deborah Clegg Pallavi Dubey	Provisional
2024-080-01	2024-080	New Members of Chirality Family - Turbo and Staircase Chirality	May 6, 2024	63/642,932	Guigen Li	Provisional
2024-083-01	2024-083	Devices, Systems, and Methods for Junctional Epithelial Targeting (JET) by Agitation	May 30, 2024	63/653,894	Harvinder S. Gill David Syn Seth Boese	Provisional
2024-084-01	2024-084	Junctional Epithelium Targeter	May 16, 2024	63/648,285	Harvinder S. Gill Rohan Suresh Ingrole Ghanesh Kesav Venkatesa Prabhu	Provisional
2024-090-01	2024-090	Tissue Sample Template	Jul 24, 2024	63/657,068	Paul Egan Alan W. Pang Scott Boyer Crystian Zamora Ethan Le	Provisional
2024-097-01	2024-097	Pet Food Probiotics for Health and Safety	Aug 12, 2024	63/682,097	Kendra K. Nightingale Mindy Brashears	Provisional
2024-098-01	2024-098	Methods and Systems for Making Asphalt Composite with Recycled Wind Turbine Material	Jul 18, 2024	63/673,100	Rajesh Shrikant Khare Paul Egan Priya Gill Tewodros Ghebrab Pawel Polaczyk Samantha Kristufek	Provisional
2024-103-01	2024-103	Infrared Emissive Conjugated Polymers, Composites, and Compositions	Jun 6, 2024	63/657,016	Joshua Tropp Robert Posey Nikita Gill	Provisional
2024-126-01	2024-126	Targeting Hormone Levels in the Brain	Aug 29, 2024	63/688,770	Deborah Clegg	Provisional
2024-128-01	2024-128	Use of a PTXD/Phosphite System to Enhance Plant or Seed Performance	Aug 24, 2024	63/686,746	Damar Lizbeth Lopez-Arredondo Luis Rafael Herrera-Estrella Francisco Perez-Zavala	Provisional

Appendix C

FY24 Issued US Utility Patents

Intellectual Property Tracking Code	Country Filing	of Intellectual Property Title	Application Date	Intellectual Property Number	Issue Date	Inventors
2019-041-02	United States	HNQO1-ACTIVATABLE FLUORESCENT PROBE FOR IMAGING CANCER CELLS IN-VITRO AND IN-VIVO	Nov 17, 2020	11,957,766	Apr 16, 2024	Kalkunte S Srivenugopal Surendra R Punganuru
2019-129-03	United States	SEMICONDUCTOR OPTICAL PHASED ARRAYS (OPA'S) AND METHODS RELATED THERETO	Aug 26, 2022	11,747,658	Sep 5, 2023	Jingyu Lin Hongxing Jiang Changzhi Li Jing Li
2020-010-01	United States	CHIP PRODUCT	Jan 29, 2020	12,004,540	Jun 11, 2024	Richard S. Meyer
2020-059-05	United States	Respirator Designed to Mitigate Deep Lung Infections	Mar 16, 2021	12,053,578	Aug 6, 2024	Robert V. Duncan Annette Sobel Cuikun Lin Moses Trevor Dardik Robert Baca Christopher W. Carty
2020-060-02	United States	BACE1 INHIBITORY LIGAND MOLECULES AGAINST AMYLOID BETA-INDUCED SYNAPTIC AND MITOCHONDRIAL TOXICITIES	Oct 8, 2021	12,042,492	Jul 23, 2024	P. Hemachandra Reddy Pradeep Kiran Jangampalli Adi
2020-103-03	United States	Using Ultrasound and Artificial Intelligence to Assess Muscle Stretch Reflexes	Dec 13, 2022	11,918,409	Mar 5, 2024	Jongyeol Kim Richard Hoppmann
2022-097-01	United States	PEDIATRIC CHILD POSITION HOLDER AND METHODS OF USING SAME	Nov 7, 2019	11,903,864	Feb 20, 2024	Frank Quattromani
2023-033-01	United States	GOLF BALL LOCATING NONLINEAR RADAR SYSTEM EMPLOYING A SNOWFLAKE NONLINEAR PASSIVE TAG AND ASSOCIATED METHOD(S)	May 10, 2023	11,892,537	Feb 6, 2024	Changzhi Li Leya Zeng Dan Fazzini Steven W. Johnson Robert Fazzini Rhyse J. Joseph Vishal G. Yadav emmanuel camacho
D-0760-18	United States	Media Compositions for Promoting Bacterial and Fungal Growth	Jun 12, 2020	11,753,617	Sep 12, 2023	Mark Lyte
D-1037-04	United States	SYSTEM AND METHOD FOR DETERMINATION OF VISCOELASTIC PROPERTIES FROM GIVEN TIME- DEPENDENT PENETRATION OF A TEST LIQUID CREEPING INSIDE A CHANNEL	Jun 22, 2018	12,038,361	Jul 16, 2024	Sukalyan Bhattacharya
D-1072-04	United States	MICRODEVICE FOR CELL SEPARATION UTILIZING ACTIVATION PHENOTYPE	Jul 29, 2020	12,013,396	Jun 18, 2024	Dimitri S. Pappas
D-1195-04	United States	MICROFLUIDIC DEVICES AND METHODS FOR BIOASSAYS	Oct 6, 2020	11,998,915	Jun 4, 2024	Siva A. Vanapalli Swastika S. Bithi
D-1196-03	United States	APPARATUS AND METHOD FOR SEPARATING LIQUID OXYGEN FROM LIQUIFIED AIR	Jun 27, 2017	11,746,013	Sep 5, 2023	Robert V. Duncan
D-1530-03	United States	COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF ALT CANCER	Mar 9, 2019	11,913,077	Feb 27, 2024	Patrick Reynolds Balakrishna Koneru Shawn J. Macha
D-1536-02	United States	GLYCOSIDE HYDROLASES TO TREAT BIOFILM- ASSOCIATED INFECTIONS	Jul 16, 2019	11,878,050	Jan 23, 2024	Kendra P Rumbaugh Derek F. Fleming
D-1563-03	United States	Stable Cell Line Secreting Chikungunya Virus (CHIKV) Virus Like Particles (VLP) for Vaccines	Sep 26, 2019	11,827,675	Nov 28, 2023	9 Himanshu Garg Anjali Joshi
D-1567-02	United States	SURFACE COATING FOR REDUCTION OF AERODYNAMIC NOISE AND VIBRATIONS	Oct 9, 2020	12,012,981	Jun 18, 2024	Burak Aksak Luciano Castillo Humberto Bocanegra Evans

Intellectual Property Tracking Code	Country o Filing	^{of} Intellectual Property Title	Application Date	Intellectual Property Number	Issue Date	Inventors
D-1580-04	United States	Method and System for Adsorbed Phase Activity Coefficients for Mixed-Gas Adsorption	Oct 21, 2019	12,044,694	Jul 23, 2024	Chau-Chyun Che n Hla Tun Harnoor Kaur
D-1591-02	United States	TREATMENT STRATEGIES AGAINST ANTHRAX BY INTERFERING WITH CRITICAL HOST FACTORS	Oct 23, 2019	11,946,047	Apr 2, 2024	Mingtao Zeng Lihong Wu

Appendix D

TTU System Invention Disclosures by College and Department

School/College	Total Disclosures	Percent
TTU Engineering	43	33%
TTUHSC Medicine	21	16%
External	16	12%
TTU Arts & Sciences	11.5	9%
TTU Davis College	10.5	8%
TTUHSC Pharmacy	8	6%
TTUHSC El Paso Medicine	6	5%
TTU Human Sciences	5.5	4%
TTU Vet Medicine	4.5	3%
Other*	3	2%
TTUHSC Health Professions	1	1%
TTUHSC Nursing	1	1%
Totals	131	100%

* Other schools/colleges include TTU Administration, Architecture and Theatre

TTU Invention Disclosures by TTU Department

Arts & Sciences	Percent by Dept.
Environmental Toxicology	39%
Chemistry & Biochemistry	35%
Physics & Astronomy	1%
National Wind Institute	4%
Renewable Energy	4%
Biological Sciences	4%

Engineering	Percent by Dept.
Chemical	45%
Mechanical	29%
Electrical	13%
Industrial	7%
Petroleum	5%
Civil	1%

Davis College	Percent by Dept.
Animal & Food Science	66%
Plant & Soil Science	29%
Natural Resource	5%
Management	3%

TTU HSC School of Medicine Invention Disclosures by Department

Medicine	Percent by Department
Pediatrics	20%
Surgery	18%
Cell Biology & Biochemistry	12%
Anesthesiology	8%
Obstetrics & Gynecology	8%
Pharmacology & Neurology	8%
Orthopaedic Surgery	8%
Cell Physiology & Molecular Biophysics	6%
Internal	6%
Ophthalmology	4%
Otolaryngology	4%