

*The Rules and Regulations of the Board of Regents
of the Texas Tech University System*

Table of Contents

[with amendments through: 11-17-2022]

<u>Chapters</u>	<u>Chapter Titles</u>
---	Missions
00	Definitions, Style, and Citations
01	Bylaws
02	Administration
03	Personnel
04	Academic Affairs
05	Student Affairs
06	Institutional Advancement
07	Fiscal Management
08	Facilities
09	Investments and Endowments
10	Intellectual Property Rights
11	Athletics at TTU and ASU
12	Honorifics and Seals
13	Namings

Note: See also ... BOARD POLICY STATEMENTS for:

- Audit Committee Charter
- Comprehensive Cash Pool – CCP
- Investment Policy Statement – LTIF
- Mission Driven Investment Fund – MDIF
- Seals of the Texas Tech University System

Missions.....1

Dates Approved or Amended:

- Comprehensive review.....12-12-2014*
- Revised TTUHSC Mission statement.....05-19-2017*
- Revised mission statement of ASU05-13-2021*
- Updated TTUS mission statement and added mission statement for MSU.....09-01-2021*

Chapter 00 -- Definitions, Style, and Citations

Dates Approved or Amended:

-- *Comprehensive review/amendments*10-10-2014

-- *Added definition for MSU*09-01-2021

00.01	Definitions	1
00.01.1	Definitions relating to: Board of Regents	1
	a. "board"	1
	b. "board policy statement"	1
	c. "CAE"	1
	d. "chair"	1
	e. " <i>Regents' Rules</i> "	1
	f. "secretary".....	1
	g. "vice chair".....	1
00.01.2	Definitions relating to: Texas Tech University System	2
	a. "chief executive officer"	2
	b. "TTU system" or "TTUS"	2
	c. "TTU system – principal officers"	2
00.01.3	Definitions relating to: TTU system administration	2
	a. "chief financial officer".....	2
	b. "general counsel"	2
	c. "Office of the Chancellor".....	2
	d. "TTU system administration" or "TTUSA"	2
	e. "TTU system administration officers"	2
00.01.4	Definitions relating to: component institutions	3
	a. "ASU"	3
	b. "chief fiscal officer".....	3
	c. "component institution(s)"	3
	d. "Office of the President"	3
	e. "principal officers of ASU"	3
	f. "principal officers of MSU"	3
	g. "principal officers of TTU"	3
	h. "principal officers of TTUHSC"	3
	i. "principal officers of TTUHSC El Paso"	3
	j. "TTU".....	3
	k. "TTUHSC"	3
	l. "TTUHSC El Paso"	3
	m. "MSU".....	4

00.01.5	Definitions – in general	4
	a. "ex officio member".....	4
	b. "operating manuals"	4
00.02	Style	4
00.02.1	<i>Regents' Rules</i>	4
00.02.2	Operating manuals.....	4
00.03	Citations	4
00.03.1	Formal citations	4
00.03.2	Informal citations	4
00.03.3	Particular provisions.....	5

Chapter 01 -- Bylaws

Dates Approved or Amended:

-- Comprehensive review/amendments	02-28-2014	
-- Technical adjustments.....	10-10-2014	• to §01.02.1.f & §01.02.6.b(6)
-- Technical adjustment	12-12-2014	• to §01.02.6.b(5)
-- System clean-up legislation revisions	10-09-2015	• to §01.02.1.c, .e & .g
-- Added Board self-evaluation policy.....	03-02-2018	• as §01.01.4
-- Added delegations of authority.....	04-06-2020	• to §01.02.1.b & §01.02.1.c
-- Updated FAI Committee & deleted IAC ..	12-10-2020	• to §01.02.8.d(3), (1), & delete f
-- Technical adjustment	05-13-2021	• to §01.02.8.d(3)
-- Amendments re Standing Committees	05-25-2021	• to §01.02.8.a – c & §01.02.8.d(1) – (4)

01.01	Responsibilities of the board.....	1
01.01.1	Role and responsibilities assigned by law	1
01.01.2	Policy direction and goals establishment.....	1
01.01.3	Fiduciary responsibility.....	1
01.01.4	Board self-evaluations	2
01.02	Governance.....	2
01.02.1	Authority	2
01.02.2	Composition.....	4
01.02.3	Chair of the board	5
01.02.4	Vice chair of the board.....	6
01.02.5	Taking office.....	7
01.02.6	Secretary of the board	7
01.02.7	Board meetings	9
01.02.8	Board committees.....	12
	a. Appointments.....	12
	b. Authority of standing committees.....	12
	c. Times and places of committee meetings.....	12
	d. Standing committees	12
	(1) Academic, Clinical, and Student Affairs	12
	(2) Facilities	13
	(3) Finance and Investments.....	14
	(4) Audit.....	15
	e. Special committees.....	16
01.02.9	Procedures.....	17
01.02.10	Board and committee meetings attendance	20
01.03	Board conduct	21

01.04 New chancellor selection.....21

01.05 Board members service on internal support and advisory groups...21

 01.05.1 Service in a non-voting capacity required21

 01.05.2 Exception for service on a search or search
 advisory committee.....22

01.06 Board seating at commencement.....22

01.07 *Regents' Rules* review22

01.08 Amendments.....23

Chapter 02 -- Administration

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *State contracting law changes*.....10-09-2015
 - added §02.01.3.n & §02.04.2.o
 - conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b
- *State contracting law changes*.....05-20-2016
 - added §02.04.2.p
 - conforming changes to Ch. 07 section citations in §02.03.2.c & §02.03.3.b

02.01	Chancellor	1
02.01.1	Appointment.....	1
02.01.2	Tenure	1
02.01.3	Primary duties	1
02.02	TTU system administration	3
02.02.1	Activities coordination	3
02.02.2	Plans initiation.....	3
02.02.3	Service provision.....	3
02.02.4	Evaluation.....	4
02.02.5	Performance goals achievement.....	4
02.02.6	Other duties.....	4
02.03	Certain administrative appointments	4
02.03.1	Board appointments (chancellor and presidents).....	4
02.03.2	Chancellor's appointments	6
02.03.3	Presidents' appointments.....	7
02.03.4	Performance evaluations.....	8
02.03.5	Chancellor's salary	8
02.03.6	TTU system administration officer salaries.....	8
02.03.7	Time of salary actions	9
02.03.8	Component institution officer salaries	9
02.03.9	Certain administrator contracts.....	9
02.04	Component institution presidents	9
02.04.1	Chief executive officers.....	9
02.04.2	Presidents' authority	9

Chapter 03 -- Personnel

Dates Approved or Amended:

- *Comprehensive review/amendments*10-11-2013
- *Technical adjustment*10-10-2014
 - to §03.01.5.b(2)
- *Amendments as noted*10-09-2015
 - revised §03.01.2.e to conform to changes in state contracting laws
 - technical adjustment to §03.07.2.a(2)
- *Various amendments as noted*.....05-20-2016
 - various sections revised due to 2015 state contracting law changes, including §: 03.01 ... 03.01.1.d ... 03.01.1.f ... 03.01.2.a ... 03.01.2.j-k ... 03.01.5
 - various sections conformed to EEO provisions in Title IX, Title VII and U.S. Executive Order 11246, including §: 03.01.2.h; 03.01.8.a
 - clarifying change to §03.01.5.b(3)-(4) re: the approving authority for outside employment
- *Various amendments as noted*.....03-02-2018
 - updates and conforming changes due to revisions in state law relating to contracts and conflicts of interest, including to: §03.01.2.j ... §03.01.5.b(3) ... §03.03.2 ... §03.03.3.a ... §03.03.5.a
- *Conforming amendment*05-17-2019
 - to §03.03.2 to conform with §03.03.5.a
- *Various amendments as noted*.....12-13-2019
 - §03.01.11.e-f were amended and §03.01.11.l was added to more fully specify the steps that must be taken to assure proper supervisory relationships when a nepotism exception is granted.

03.00	Definitions	1
03.00.1	Officers and employees	1
03.01	Ethics policy	1
03.01.1	Conduct.....	1
03.01.2	Ethical behavior	2
03.01.3	Conflict of interest – generally.....	3
03.01.4	Benefits, gifts, and honoraria	3
03.01.5	Conflict of commitment	6
03.01.6	Political activities.....	7
03.01.7	Preservation of resources	7
03.01.8	Discriminatory conduct prohibited	8
03.01.9	Familial/household relationships – faculty & students.....	9
03.01.10	Consensual relationships – faculty & students.....	10
03.01.11	Nepotism	10
03.02	TTU system community conduct	13
03.02.1	Breach of trust.....	13

03.02.2	Conviction notification	15
03.02.3	Determination of a violation.....	15
03.03	Conflict of interest and the board.....	15
03.03.1	Introduction	15
03.03.2	Conflict of interest.....	17
03.03.3	Presumed conflict of interest.....	17
03.03.4	Disclosure of financial interest.....	18
03.03.5	Determination of the existence of a conflict of interest....	19
03.03.6	Record of proceedings	20
03.03.7	Gifts.....	20
03.03.8	Use of office for personal gain.....	20
03.03.9	Favored treatment.....	20
03.03.10	Appropriation of TTU system opportunities.....	21
03.03.11	Confidentiality	21
03.03.12	Actions not void or voidable	21
03.04	Conflict of interest and executive administration	21
03.04.1	Introduction	21
03.04.2	Conflict of interest.....	23
03.04.3	Presumed conflict of interest.....	23
03.04.4	Disclosure of financial interest.....	24
03.04.5	Determination of the existence of a conflict of interest....	25
03.04.6	Gifts.....	25
03.04.7	Use of office for personal gain.....	26
03.04.8	Favored treatment.....	26
03.04.9	Appropriation of TTU system opportunities.....	26
03.04.10	Confidentiality	27
03.04.11	Actions not void or voidable	27
03.05	The classified compensation plan	27
03.06	Appellate procedures for grievances.....	27
03.06.1	Board and individual grievances	27
03.06.2	Grievance procedures.....	27
03.07	Service on outside boards (in general)	28
03.07.1	Service on an outside board by any TTUS employee	28
03.07.2	Service on an outside board by executive administrator	28

03.08 Holidays.....31

03.09 Leaves31

03.10 TTU system employee retirement.....31

03.11 Personnel files31

 03.11.1 Maintenance31

 03.11.2 Confidentiality31

 03.11.3 Exceptions.....31

Chapter 04 -- Academic Affairs

Dates Approved or Amended:

-- Comprehensive review/amendments	08-09-2013	
-- Technical adjustment	10-10-2014	• to §04.04.1
-- Miscellaneous clean-up revisions.....	10-09-2015	• to §04.11 header & §04.11.3
--Rename Horn Professorship	08-06-2020	• to §04.01.1
--Deletion of Section related to namings	08-05-2021	• to §04.11.3

04.01	Honorific titles.....	1
04.01.1	Horn Distinguished Professorships and Murray Professorships	1
04.01.2	Emeritus appointments	3
04.02	Tenure and promotion (at all component institutions)	3
04.03	Guidelines for comprehensive performance evaluation of tenured faculty (at all component institutions)	3
04.04	Faculty responsibility.....	4
04.04.1	Public purpose	4
04.04.2	Responsibilities of the TTU system.....	4
04.04.3	Teaching.....	4
04.04.4	Research.....	5
04.04.5	TTU system service	5
04.04.6	Professional service	5
04.04.7	Community service	5
04.04.8	Discretion.....	6
04.05	Faculty development leaves of absence.....	6
04.05.1	Purpose of leaves of absence	6
04.05.2	Benefits for faculty	6
04.05.3	Selection process.....	6
04.06	Academic workload -- general academic institutions	6
04.07	Faculty research	6
04.07.1	New knowledge acquisition	6
04.07.2	Faculty expectations.....	6
04.07.3	Sponsored programs.....	7

04.07.4	Salaries for faculty engaged in sponsored research	7
04.08	Nonnative English speaking teaching assistants and faculty at TTU and ASU	7
04.09	Approval of degree programs	7
04.09.1	Board approval required	7
04.09.2	Process when Coordinating Board recommends consolidation or elimination of a degree program	7
04.10	Review and approval of low-producing degree programs and small classes at TTU and ASU.....	8
04.11	Establishment of schools, colleges, departments, centers, institutes, and other academic entities	9
04.11.1	Establishment/restructuring of schools, colleges, or departments	9
04.11.2	Establishment/restructuring of centers, institutes, or other academic unit or program	9
04.12	Filings with the State of Texas	9

Chapter 05 -- Student Affairs

Dates Approved or Amended:

- *Comprehensive review/amendments*08-09-2013
- *Amend §05.01.2 re: parking fees*08-10-2018 – parking fees, but not parking regulations,
are approved by the board
- *Amendment re: admission policies*12-10-2020 •to §05.02.1 ...delegate to the Chancellor
the responsibility to set campus
admission standards

05.01	General	1
05.01.1	Component institutions.....	1
05.01.2	Board policies and procedures approval	1
05.01.3	Other policies and procedures approval	1
05.02	Admission	1
05.02.1	Role of the board in admissions	1
05.02.2	Admission policies	2
05.03	Degree requirements	2
05.04	Financial aid programs	2
05.05	Appeals related to student matters	2

Chapter 06 -- Institutional Advancement

Dates Approved or Amended:

-- Comprehensive review/amendments	08-09-2013	
-- Amendment	10-11-2013	• added §06.01.5
-- Technical adjustment	10-10-2014	• to §06.05.4.b
-- Amendments	03-06-2015	• to §06.03.2 and §06.03.3
-- System clean-up legislation revisions	10-09-2015	• to §06.01.2.b
-- Amendments	08-05-2021	• to delete §06.06 related to namings
-- Comprehensive review of chapter	08-11-2022	• amendments throughout

06.00	Definitions	1
06.00.1	Definitions related to Institutional Advancement.....	1
06.01	Roles and responsibilities	2
06.01.1	The board and gifts	2
06.01.2	Role of the chancellor.....	2
06.01.3	Role of component institution presidents.....	2
06.02	Management and coordination of activities	3
06.02.1	Institutional advancement at the TTU system	3
06.02.2	University advancement activities.....	3
06.03	Gift acceptance and administration.....	4
06.03.1	Procedures.....	4
06.03.2	Evaluation and acceptance of gifts	4
06.03.3	Minimums for endowed academic positions.....	5
06.03.4	Exigent Circumstances	5
06.04	Restrictions.....	6
06.04.1	Adherence to donor-identified purpose(s).....	6
06.04.2	Prohibition on fundraising for salary supplementation.....	6
06.03.4	Prohibition on illegal or unlawful use.....	6
06.05	Affiliated entities	6
06.05.1	Affiliated entities	6
06.05.2	Memoranda of understanding.....	7
06.05.3	Periodic assessment.....	8

Chapter 07 -- Fiscal Management

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Amendment*03-06-2015
 - §07.03.6 re: fraud disciplinary actions
 - many sections amended, including §: 07.02.4.a, 07.02.6, 07.02.7.o, 07.03.2.b, and extensively throughout 07.12
- *State contracting law changes*.....10-09-2015
- *Multiple and various amendments*05-20-2016
 - Additional state contracting law changes ... amendments to multiple sections, including: §07.02.6, 07.02.7, 07.03, and extensively throughout 07.12
 - Updates to audit provisions ... to reflect changes in the audit mission, code of ethics, and audit standards adopted by the Institute of Internal Auditors.
 - Revisions to emergency approvals of a budget adjustment or a contract ... to conform the budget policy to the contract policy and require that such proposals be submitted by the Chancellor.
 - Change in the 'early start' provision for consulting contracts of more than \$25,000 ... to require that such proposals be submitted by the Chancellor.
- *Amendment*10-14-2016
 - §07.02.5.b revised to bring the use-of-debt-proceeds provision in line with U.S. Treasury regulations
- *Amendment*08-11-2017
 - amended §07.12.4.e(2) to require prior review of \$25,000-or-less consulting contracts by the System CFO, rather than by Board chair and chair of Finance & Administration Committee
- *Amendment*10-20-2017
 - added a new §07.12.4.c for a delegation of contract approval authority to the health-related presidents for certain continuing contractual relationships; and deleted old §07.12.4.d due to it conflicting with §07.12.3.b.
- *Amendment*03-02-2018
 - changes re: debt and equipment financing policies (§07.05.2.b and §07.05.4.a) ... student financial assistance program administration (§07.10.4) ... contracts and conflicts of interest (various in §07.12) ... name of the Office of Treasury
- *Amendment*08-09-2019
 - changed HEAF to HEF throughout;
 - added new §07.05.2.b(6) re: Chancellor approval of RFS funding for minor projects;
 - added delegations of authority for state comptroller deposits (§07.07.4) and primary/secondary depositories (§07.08.3)
- *Amendments* 02-24-2022
 - §07.02.3 – language added to reflect the current standards of audit practices.
 - §07.03.6.b – Added the Office of Equal Opportunity to the list of offices with which to consult when an employee’s fraudulent act leads to disciplinary action.
 - §07.04.3.b – deleted antiquated certification requirement as TTU system is no longer subject to the TX Bond Review Board.

- §07.04.4.a.(1), §07.04.4.b.(1), §07.04.4.c.(1), and §07.04.4.f.(2) – updated regarding budgetary control to specify: (1) the requirement of board approval for budget adjustments that are more than \$2 million due to new activities and /or revenue sources (increased from \$1 million); (2) the chancellor’s ability to approve budget adjustments of \$2 million (increased from \$1 million) or less to TTUSA’s original budget; (3) president’s ability to approve budget adjustments of \$2 million (increased from \$1 million) or less to each institution’s original budget; and (4) update the threshold to \$500,000 for reporting deficit fund balances.
- §07.05.2.b(4) – added the word “division” to replace the word “area” as division is more accurately defined.
- §07.05.2.b(7) & §07.05.2.c – added clarification on the appropriate use of Higher Education Fund for financed projects and deleted antiquated language related to the Revenue Financing System.
- §07.10.1 – language added to indicate the role of the board in approving revisions to tuition and fees biannually to replace the existing requirement for annual approval.
- §07.12.1.b & §07.12.1.e-i – language added to clarify that: (1) the requirement for written contracts may be satisfied as a purchase order; (2) the development of contract review procedures and check lists to ensure consistency in contracting practices; (3) employee training and continuing education on ethics, appropriate procurement methods and information resources purchasing; and (4) appropriate submission of contracts to the board.
- §07.12.3.a & b– language added for term threshold for when a contract in excess of \$1 million require board approval - changed from “over the term” to “per annum.”
- §07.12.3.d– language updated on the exemption from board approval for contracts that may be terminated without cause with notice of 180 days.
- §07.12.3.e(1) – language relocated (previously in 07.12.4e) and the threshold for consulting contract requiring board approval increase to initial considerations in excess of \$100,000 (up from \$25,000) and time sensitive contracts may be approved by the vice chancellor and CFO, the chancellor and component president and later presented at the next scheduled board meeting.
- §07.12.3.h – language moved from Chapter 8 to Chapter 7- the existing requirement for presidential approval of contracts for the sale of alcohol by third party vendors at TTU system facilities. The requirement that the board approves sale of alcoholic beverages by a third-party vendor at any intercollegiate athletic event is also removed, thereby granting this approval to presidents.
- The summary table for contract approval is relocated to the end of the chapter and reflects the necessary updates
- Other editorial changes throughout to improve clarity and readability.

07.00	Definitions	1
07.00.1	"FDIC"	1
07.00.2	"HEF"	1
07.00.3	"HUBs"	1
07.00.4	"OAS"	1
07.00.5	"RFS"	1
07.00.6	"CAE"	1
07.01	Fiduciary responsibility.....	1
07.02	Audits	1
07.02.1	Mission statement.....	1
07.02.2	Objectives	1
07.02.3	Standards of audit practice	2
07.02.4	Organizational responsibility and reporting relationships...	2
07.02.5	Independence.....	2

07.02.6	Scope of work.....	3
07.02.7	Accountability and responsibility	4
07.02.8	Authority	5
07.03	Fraud policy.....	6
07.03.1	Broadened definition	7
07.03.2	Further definition	7
07.03.3	Fraudulent act determination.....	8
07.03.4	Duties and responsibilities.....	8
07.03.5	Investigation.....	9
07.03.6	Disciplinary actions.....	9
07.03.7	Fraud reporting	10
07.04	Budget rules and procedures	11
07.04.1	Fiscal year	11
07.04.2	General.....	11
07.04.3	Budgets and other expenditure certifications	12
07.04.4	Budget adjustments.....	12
07.05	Debt management policy.....	15
07.05.1	General debt management policy	15
07.05.2	RFS debt management policy	16
07.05.3	HEF debt management policy	19
07.05.4	Equipment financing (under the commercial paper program).....	20
07.05.5	Failed remarketing procedures	20
07.05.6	Post tax-exempt issuance compliance	20
07.06	Credit extension	20
07.07	State comptroller deposits.....	20
07.07.1	Cash receipts	20
07.07.2	Depositing rules.....	21
07.07.3	Revolving funds	21
07.07.4	Board delegation of authority.....	21
07.08	Primary and secondary depositories for the TTU system	21
07.08.1	Primary depository	21
07.08.2	Secondary depositories.....	21
07.08.3	Board delegation of authority.....	22

07.09	Travel authorization, reimbursement, and institutional reg’s	22
07.09.1	Travel approval	22
07.09.2	Board members' travel and other expenses	22
07.09.3	Chancellor's travel and other expenses	23
07.09.4	Faculty, staff, and student travel	24
07.10	Approval of tuition and student fees	24
07.10.1	Assessment	24
07.10.2	Collection	24
07.10.3	Exemptions and waivers	24
07.10.4	Student financial assistance	25
07.11	Aircraft use and operation	25
07.11.1	Air travel requirements	25
07.11.2	Foreign air travel	25
07.11.3	Information requirement	25
07.11.4	Aircraft made available to the TTU system by other than commercial operations	26
07.11.5	Insurance	26
07.11.6	Administrative requirements	26
07.11.7	Waiver	27
07.11.8	Aircraft piloted by TTU system personnel on official business	27
07.12	Contracting policies and procedures	27
07.12.1	General	27
07.12.2	Prohibited contracts	29
07.12.3	Board approval	30
07.12.4	Board delegation of authority	35
07.12.5	Chancellor's approval	37
07.12.6	President's approval	38
07.13	HUBs	38
07.13.1	TTU system commitment	38
07.13.2	HUB Coordinators	38
07.14	Professional Medical Malpractice Self-Insurance Plan settlement authority	39
07.14.1	Board authority	39

07.14.2 Board approval39
07.14.3 Chancellor approval.....39
07.14.4 Vice chancellor and general counsel approval.....39
07.14.5 Approval during period between board meetings.....39

Appendix A – Summary of required contracting approvals43

Appendix B – Summary of required employment agreement approvals44

Chapter 08 -- Facilities

Dates Approved or Amended:

- *Comprehensive review/amendments*02-28-2014
- *Amendments as noted*10-10-2014:
 - §08.01.9 – revised FP&C fees ... • §08.02.4.e – re: honorary statutes ... • §08.07.5 – re: alcohol policy
- *Amendments as noted*10-20-2017:
 - §08.01.2 – revised to a general statement defining major and minor projects ... • §08.01.3 – overhaul of approval processes for major projects ... • §08.01.7 – emergency authorization for use of contingencies
- *Amendments*05-18-2018:
 - §08.01.3.a – added feasibility study option
- *Amendment added §08.12*.....08-10-2018:
 - (1) allows institutional presidents, rather than the board, to adopt policies that regulate traffic and parking on their respective campuses; and (2) continues to require board approval of parking fee rates
- *Various amendments as noted*.....12-13-2019:
 - increased the threshold for a major project from \$2 million to \$4 million;
 - revised the requirement for use of a Construction Manager Agent on major projects;
 - with the approval of the Board, a major project could be managed by the institution rather than by FP&C;
 - upon a request by a president, FP&C could be designated to manage a minor project;
 - when new furniture/equipment is proposed to be purchased for a major project, the president must certify that existing and/or surplus furniture/equipment is not suitable for the space being constructed; and
 - the public art fee and the landscape enhancement fee were each set at up to 1% of a major project’s budget or \$500,000, whichever is less.
- *Amendments*08-05-2021:
 - Deleted Section 08.05 related to namings
- *Amendments*02-24-2022:

Various amendments are proposed for the policies that govern processes for construction projects and administration of TTU system facilities. Examples include:

- §08.00.6 & §08.01.3 – language added to define “Project Management Team” as the team responsible for managing major construction projects.
- §08.01.1 – language added to update Texas Education Code citation related to competitive bidding for construction projects
- §08.01.5 – language added regarding the applicability of federal regulations and institutional OPs to the procurement process for construction projects
- §08.01.9 – Simplification of language on FP&C fees which is 2.4% of the initial project paid out over three milestones. Provisions related to “grandfathered” construction projects and the distinction of fees for projects above or below \$15 million is deleted
- §08.02, §08.06.3 & §08.06.4 – language added to clarify: (1) the role of the Board and FP&C related to master plan initiatives; (2) the broad applicability of TTU System policies to aiding non-profit organizations that exist for the purpose of supporting the TTU system institutions; and (3) that the playing fields and other athletic facilities are under the control of the various departments of athletics.
- §08.06.5 – Clarifying language added on the sale and service of alcohol; and moving to Chapter 7 the existing language on the board/president’s role in approving the license or sale of alcoholic beverages at facilities

08.00	Definitions	1
08.00.1	“Facility” or “facilities”	1
08.00.2	“FP&C Office” and “FP&C”	1
08.00.3	“FP&C vice chancellor”	1
08.00.4	“University Public Art Committee”	1

08.00.5	“Public Art Collection”	1
08.00.6	“Project Management Team”	2
08.01	Construction program	2
08.01.1	Construction procurement methods	2
08.01.2	Authorization.....	2
08.01.3	Major construction projects.....	2
08.01.4	Minor construction projects.....	7
08.01.5	Procurement procedures	8
08.01.6	Contract execution	8
08.01.7	Emergency actions	8
08.01.8	Wage rate schedule	9
08.01.9	Project management fee	9
08.02	Campus planning and enhancements.....	10
08.02.1	Board notification of campus master plans and site design guidelines	10
08.02.2	Campus master plans and accompanying architectural and site design guidelines.....	10
08.02.3	Additional provision regarding architectural and site design guidelines.....	10
08.02.4	Public Art Program	10
08.02.5	University Public Art Committee	11
08.02.6	Landscape Enhancement Program	13
08.02.7	Combining programs.....	14
08.02.8	Private development.....	14
08.03	Preservation of campus buildings and landmarks.....	14
08.04	TTU system building cornerstones and plaques	14
08.04.1	Cornerstones	14
08.04.2	Building plaques.....	14
08.04.3	Recognition plaques.....	15
08.04.4	Building demolition	15
08.05	Construction code and standard requirements	15
08.05.1	Adopted codes and standards.....	15
08.05.2	Other applicable codes	17

08.06	TTU system space and facilities use.....	18
08.06.1	Prioritization	18
08.06.2	Community cultural facilities.....	18
08.06.3	Non-profit organizations.....	19
08.06.4	Department of Athletics facilities – TTU and ASU	19
08.06.5	Alcoholic beverages	20
08.07	On-campus speakers	21
08.07.1	Operating policies	21
08.07.2	Freedoms	21
08.08	Handbills, leaflets and advertising material distribution	21
08.08.1	Implementation policies.....	21
08.08.2	General.....	22
08.08.3	Advertising.....	22
08.08.4	United States mail	22
08.08.5	On-campus distribution	22
08.08.6	Automobiles.....	22
08.09	Solicitations.....	22
08.09.1	Policy administration.....	22
08.09.2	Definition.....	23
08.09.3	Approved organizations	23
08.09.4	Solicitation exception.....	23
08.09.5	Individual buildings	23
08.09.6	Residence halls.....	23
08.09.7	On-campus solicitations by organizations not associated with the TTU system.....	23
08.09.8	Further guidelines	23
08.10	Solicitations and sale of publications	24
08.10.1	TTU system benefit	24
08.10.2	Money raised.....	24
08.10.3	Solicitations other than to raise money	24
08.11	Regulations for the operation and parking of vehicles	25

Chapter 09 -- Investments and Endowments

Dates Approved or Amended:

- *Comprehensive review/amendments*08-08-2014
- *Miscellaneous clean-up revisions*.....10-09-2015 • to §09.07.3
- *Amendment re: SITIF management*.....08-10-2018
 - to §09.02 to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF
- *Various amendments as noted*.....12-13-2019
 - to update SITIF references to the new STIF and ITIF; and
 - to add language to handle exceptions to investments requested by a donor
- *Various amendments as noted*.....12-10-2020
 - to §09.00, §09.01.2, §09.02, and §09.03 ...replace STIF and ITIF references to the new CCP;
 - to §09.02 ...delete the roles and responsibility of the IAC; and
 - to §09.02.1 and §09.02.2 ...define/clarify the roles of the CFO and CIO
- *Various amendments as noted*.....11-17-2022
 - to §09.00.1 to add the Mission Driven Investment Fund (MDIF) as the third investment program;
 - to §09.01.3 to add a definition for MDIF;
 - to §09.05 to include clarification on the policies for the new MDIF; and
 - various updates to section numberings throughout.

09.00	Investment Programs	1
09.01	Chapter definitions	1
	09.01.1 "LTIF"	1
	09.01.2 "CCP"	1
	09.01.3 "MDIF"	1
	09.01.4 "CFO".....	1
	09.01.5 "CIO"	2
	09.01.6 "Treasurer"	2
09.02	Roles and responsibilities	2
	09.02.1 Vice Chancellor and Chief Financial Officer	2
	09.02.2 Chief Investment Officer.....	2
	09.02.3 Investment consultant	2
	09.02.4 Treasurer.....	2
	09.02.5 Investment managers.....	2
	09.02.6 Bank custodian(s)	3
09.03	Comprehensive Cash Pool	4
09.04	Long Term Investment Fund (Endowment)	5

09.05	Mission Driven Investment Fund.....	5
09.06	Investment Fund Exceptions.....	5
09.07	Proxy voting	5
09.08	Directed commissions	5
09.09	Commingled funds	5
09.10	Mineral leases	6
09.10.1	Lands under exclusive control of the board and owned by the state of Texas.....	6
09.10.2	Mineral/royalty interests derived from trusts and gifts	7
09.10.3	Approval.....	7

Chapter 10 -- Intellectual Property Rights

Dates Approved or Amended:

-- *Comprehensive review/amendments*10-09-2015

10.01	Statement of Basic Philosophy and Objectives.....	1
10.02	Definitions	2
10.02.1	Commercialization	2
10.02.2	Copyrightable Work	2
10.02.3	Covered Person.....	2
10.02.4	Creator	3
10.02.5	Distance Learning.....	3
10.02.6	Intellectual Property	3
10.02.7	Invention.....	3
10.02.8	Office of Research Commercialization or ORC.....	3
10.02.9	ORC Director.....	4
10.02.10	Policy	4
10.02.11	Software	4
10.02.12	Sponsored Research Agreement	4
10.02.13	Tangible Research Property	4
10.02.14	Trademark (including Service Mark)	4
10.02.15	Trade Secrets	4
10.02.16	TTUS Resources.....	4
10.02.17	Work for Hire.....	5
10.03	Ownership.....	5
10.03.1	Ownership of intellectual property by TTUS.....	5
10.03.2	Consulting Activities	5
10.03.3	Ownership of Copyrightable Works	6
10.04	Research Involving Third Party	8
10.05	Disclosure of Intellectual Property.....	9
10.06	Offers to Assign External Intellectual Property to TTUS.....	9
10.07	Acquisitions of Intellectual Property in Connection with New Employee.....	10

10.08	Assessments by the ORC.....	10
10.09	Reasonable Cooperation.....	11
10.10	Implementation of Intellectual Property Agreements.....	11
10.11	No Restriction on Future Research.....	14
10.12	Distribution of Revenue from Intellectual Property Agreements.....	14
10.13	Distribution of Revenue from Commercialization of Copyrightable Works	16
10.14	Trademarks.....	17
10.15	Complaint Procedure.....	17
10.16	Reports to the Board	19
10.16.1	Reporting to board of intellectual property disclosures and license/assignment agreements	19
10.16.2	Notification to and approval by board of employee participation in business entities.....	19
10.16.3	Reports to the State of Texas.....	19

Chapter 11 -- Athletics at TTU and ASU

Dates Approved or Amended:

-- Comprehensive review/amendments10-11-2013

11.01	Intercollegiate athletics governance and control	1
11.01.1	Compliance	1
11.01.2	Annual report	1
11.01.3	Institutional control.....	1
11.01.4	Faculty athletics representative.....	1
11.02	Athletic Councils.....	2
11.02.1	Authorization to establish an Athletic Council.....	2
11.02.2	Role.....	2
11.02.3	TTU Athletics Council – membership; rules, regulations and bylaws	2
11.02.4	ASU Athletics Council – membership; responsibility and charge	3
11.03	Post-season athletic competition.....	4
11.03.1	Qualifying and participation standards.....	4
11.03.2	Notification.....	4
11.04	Intercollegiate athletics media rights.....	5
11.04.1	Ownership.....	5

Chapter 12 -- Honorifics and Seals

Dates Approved or Amended:

-- <i>Comprehensive review/amendments</i>	08-09-2013	
-- <i>Amendments</i>	12-12-2014	• added new §12.06 re: honorary statues and re-numbered subsequent sections
-- <i>Amendments</i>	05-19-2017	• added new §12.09 re: a seal monument for TTU-Costa Rica
-- <i>Amendments</i>	02-26-2021	• added new §12.10 re: TTU School of Veterinary Medicine seal
-- <i>Amendments</i>	08-05-2021	• deleted §12.05 other honorific naming not facility or gift related

12.01	Retiring regents, chancellors and presidents recognition	1
12.02	Awards and Recognitions	1
12.02.1	Infinito Horizonte Award	1
12.02.2	Distinguished Service Award.....	2
12.03	Plaques commemorating former chancellors and presidents	2
12.03.1	Style	2
12.03.2	Historical perspective	2
12.03.3	Board approval	2
12.04	Honorary degrees	3
12.04.1	Guidelines.....	3
12.04.2	Eligibility	4
12.04.3	Nominations.....	4
12.04.4	Nomination evaluation.....	4
12.04.5	Recommendations and authorization	4
12.04.6	Chancellor's duty.....	4
12.04.7	Confidentiality of the process	5
12.04.8	Notification to the recipient.....	5
12.04.9	Award.....	5
12.04.10	Rescission of an honorary degree	5
12.05	Honorary statue, sculpture, or other artistic representation	5
12.06	TTU system seals [see also – Board Policy Statement on Seals]	6
12.06.1	Authorized seals.....	6
12.06.2	Seals custodian and authorized use.....	6

12.07 The TTU School of Law seal.....7

12.08 The TTU–Costa Rica seal monument7

12.09 The TTU School of Veterinary Medicine seal.....7

Chapter 13 -- Namings

Dates Approved or Amended:

-- *Approved as a new chapter*.....08-05-2021

-- *Amendments*08-11-2022

• updated definition of affiliated entities §13.00.2; updated definition of gifts §13.00.5; and updated exigent circumstances provision §13.05.8 all to align with Chapter 06.

13.00	Definitions	1
13.00.1	“Academic unit”	1
13.00.2	“Affiliated entity”	1
13.00.3	“Component institution”	1
13.00.4	“Facility” or “facilities”	1
13.00.5	“Gift”	2
13.00.6	“Naming”	2
13.01	Namings—general	2
13.01.1	The board and namings.....	2
13.01.2	Procedure.....	2
13.01.3	Public announcement	2
13.02	Gift-related namings	2
13.02.1	Academic unit.....	2
13.02.2	Facilities	3
13.02.3	Named funds	5
13.03	Facility namings—general	6
13.03.1	Board approval	6
13.03.2	Academic facility named based on major use.....	6
13.03.3	Streets and designated landscape features.....	6
13.03.4	Perimeter campus monument, directional and/or signage...7	
13.04	Honorary namings	7
13.04.1	Naming of academic unit or facility	7
13.04.2	In honor of an individual who, as an employee, has provided exemplary service.....	7
13.05	Provisions applicable to all approved namings	7
13.05.1	Advance notice	7
13.05.2	Term	7
13.05.3	Presentation to the board	8

13.05.4	Donor recognition	8
13.05.5	Restrictions	8
13.05.6	Removal	9
13.05.7	Operating Policies and Procedures.....	9
13.05.8	Exigent Circumstances	9
13.05.8	Incorporation of <i>Regents' Rules</i>	10