# The Rules and Regulations of the Board of Regents of the Texas Tech University System

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[with amendments through: 8-05-2021]

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Note: See also ... **BOARD POLICY STATEMENTS** for:

-- Audit Committee Charter

-- Comprehensive Cash Pool – CCP

-- Investment Policy Statement – LTIF

-- Seals of the Texas Tech University System
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-- Comprehensive review.............................12-12-2014
-- Revised TTUHSC Mission statement......05-19-2017
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-- Technical adjustments........................10-10-2014
-- Technical adjustment ........................12-12-2014
-- System clean-up legislation revisions ....10-09-2015
-- Added Board self-evaluation policy........03-02-2018
-- Added delegations of authority.............04-06-2020
-- Updated FAI Committee & deleted IAC..12-10-2020
-- Technical adjustment .........................05-13-2021
-- Amendments re Standing Committees ....05-25-2021

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   • added §02.01.3.n & §02.04.2.o
   • conforming changes to Ch. 07 section
     citations in §02.03.2.c & §02.03.3.b
-- State contracting law changes..............05-20-2016
   • added §02.04.2.p
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-- Technical adjustment .............................10-10-2014

-- Amendments as noted .............................10-09-2015

  • revised §03.01.2.e to conform to changes in state contracting laws
  • technical adjustment to §03.07.2.a(2)

-- Various amendments as noted ..................05-20-2016

  • various sections revised due to 2015 state contracting law changes, including §§ 03.01 ... 03.01.1.d ... 03.01.1.f ... 03.01.2.a ... 03.01.2.j-k ... 03.01.5
  • various sections conformed to EEO provisions in Title IX, Title VII and U.S. Executive Order 11246, including §§ 03.01.2.h; 03.01.8.a
  • clarifying change to §03.01.5.b(3)-(4) re: the approving authority for outside employment

-- Various amendments as noted ..................03-02-2018

  • updates and conforming changes due to revisions in state law relating to contracts and conflicts of interest, including to: §03.01.2.j ... §03.01.5.b(3) ... §03.03.2 ... §03.03.3.a ... §03.03.5.a

-- Conforming amendment ..........................05-17-2019

  • to §03.03.2 to conform with §03.03.5.a

-- Various amendments as noted ..................12-13-2019

  • §03.01.11.e-f were amended and §03.01.11.l was added to more fully specify the steps that must be taken to assure proper supervisory relationships when a nepotism exception is granted.

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-- Technical adjustment ..........................10-10-2014 • to §04.04.1
-- Miscellaneous clean-up revisions...........10-09-2015 • to §04.11 header & §04.11.3
-- Rename Horn Professorship ..................08-06-2020 • to §04.01.1
-- Deletion of Section related to namings .....08-05-2021 • to §04.11.3

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-- Amend §05.01.2 re: parking fees..............08-10-2018 – parking fees, but not parking regulations, are approved by the board
-- Amendment re: admission policies.........12-10-2020 – to §05.02.1 ...delegate to the Chancellor the responsibility to set campus admission standards

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• added §06.01.5
• to §06.05.4.b
• to §06.03.2 and §06.03.3
• to §06.01.2.b
• to delete §06.06 related to namings
**Chapter 07 -- Fiscal Management**

**Dates Approved or Amended:**

- comprehensive review/amendments ..........02-28-2014
- Amendment ..............................................03-06-2015
- State contracting law changes .............10-09-2015

**Multiple and various amendments ....05-20-2016**

- Additional state contracting law changes ... amendments to multiple sections, including: §07.02.6, 07.02.7, 07.03, and extensively throughout 07.12
- Updates to audit provisions ... to reflect changes in the audit mission, code of ethics, and audit standards adopted by the Institute of Internal Auditors.
- Revisions to emergency approvals of a budget adjustment or a contract ... to conform the budget policy to the contract policy and require that such proposals be submitted by the Chancellor.
- Change in the ‘early start’ provision for consulting contracts of more than $25,000 ... to require that such proposals be submitted by the Chancellor.

- Amendment ..............................................10-14-2016

- Amendment ..............................................08-11-2017

- Amendment ..............................................10-20-2017

- Amendment ..............................................03-02-2018

- Amendment ..............................................08-09-2019

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-- Amendments as noted .............................10-10-2014:
  • §08.01.9 – revised FP&C fees ...
  • §08.02.4.e – re: honorary statutes ...
  • §08.07.5 – re: alcohol policy
-- Amendments as noted .............................10-20-2017:
  • §08.01.2 – revised to a general statement defining major and minor projects ...
  • §08.01.3 – overhaul of approval processes for major projects ...
  • §08.01.7 – emergency authorization for use of contingencies
-- Amendments ........................................05-18-2018:
  • §08.01.3.a – added feasibility study option
-- Amendment added §08.12 .......................08-10-2018:
  • (1) allows institutional presidents, rather than the board, to adopt policies that regulate traffic and parking on their respective campuses; and (2) continues to require board approval of parking fee rates
-- Various amendments as noted...............12-13-2019:
  • increased the threshold for a major project from $2 million to $4 million;
  • revised the requirement for use of a Construction Manager Agent on major projects;
  • with the approval of the Board, a major project could be managed by the institution rather than by FP&C;
  • upon a request by a president, FP&C could be designated to manage a minor project;
  • when new furniture/equipment is proposed to be purchased for a major project, the president must certify that existing and/or surplus furniture/equipment is not suitable for the space being constructed; and
  • the public art fee and the landscape enhancement fee were each set at up to 1% of a major project’s budget or $500,000, whichever is less.
-- Amendments ........................................08-05-2021:
  • Deleted Section 08.05 related to namings

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- **Comprehensive review/amendments**........8-08-2014
- **Miscellaneous clean-up revisions**.............10-09-2015
  - to §09.07.3
- **Amendment re: SITIF management**.............8-10-2018
  - to §09.02 to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF
- **Various amendments as noted**..............12-13-2019
  - to update SITIF references to the new STIF and ITIF; and
  - to add language to handle exceptions to investments requested by a donor
- **Various amendments as noted**..............12-10-2020
  - to §09.00, §09.01.2, §09.02, and §09.03 ...replace STIF and ITIF references to the new CCP;
  - to §09.02 ...delete the roles and responsibility of the IAC; and
  - to §09.02.1 and §09.02.2 ...define/clarify the roles of the CFO and CIO

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  09.09.3  Approval
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*Dates Approved or Amended:*
-- Comprehensive review/amendments .......10-09-2015

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### Chapter 11 -- Athletics at TTU and ASU

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