

*The Rules and Regulations of the Board of Regents
of the Texas Tech University System*

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[with amendments through: 2-26-2021]

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Note: See also ... BOARD POLICY STATEMENTS for:

- Audit Committee Charter
- Comprehensive Cash Pool – CCP
- Investment Policy Statement – LTIF
- Seals of the Texas Tech University System

Missions.....1

Dates Approved or Amended:

-- *Comprehensive review*.....12-12-2014

-- *Revised TTUHSC Mission statement*.....05-19-2017

Chapter 00 -- Definitions, Style, and Citations

Dates Approved or Amended:

-- *Comprehensive review/amendments*10-10-2014

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-- Technical adjustments.....	10-10-2014	• to §01.02.1.f & §01.02.6.b(6)
-- Technical adjustment	12-12-2014	• to §01.02.6.b(5)
-- System clean-up legislation revisions	10-09-2015	• to §01.02.1.c, .e & .g
-- Added Board self-evaluation policy.....	03-02-2018	• as §01.01.4
-- Added delegations of authority.....	04-06-2020	• to §01.02.1.b & §01.02.1.c
-- Updated FAI Committee & deleted IAC ..	12-10-2020	• to §01.02.8.d(3), (1), & delete f

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-- *State contracting law changes*.....10-09-2015

-- *State contracting law changes*.....05-20-2016

- added §02.01.3.n & §02.04.2.o
- conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b
- added §02.04.2.p
- conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b

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Chapter 03 -- Personnel

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- *Comprehensive review/amendments*10-11-2013
- *Technical adjustment*10-10-2014
 - to §03.01.5.b(2)
- *Amendments as noted*10-09-2015
 - revised §03.01.2.e to conform to changes in state contracting laws
 - technical adjustment to §03.07.2.a(2)
- *Various amendments as noted*.....05-20-2016
 - various sections revised due to 2015 state contracting law changes, including §: 03.01 ... 03.01.1.d ... 03.01.1.f ... 03.01.2.a ... 03.01.2.j-k ... 03.01.5
 - various sections conformed to EEO provisions in Title IX, Title VII and U.S. Executive Order 11246, including §: 03.01.2.h; 03.01.8.a
 - clarifying change to §03.01.5.b(3)-(4) re: the approving authority for outside employment
- *Various amendments as noted*.....03-02-2018
 - updates and conforming changes due to revisions in state law relating to contracts and conflicts of interest, including to: §03.01.2.j ... §03.01.5.b(3) ... §03.03.2 ... §03.03.3.a ... §03.03.5.a
- *Conforming amendment*05-17-2019
 - to §03.03.2 to conform with §03.03.5.a
- *Various amendments as noted*.....12-13-2019
 - §03.01.11.e-f were amended and §03.01.11.l was added to more fully specify the steps that must be taken to assure proper supervisory relationships when a nepotism exception is granted.

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Chapter 04 -- Academic Affairs

Dates Approved or Amended:

-- Comprehensive review/amendments	08-09-2013	
-- Technical adjustment	10-10-2014	• to §04.04.1
-- Miscellaneous clean-up revisions.....	10-09-2015	• to §04.11 header & §04.11.3
--Rename Horn Professorship	08-06-2020	• to §04.01.1

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Chapter 05 -- Student Affairs

Dates Approved or Amended:

- *Comprehensive review/amendments*08-09-2013
- *Amend §05.01.2 re: parking fees*08-10-2018 – parking fees, but not parking regulations,
are approved by the board
- *Amendment re: admission policies*12-10-2020 •to §05.02.1 ...delegate to the Chancellor
the responsibility to set campus
admission standards

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Chapter 06 -- Institutional Advancement

Dates Approved or Amended:

-- Comprehensive review/amendments	08-09-2013	
-- Amendment	10-11-2013	• added §06.01.5
-- Technical adjustment	10-10-2014	• to §06.05.4.b
-- Amendments	03-06-2015	• to §06.03.2 and §06.03.3
-- System clean-up legislation revisions	10-09-2015	• to §06.01.2.b

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Chapter 07 -- Fiscal Management

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Amendment*03-06-2015
 - §07.03.6 re: fraud disciplinary actions
 - many sections amended, including §: 07.02.4.a, 07.02.6, 07.02.7.o, 07.03.2.b, and extensively throughout 07.12
- *State contracting law changes*.....10-09-2015
- *Multiple and various amendments*05-20-2016
 - Additional state contracting law changes ... amendments to multiple sections, including: §07.02.6, 07.02.7, 07.03, and extensively throughout 07.12
 - Updates to audit provisions ... to reflect changes in the audit mission, code of ethics, and audit standards adopted by the Institute of Internal Auditors.
 - Revisions to emergency approvals of a budget adjustment or a contract ... to conform the budget policy to the contract policy and require that such proposals be submitted by the Chancellor.
 - Change in the 'early start' provision for consulting contracts of more than \$25,000 ... to require that such proposals be submitted by the Chancellor.
- *Amendment*10-14-2016
 - §07.02.5.b revised to bring the use-of-debt-proceeds provision in line with U.S. Treasury regulations
- *Amendment*08-11-2017
 - amended §07.12.4.e(2) to require prior review of \$25,000-or-less consulting contracts by the System CFO, rather than by Board chair and chair of Finance & Administration Committee
- *Amendment*10-20-2017
 - added a new §07.12.4.c for a delegation of contract approval authority to the health-related presidents for certain continuing contractual relationships; and deleted old §07.12.4.d due to it conflicting with §07.12.3.b.
- *Amendment*03-02-2018
 - changes re: debt and equipment financing policies (§07.05.2.b and §07.05.4.a) ... student financial assistance program administration (§07.10.4) ... contracts and conflicts of interest (various in §07.12) ... name of the Office of Treasury
- *Amendment*08-09-2019
 - changed HEAF to HEF throughout;
 - added new §07.05.2.b(6) re: Chancellor approval of RFS funding for minor projects;
 - added delegations of authority for state comptroller deposits (§07.07.4) and primary/secondary depositories (§07.08.3)

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Chapter 08 -- Facilities

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Amendments as noted*10-10-2014:
 - §08.01.9 – revised FP&C fees ... • §08.02.4.e – re: honorary statutes ... • §08.07.5 – re: alcohol policy
- *Amendments as noted*10-20-2017:
 - §08.01.2 – revised to a general statement defining major and minor projects ... • §08.01.3 – overhaul of approval processes for major projects ... • §08.01.7 – emergency authorization for use of contingencies
- *Amendments*05-18-2018:
 - §08.01.3.a – added feasibility study option
- *Amendment added §08.12*.....08-10-2018:
 - (1) allows institutional presidents, rather than the board, to adopt policies that regulate traffic and parking on their respective campuses; and (2) continues to require board approval of parking fee rates
- *Various amendments as noted*.....12-13-2019:
 - increased the threshold for a major project from \$2 million to \$4 million;
 - revised the requirement for use of a Construction Manager Agent on major projects;
 - with the approval of the Board, a major project could be managed by the institution rather than by FP&C;
 - upon a request by a president, FP&C could be designated to manage a minor project;
 - when new furniture/equipment is proposed to be purchased for a major project, the president must certify that existing and/or surplus furniture/equipment is not suitable for the space being constructed; and
 - the public art fee and the landscape enhancement fee were each set at up to 1% of a major project’s budget or \$500,000, whichever is less.

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Chapter 09 -- Investments and Endowments

Dates Approved or Amended:

- *Comprehensive review/amendments*08-08-2014
- *Miscellaneous clean-up revisions*10-09-2015 • to §09.07.3
- *Amendment re: SITIF management*08-10-2018
 - to §09.02 to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF
- *Various amendments as noted*12-13-2019
 - to update SITIF references to the new STIF and ITIF; and
 - to add language to handle exceptions to investments requested by a donor
- *Various amendments as noted*12-10-2020
 - to §09.00, §09.01.2, §09.02, and §09.03 ...replace STIF and ITIF references to the new CCP;
 - to §09.02 ...delete the roles and responsibility of the IAC; and
 - to §09.02.1 and §09.02.2 ...define/clarify the roles of the CFO and CIO

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Dates Approved or Amended:

-- Comprehensive review/amendments10-09-2015

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Dates Approved or Amended:

-- Comprehensive review/amendments10-11-2013

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Chapter 12 -- Honorifics and Seals

Dates Approved or Amended:

-- <i>Comprehensive review/amendments</i>	08-09-2013	
-- <i>Amendments</i>	12-12-2014	• added new §12.06 re: honorary statues and re-numbered subsequent sections
-- <i>Amendments</i>	05-19-2017	• added new §12.09 re: a seal monument for TTU-Costa Rica
-- <i>Amendments</i>	02-26-2021	• added new §12.10 re: TTU School of Veterinary Medicine seal

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